

# Towards Annotation-Efficient Deep Learning for Computer-Aided Diagnosis

# Zongwei Zhou

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Objective

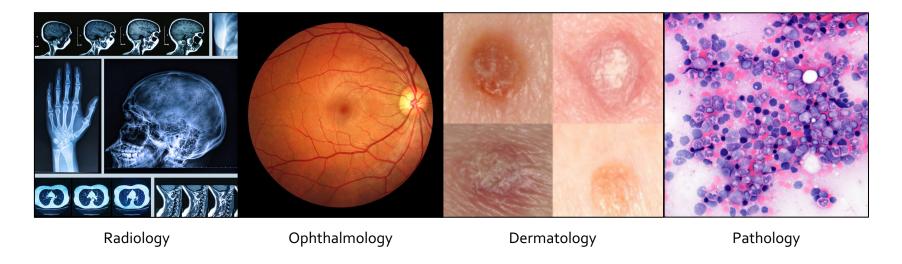
Aim 1

Aim 2

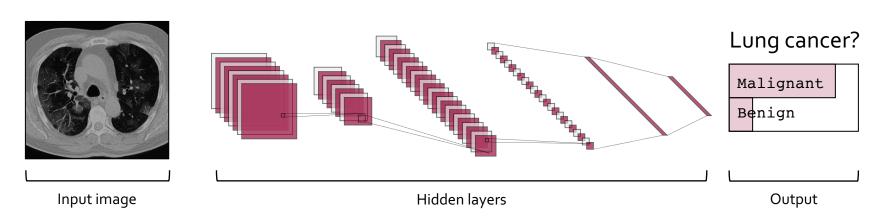
Aim 3

Summary

## Imaging data account for about 90% of all healthcare data



## Deep Learning has ushered in a revolution in medical imaging



- 1. "The Digital Universe Driving Data Growth in Healthcare." published by EMC with research and analysis from IDC (12/13)
- 2. LeCun, Yann, Yoshua Bengio, and Geoffrey Hinton. "Deep learning." nature 521.7553 (2015): 436-444



Objective

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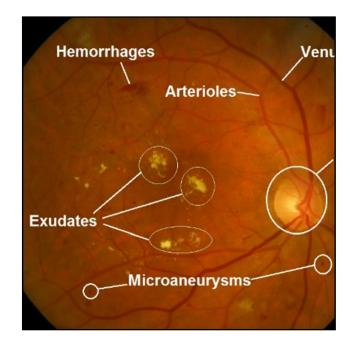
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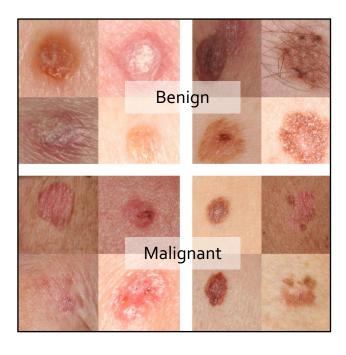
Summary

To match human diagnostic precision, deep learning requires a lot of annotation cost.

- 42,290 radiologist-annotated CT images for lung cancer diagnosis
- 128,175 ophthalmologist-annotated retinal images for diabetic retinopathy detection
- 129,450 dermatologist-annotated images for skin cancer classification







- 1. Ardila, Diego, et al. "End-to-end lung cancer screening with three-dimensional deep learning on low-dose chest computed tomography." Nature medicine 25.6 (2019): 954-961.
- 2. Gulshan, Varun, et al. "Development and validation of a deep learning algorithm for detection of diabetic retinopathy in retinal fundus photographs." Jama 316.22 (2016): 2402-2410.
- 3. Esteva, Andre, et al. "Dermatologist-level classification of skin cancer with deep neural networks." nature 542.7639 (2017): 115-118.



Objective

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**Summary** 

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How to develop annotation-efficient deep learning without such BIG annotated data?

**Significant**, especially for these scenarios:

- A flood of patients are waiting for results during an outbreak
- Doctors do not have time to annotate every case for algorithm development
- Not many doctors have expertise for novel/rare diseases

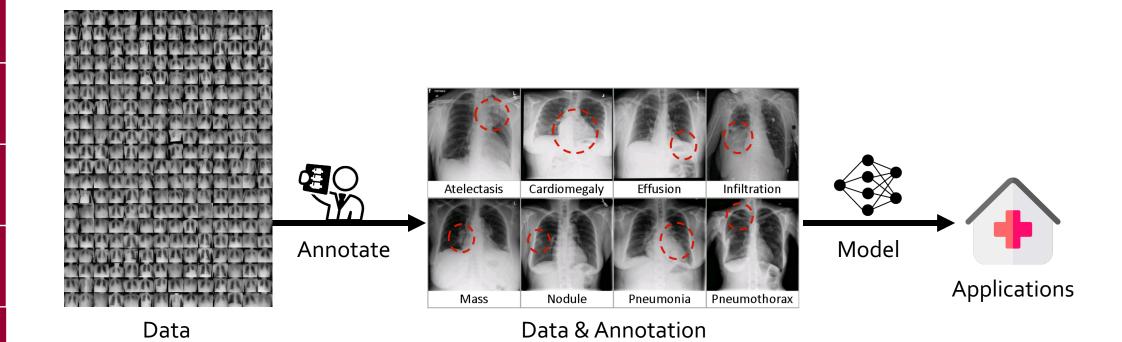


## Objective

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Goal: Minimize manual annotation efforts for rapid, precise computer-aided diagnosis systems

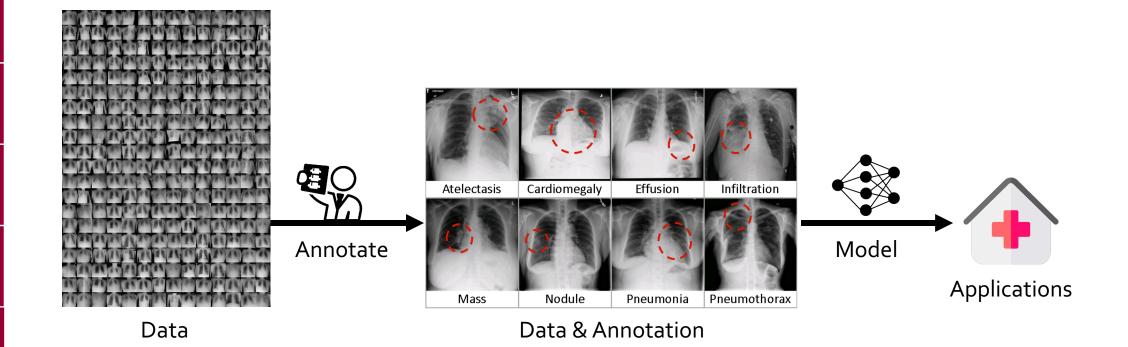
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Goal: Minimize manual annotation efforts for rapid, precise computer-aided diagnosis systems

Aim 1: Acquiring necessary annotation efficiently from human experts

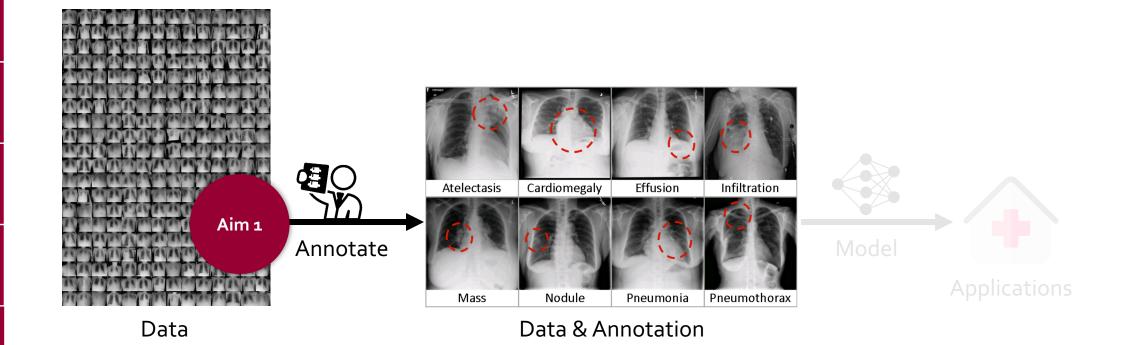
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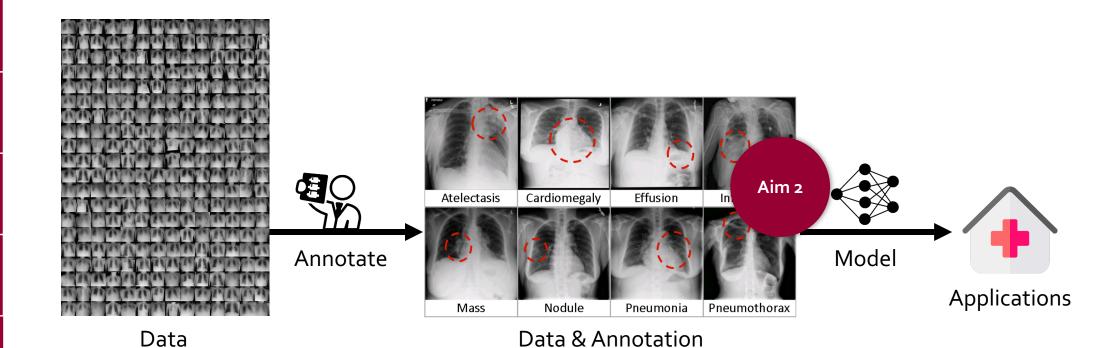
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Summary

Goal: Minimize manual annotation efforts for rapid, precise computer-aided diagnosis systems

Aim 1: Acquiring necessary annotation efficiently from human experts

Aim 2: Utilizing existing annotation effectively from advanced architecture





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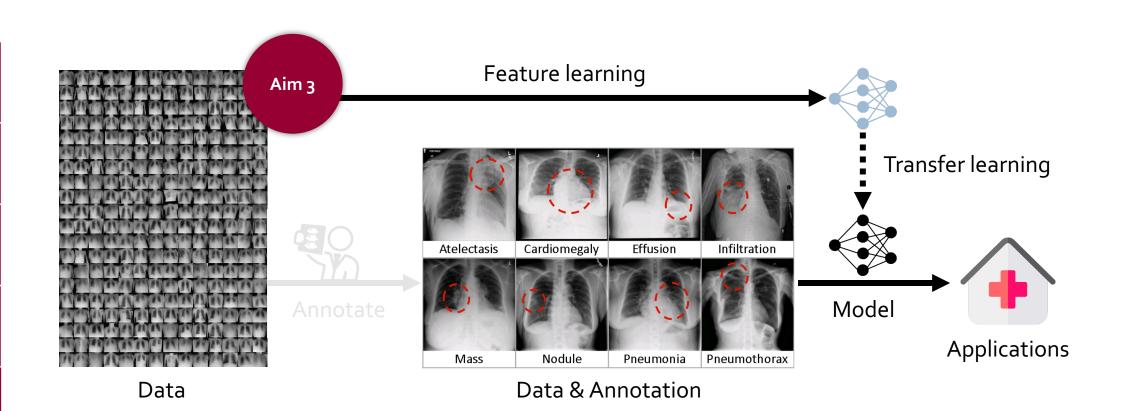
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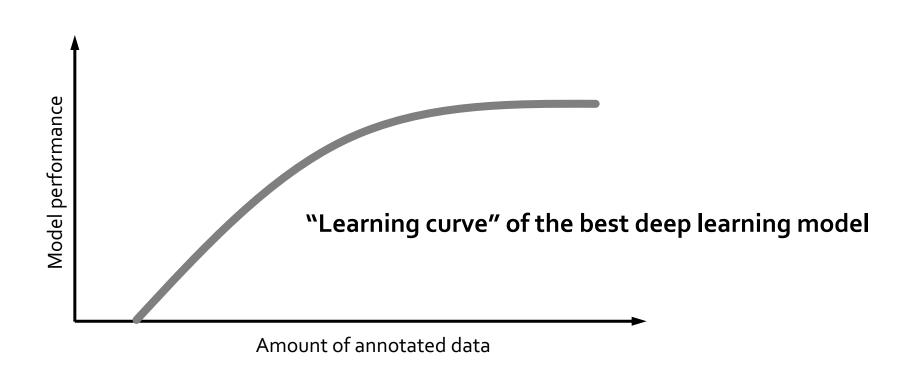
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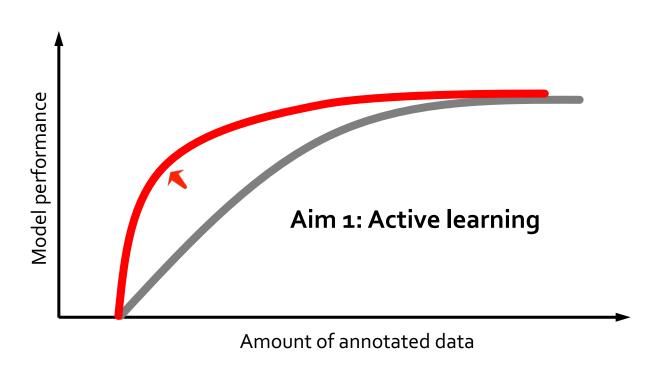
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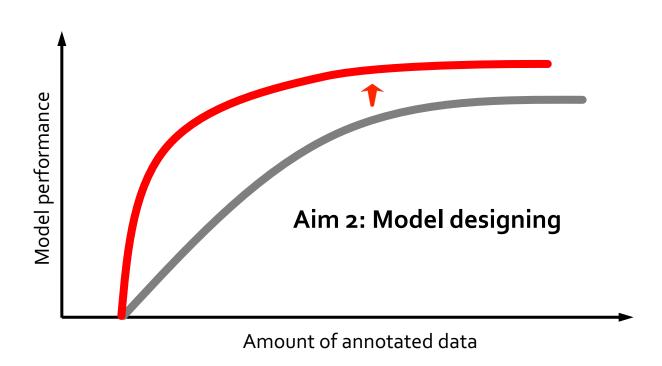
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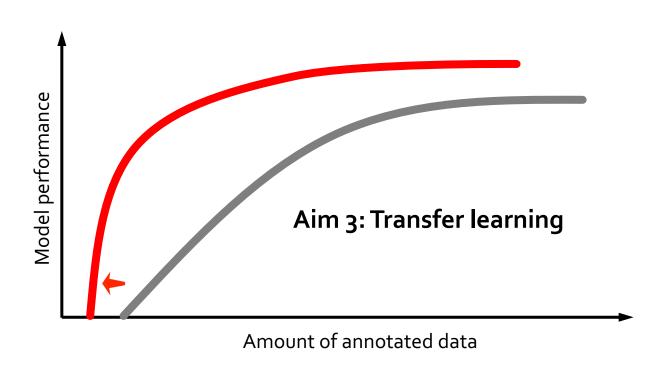
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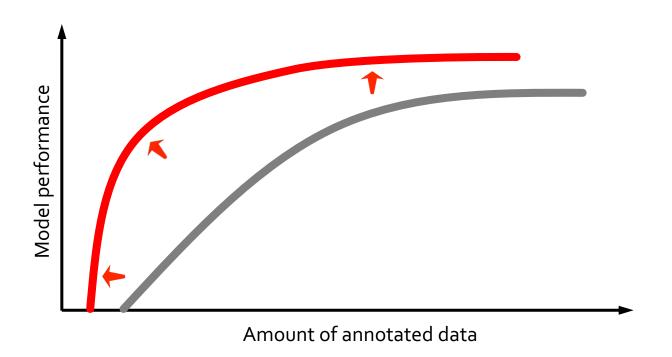
Goal: Minimize manual annotation efforts for rapid, precise computer-aided diagnosis systems

Aim 1: Acquiring necessary annotation efficiently from human experts

Aim 2: Utilizing existing annotation effectively from advanced architecture

Aim 3: Extracting generic knowledge directly from unannotated images

**Hypothesis:** With a small part of the dataset annotated, we can deliver deep models that approximate or even outperform those that require annotating the entire dataset.





Objective

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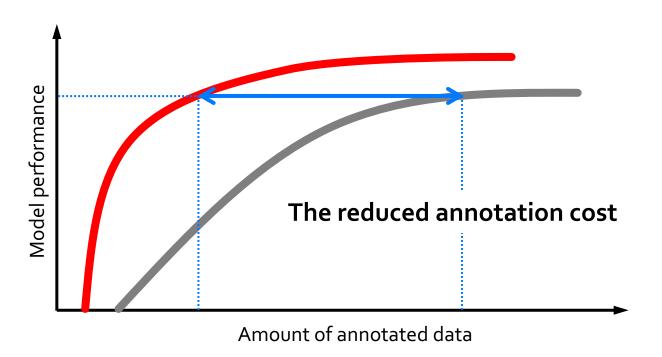
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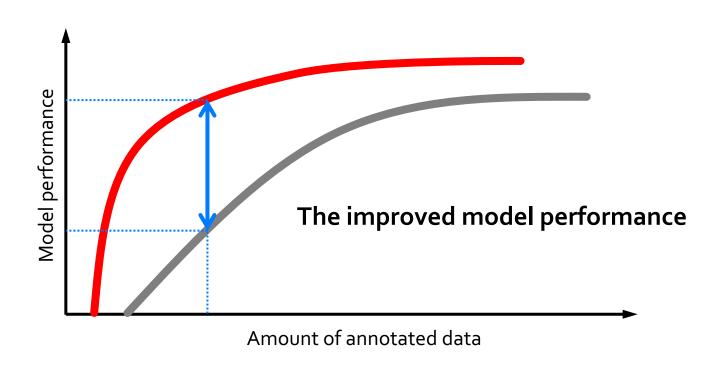
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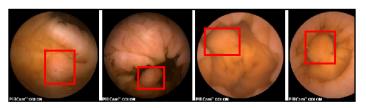
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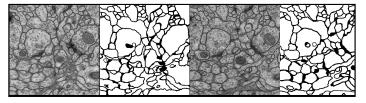
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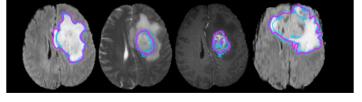
Aim 2: Utilizing existing annotation effectively from advanced architecture



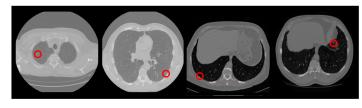
Polyp detection



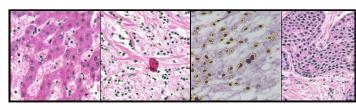
Neuronal structure segmentation



Brain/tumor segmentation



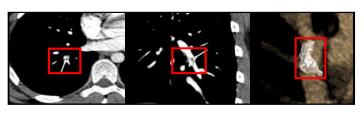
Lung nodule detection



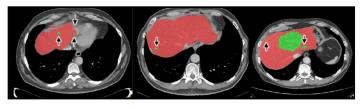
Cell/nuclei segmentation



Kidney/lesion segmentation



Pulmonary embolism detection



Liver/lesion segmentation



Pulmonary diseases classification



**Task:** Find the most important 1,000 images from 1,000,000 images

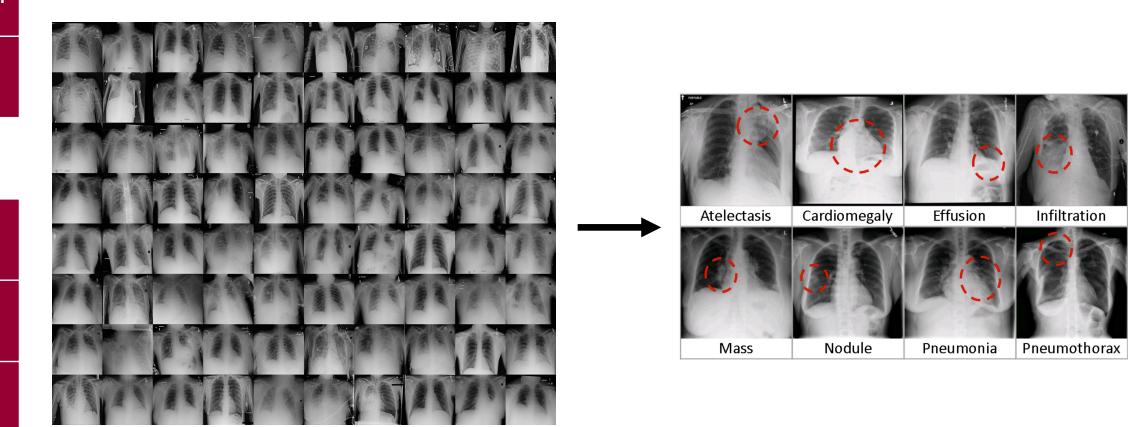
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**Approach:** "Human-in-the-loop" active learning procedure

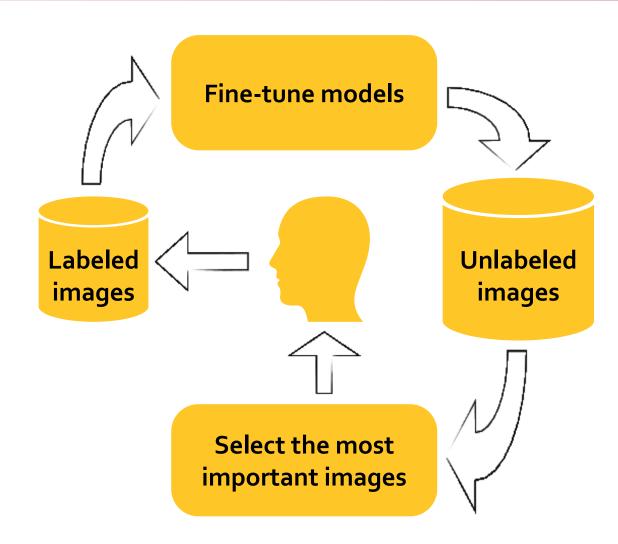
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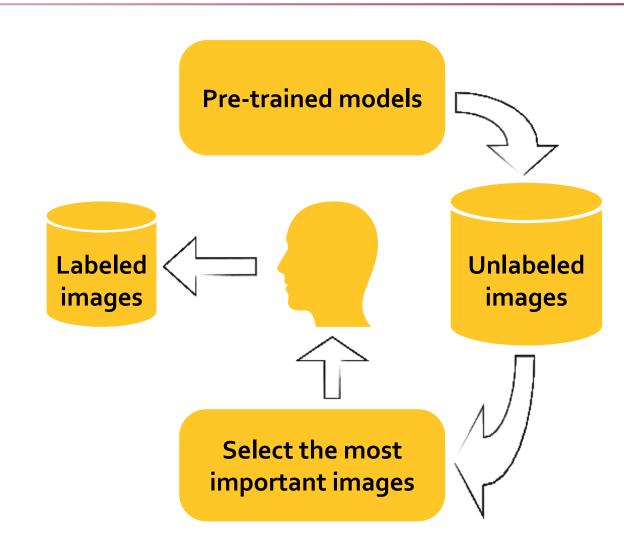
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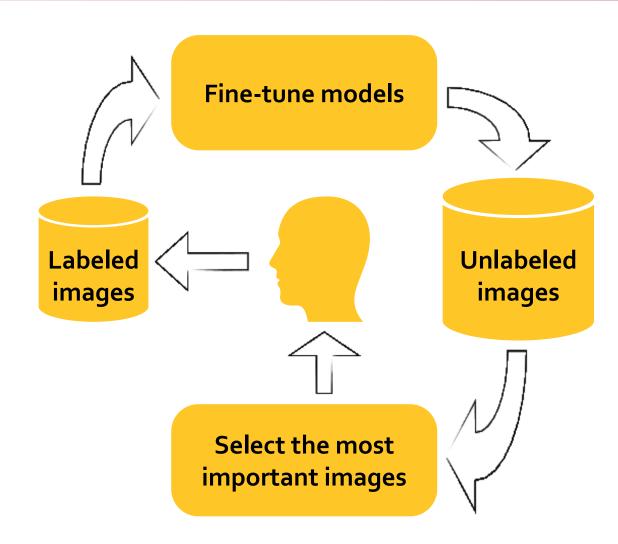
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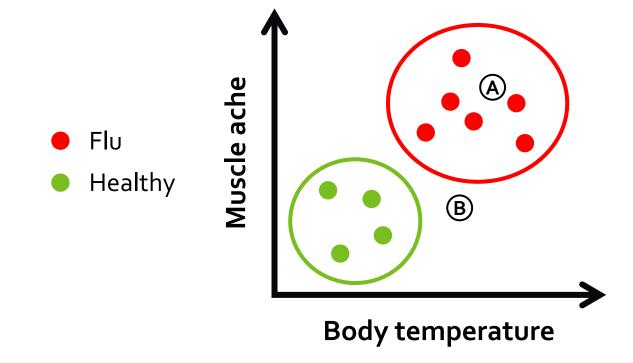
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Summary



Select the most important images



**Approach:** "Human-in-the-loop" active learning procedure

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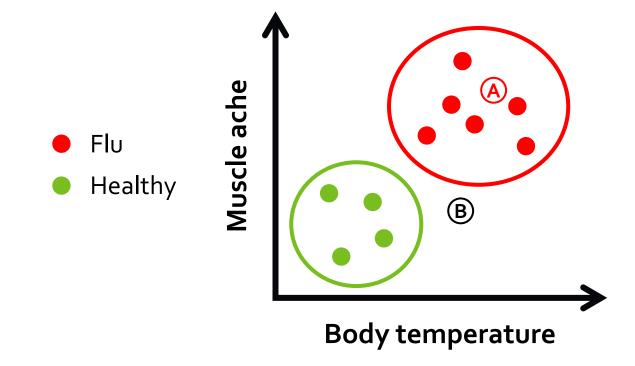
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Select the most important images



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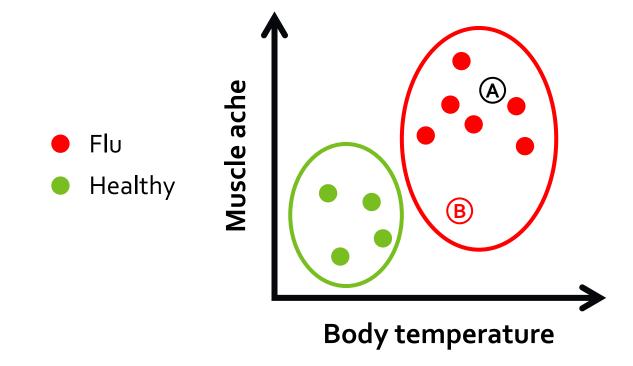
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Select the most important images



**Approach:** "Human-in-the-loop" active learning procedure

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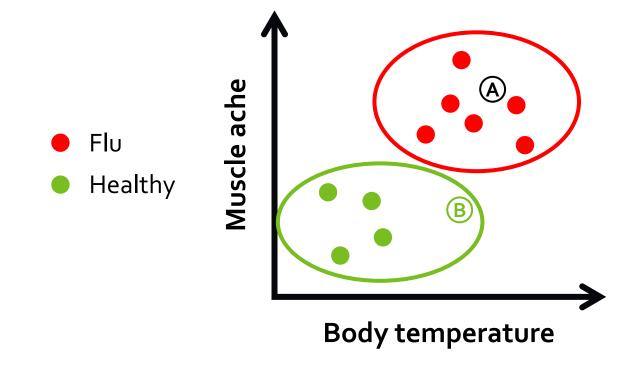
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Summary



Select the most important images



**Approach:** "Human-in-the-loop" active learning procedure, *uncertainty-based* 

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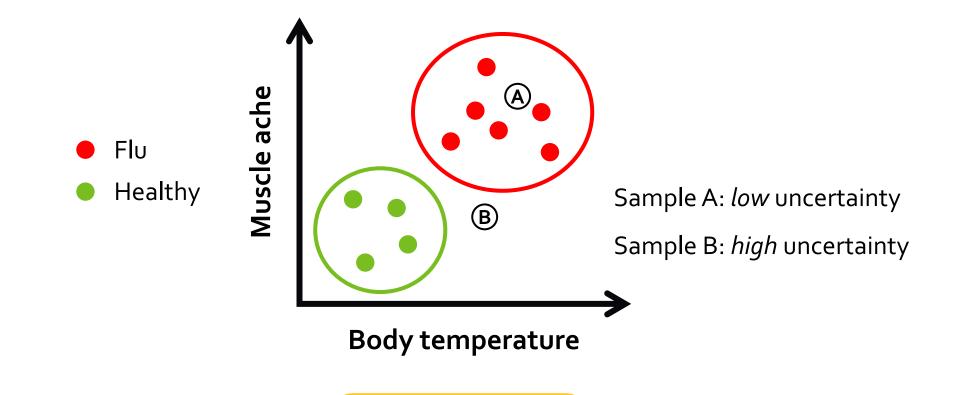
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Summary



Select the most important images



**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

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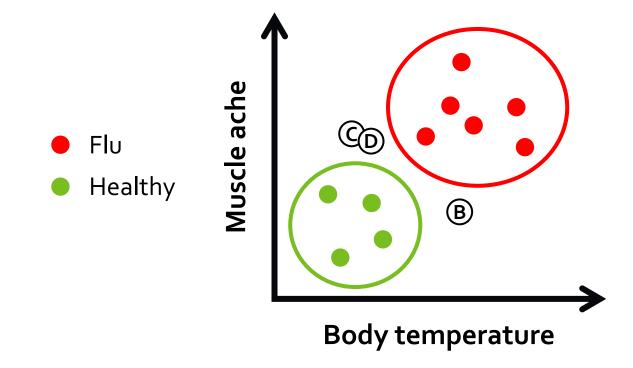
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Summary



Select the most important images

Which two samples would you annotate first?



**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

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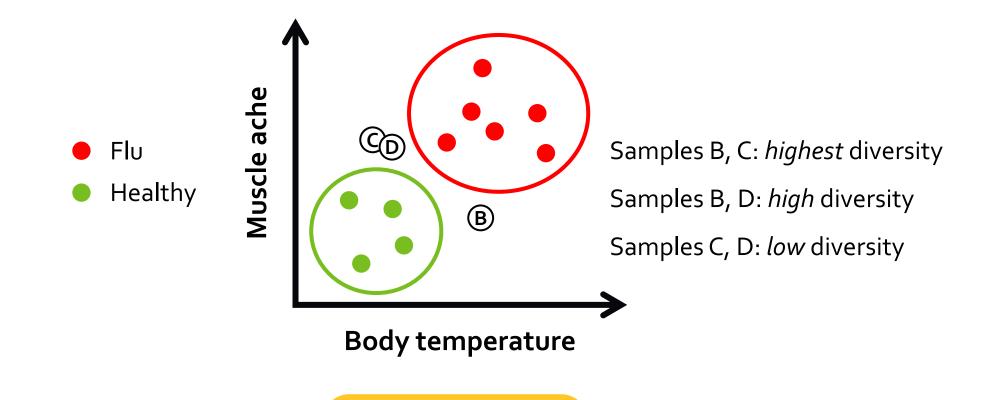
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**Summary** 



Select the most important images

Which two samples would you annotate first?



**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

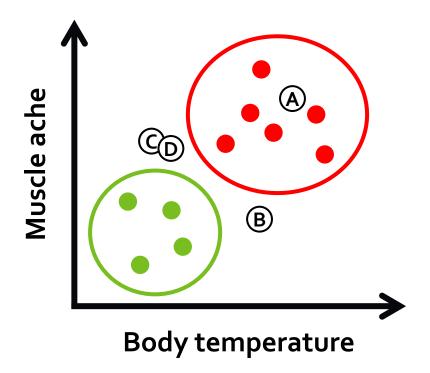
Introduction

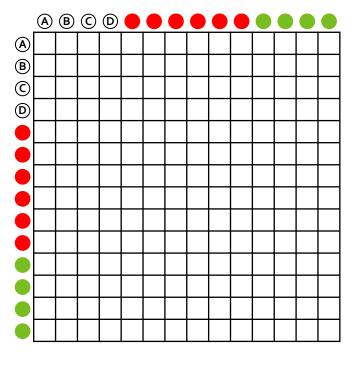
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**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

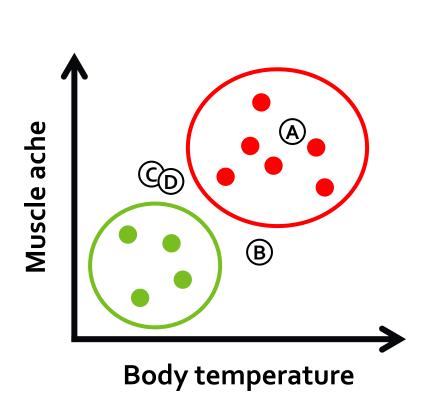
Introduction

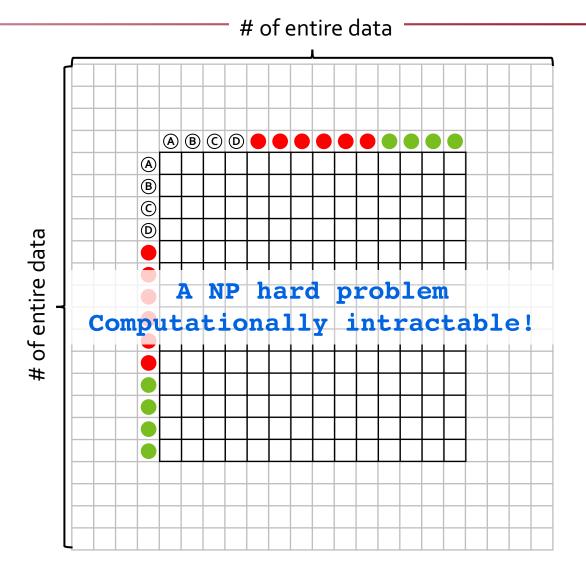
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**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

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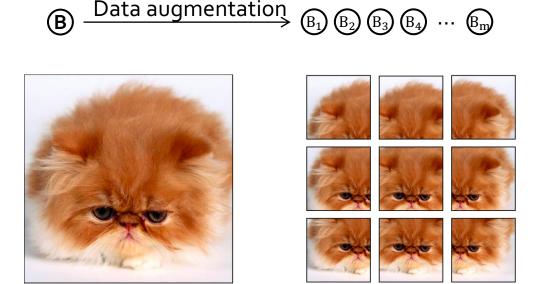
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**Summary** 



To train the deep model, many patches are usually generated via data augmentation; these patches generated from the same image share the **same label** (cat), and they are expected to have **similar predictions** by the current model.



**Approach:** "Human-in-the-loop" active learning procedure, *diversity-based* 

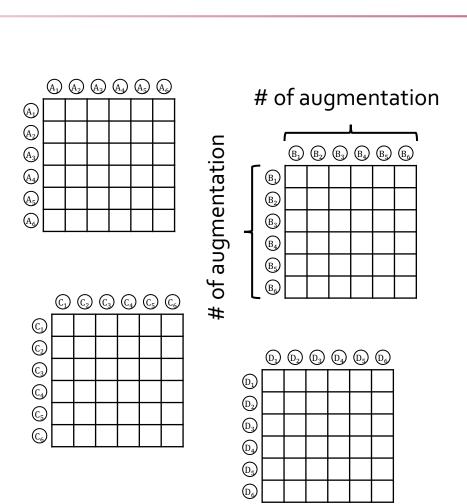
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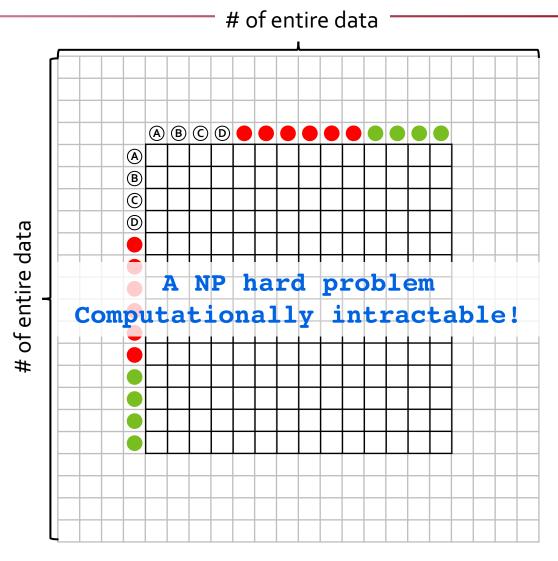
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Hypothesis: Wisely selecting important samples can reduce annotation cost

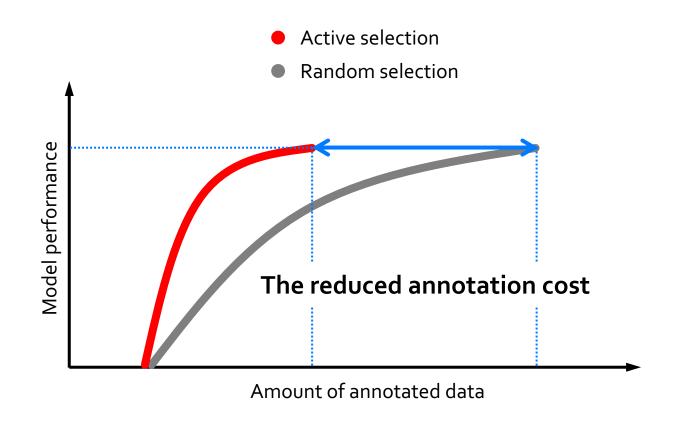
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Contribution: Reduce annotation cost by over 80% compared with random selection

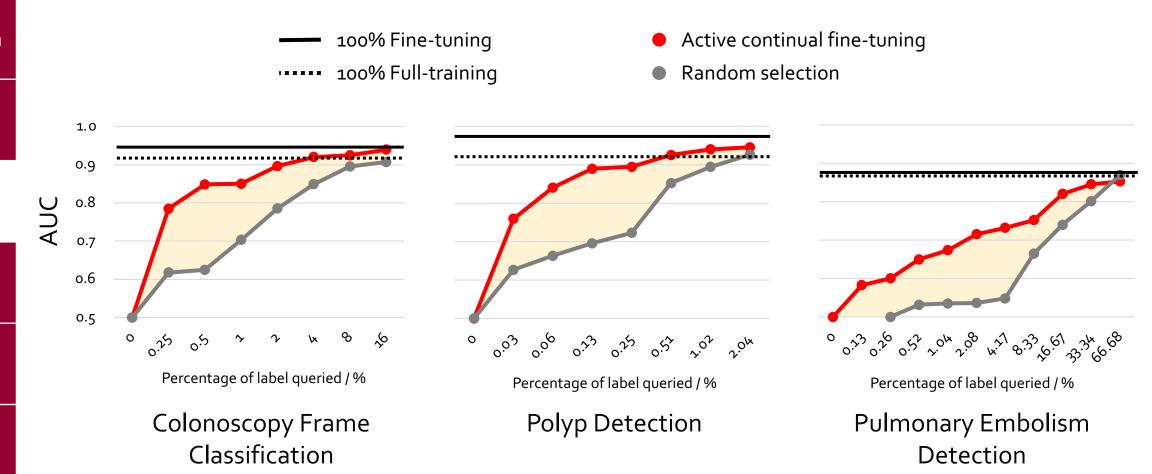
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- 1. Zhou, Zongwei, et al. "Integrating active learning and transfer learning for carotid intima-media thickness video interpretation." Journal of digital imaging 32.2 (2019): 290-299.
- 2. Zhou, Zongwei, et al. "Active, Continual Fine Tuning of Convolutional Neural Networks for Reducing Annotation Efforts." Medical Image Analysis (2021): 101997.
- 3. Zhou, Zongwei, et al. "Fine-tuning convolutional neural networks for biomedical image analysis: actively and incrementally." In Proceedings of the IEEE conference on computer vision and pattern recognition, pp. 7340-7351. 2017.



Contribution: Reduce annotation cost by over 80% compared with random selection

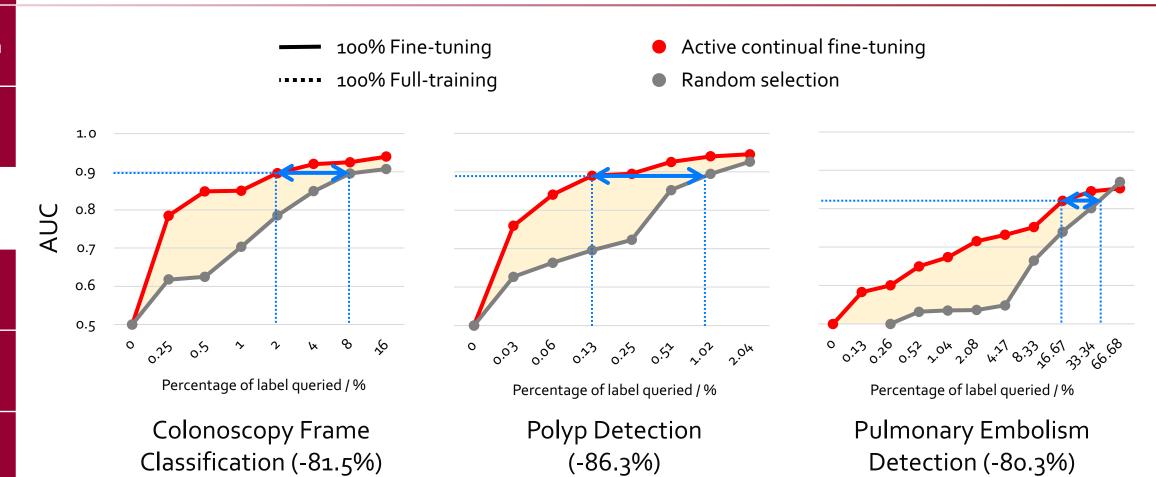
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**Discussion:** Iteratively suggest important samples at the patient-level

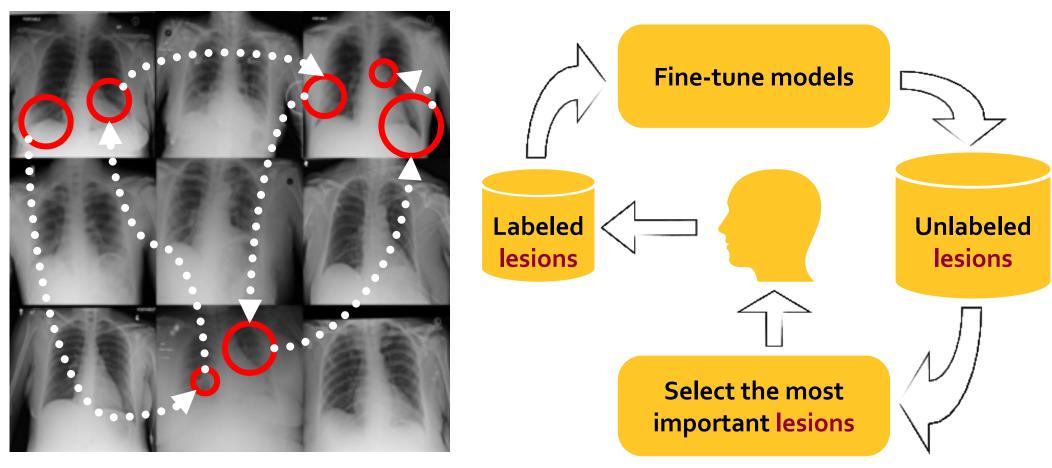
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Lesion-level annotation



# Aim 1: Acquiring necessary annotation efficiently from human experts

**Discussion:** Iteratively suggest important samples at the patient-level

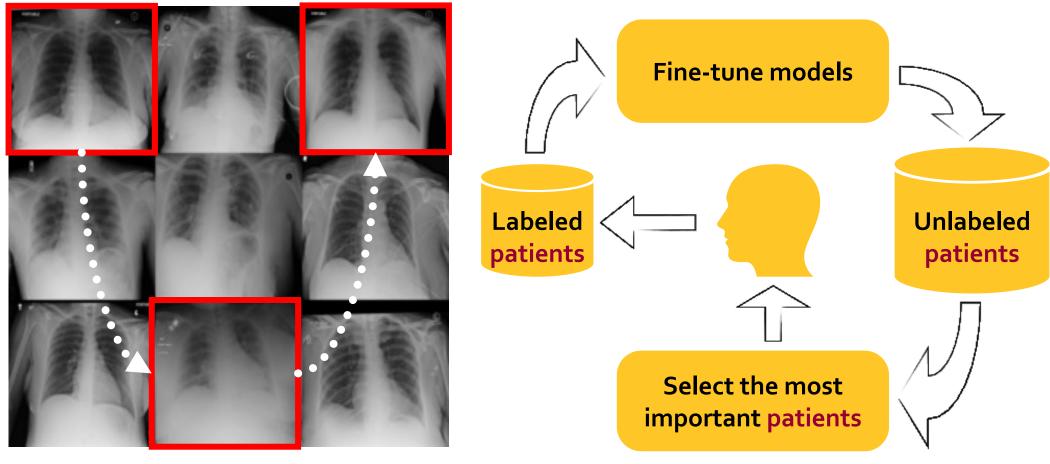
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**Patient-level annotation** 

### Not All Data Is Created Equal

#### Publications for Aim 1:

- Z. Zhou, J. Shin, L. Zhang, S. Gurudu, M. Gotway, J. Liang, 2017. Fine-tuning Convolutional Neural Networks for Biomedical Image Analysis: Actively and Incrementally. CVPR'17, one of only five papers in biomedical imaging accepted by CVPR'17.
- Z. Zhou, J. Shin, S. Gurudu, M. Gotway, J. Liang, 2021. Active, Continual Fine Tuning of Convolutional Neural Networks for Reducing Annotation Efforts. *Medical Image Analysis*.
- Z. Zhou, J. Shin, R. Feng, R. Hurst, C. Kendall, J. Liang, 2019. Integrating Active Learning and Transfer Learning for Carotid Intima-Media Thickness Video Interpretation. *Journal of Digital Imaging*.

### Not All Data Is Created Equal

### Clinical Impacts of Aim 1:

- The continual learning capability of deep models encourages data, label, and model reuse, significantly improving the training efficiency.
- An efficient "human-in-the-loop" procedure assists radiologists in quickly dismissing patients with negative results, therefore dramatically reducing the burden of annotation.
- An instant on-line feedback process makes it possible for CAD systems to be self-learning and selfimproving via continual fine-tuning.



**Task:** Enhance the architecture for modeling 1,000 annotated images

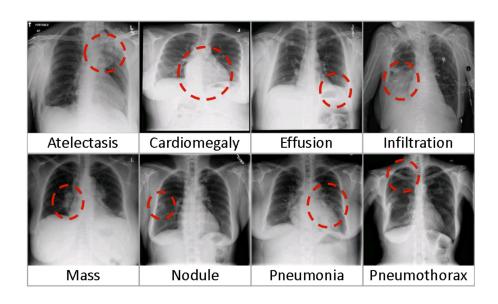
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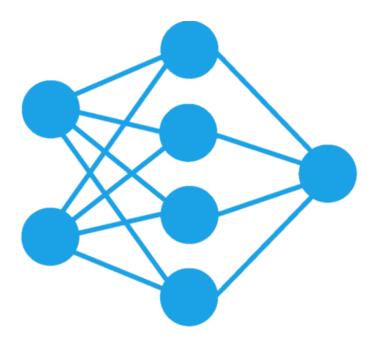
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**Segmentation:** Partition an image into multiple segments to ease the analysis

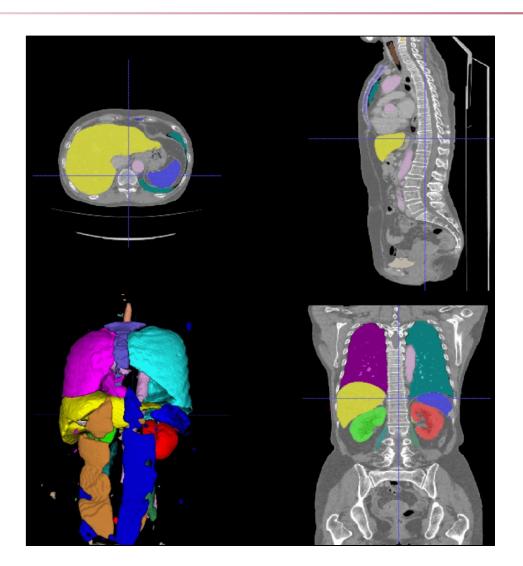
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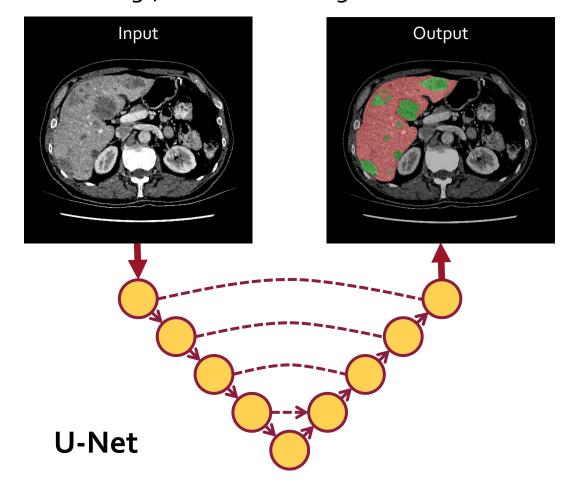
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e.g., liver & lesion segmentation





Hypothesis: Multi-scale feature aggregation leads to powerful models

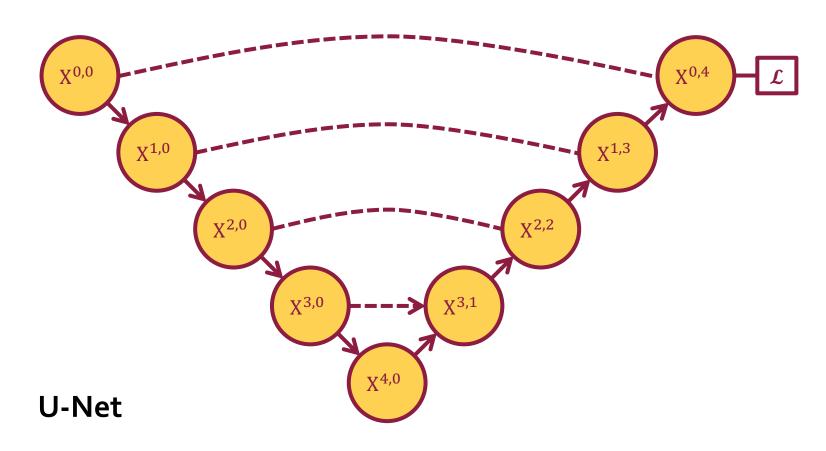
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<sup>1.</sup> Ronneberger, Olaf, Philipp Fischer, and Thomas Brox. "U-net: Convolutional networks for biomedical image segmentation." International Conference on Medical image computing and computer-assisted intervention. Springer, Cham, 2015.



**Approach:** Redesigned skip connections aggregate multi-scale features

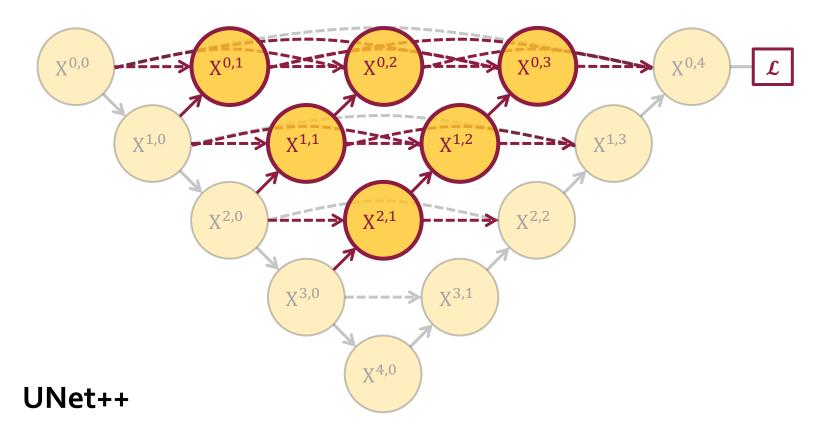
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<sup>1.</sup> Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support. Springer, Cham, 2018. 3-11.



**Approach:** Redesigned skip connections aggregate multi-scale features

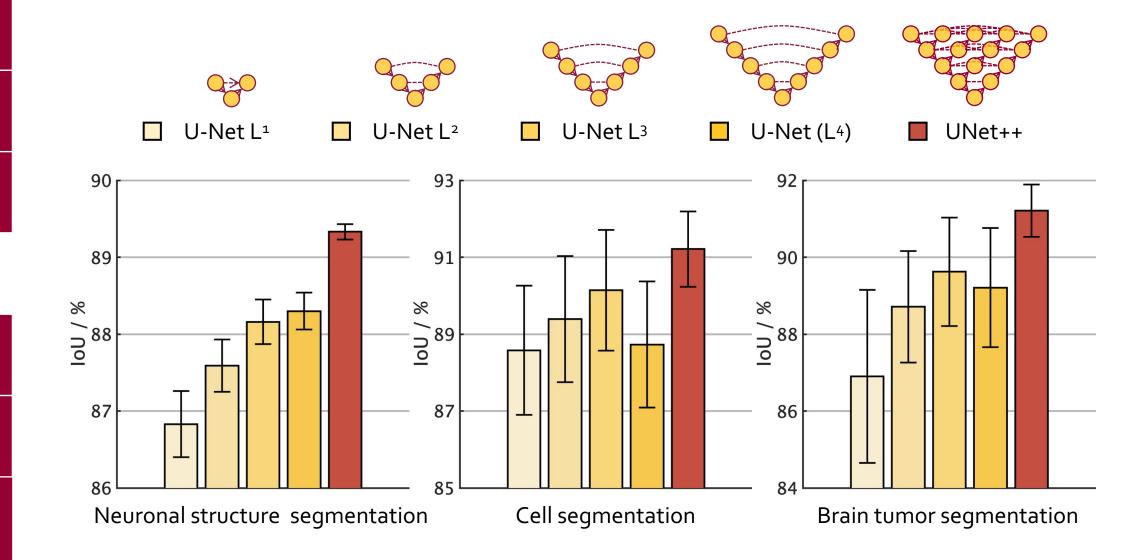
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Approach: Deep supervision stabilizes model training and enables model pruning

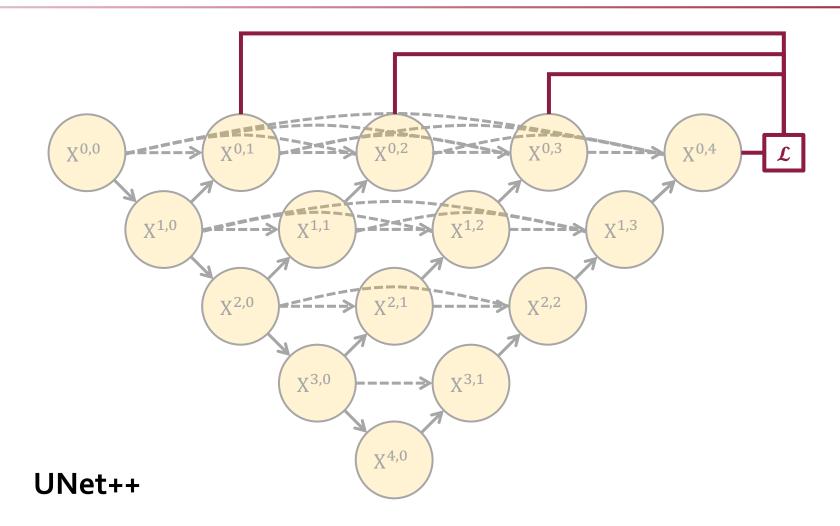
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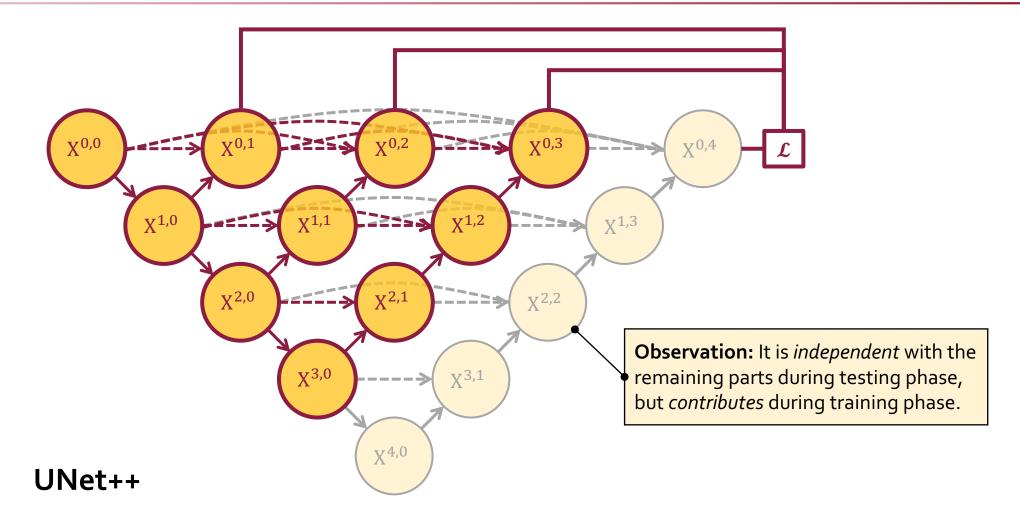
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**Contribution:** UNet++ significantly improves disease/organ segmentation

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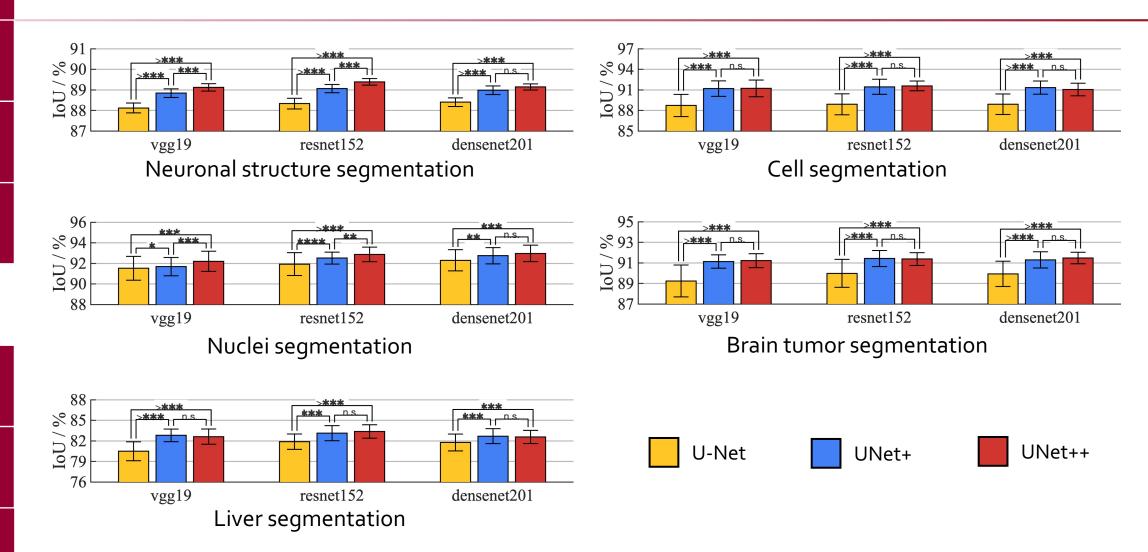
Objective

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**Summary** 



1. Zhou, Zongwei, et al. "Unet++: Redesigning skip connections to exploit multiscale features in image segmentation." IEEE transactions on medical imaging 39.6 (2019): 1856-1867.



**Contribution:** UNet++ significantly improves disease/organ segmentation

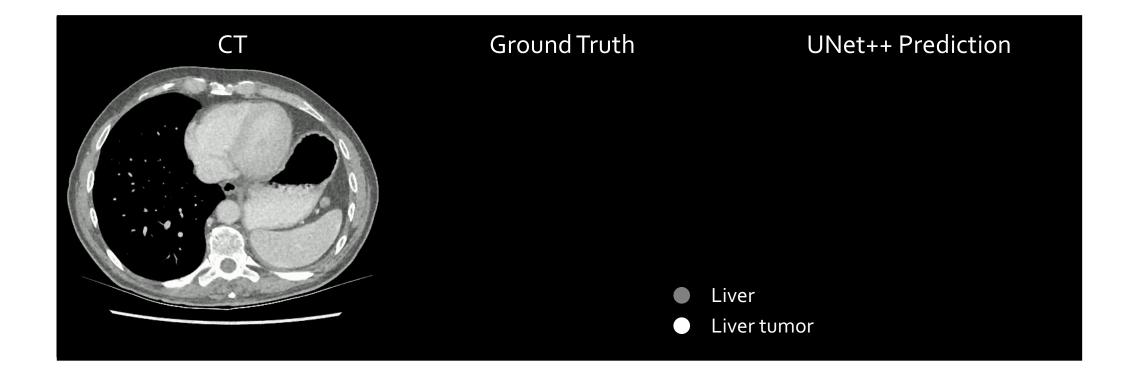
#### Introduction

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Aim 3



- 1. Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support. Springer, Cham, 2018. 3-11.
- 2. Zhou, Zongwei, et al. "Unet++: Redesigning skip connections to exploit multiscale features in image segmentation." IEEE transactions on medical imaging 39.6 (2019): 1856-1867.



**Contribution:** UNet++ significantly improves disease/organ segmentation

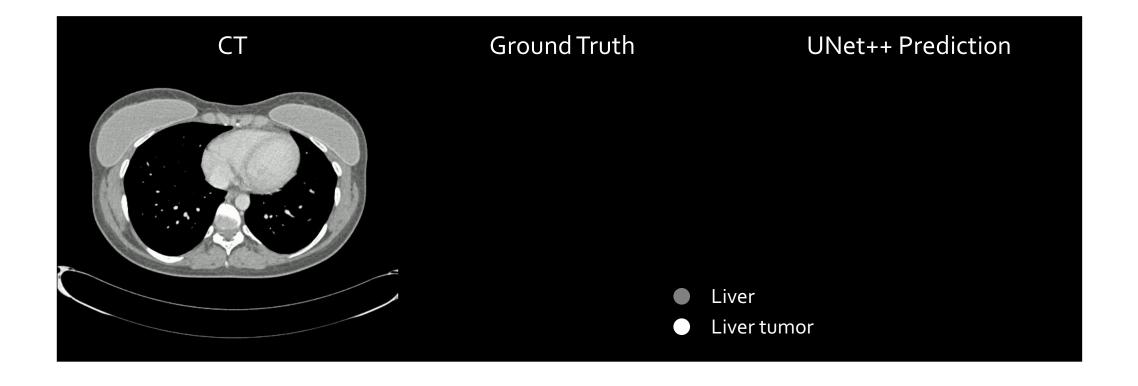
#### Introduction

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Aim 3



<sup>1.</sup> Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support. Springer, Cham, 2018. 3-11.

<sup>2.</sup> Zhou, Zongwei, et al. "Unet++: Redesigning skip connections to exploit multiscale features in image segmentation." IEEE transactions on medical imaging 39.6 (2019): 1856-1867.

# Intertwine the visual representation

### Publications for Aim 2:

- Z. Zhou, M. M. Rahman Siddiquee, N. Tajbakhsh, J. Liang, 2019. UNet++: Redesigning Skip Connections to Exploit Multi-Resolution Features in Image Segmentation. *IEEE Transactions on Medical Imaging, ranked among the most popular articles in IEEE TMI.*
- Z. Zhou, M. M. Rahman Siddiquee, N. Tajbakhsh, J. Liang, 2018. UNet++: A Nested U-Net Architecture for Medical Image Segmentation. *DLMIA'18*.

### Intertwine the visual representation

### Clinical Impacts of Aim 2:

- o Image segmentation can help compute clinically more accurate and desirable *imaging bio-markers* or *precision measurement*.
- Model pruning has the potential to exert important impact on deploying CAD systems to mobile devices and ordinary desktop/laptop PCs in clinical practice.

43.90% → 58.10% (U-Net $\rightarrow$ UNet++)
Covid-19 segmentation (CT)
[Fan et al., IEEE TMI]

 $78.56\% \rightarrow 82.90\% (U-Net \rightarrow UNet++)$ Fiber tracing (corneal confocal microscopy) [Mou et al., MICCAI]

 $86.48\% \rightarrow 89.53\% (U-Net \rightarrow UNet++)$ Spleen segmentation (MRI) [Li et al., Computers & Graphics]

# Intertwine the visual representation

Research Impacts of Aim 2: https://github.com/MrGiovanni/UNetPlusPlus

We have made UNet++ open science to stimulate collaborations among the research community and to help translate the technology to clinical practice.

 $86.59\% \rightarrow 87.22\% (U-Net \rightarrow UNet++)$ SegTHOR 2019 Challenge (CT)

[Zhang et al., IEEE TMI]

 $90.16\% \rightarrow 91.98\% (U-Net \rightarrow UNet++)$ Optic Disc & Cup Segmentation (fundus image) [Meng et al., MICCAI]

 $60.34\% \rightarrow 71.60\% (U-Net \rightarrow UNet++)$ Ground-glass opacity segmentation (CT) [Zheng et al., IEEE Access]

51.20% → 58.60% (U-Net → UNet++)

Esophagus segmentation (CT) [Huang et al., IEEE Access]

Liver tumor segmentation (CT) [Bajpai et al., Master Thesis]

 $63.72\% \rightarrow 66.25\% \text{ (U-Net} \rightarrow \text{UNet++)}$ 

 $90.70\% \rightarrow 91.56\% (U-Net \rightarrow UNet++)$ Heart segmentation (MRI) [Ji et al., MICCAI]



**Task:** Utilize 1,000,000 images without systematic annotation

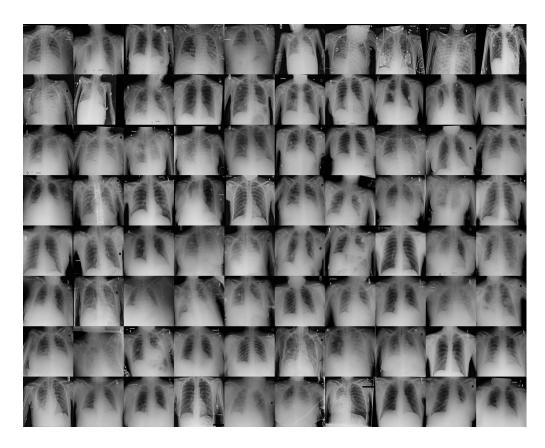
Introduction

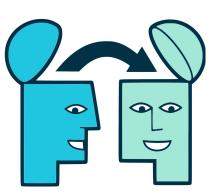
Objective

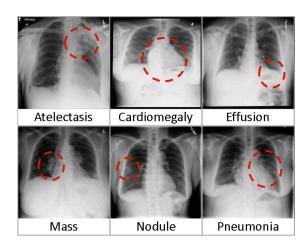
Aim 1

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Hypothesis: Generic models can be built upon consistent, recurrent anatomy

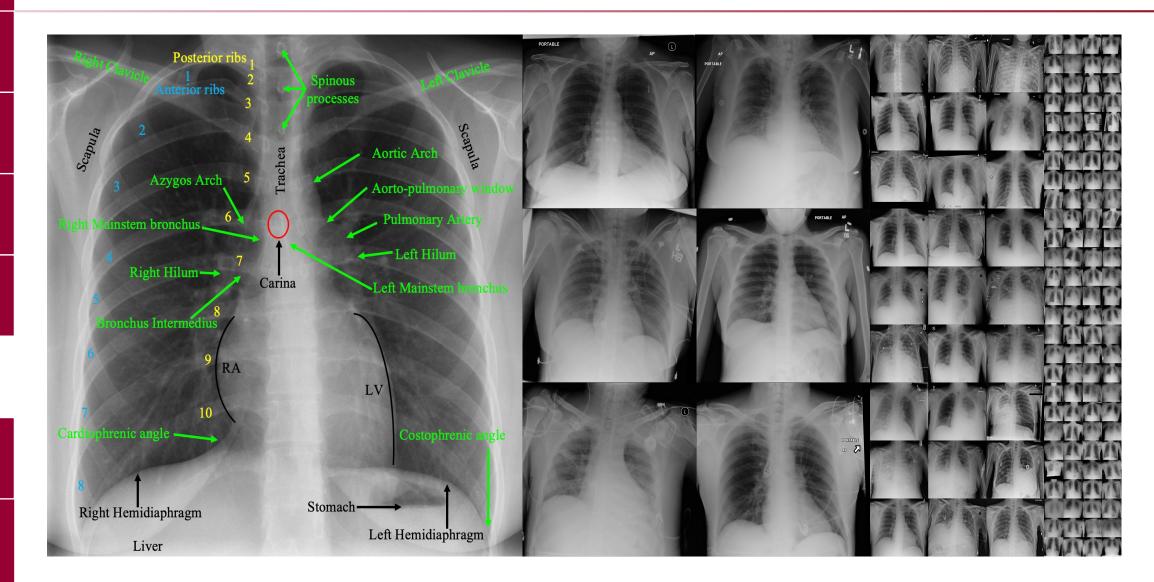
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Approach: Image restoration task helps model learn image representation

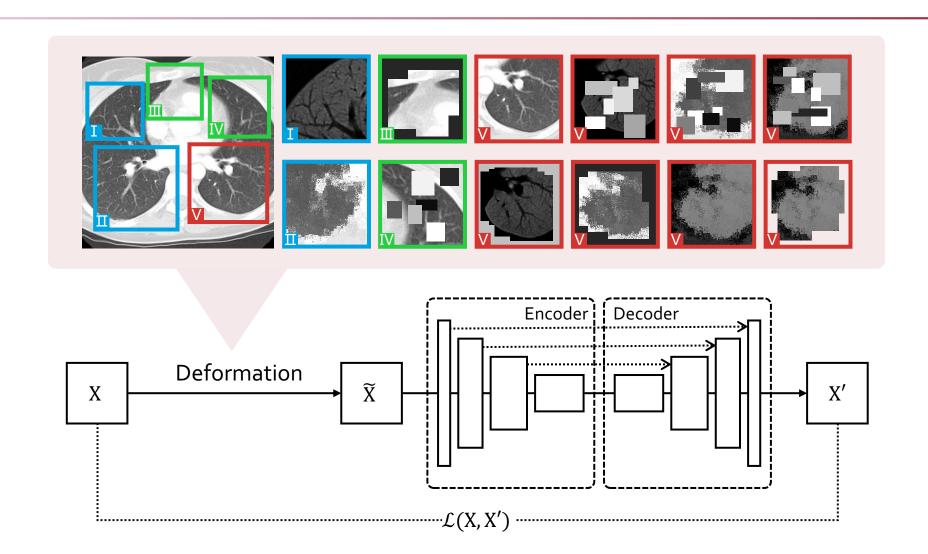
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**Approach:** Learning from multiple perspectives leads to robust models

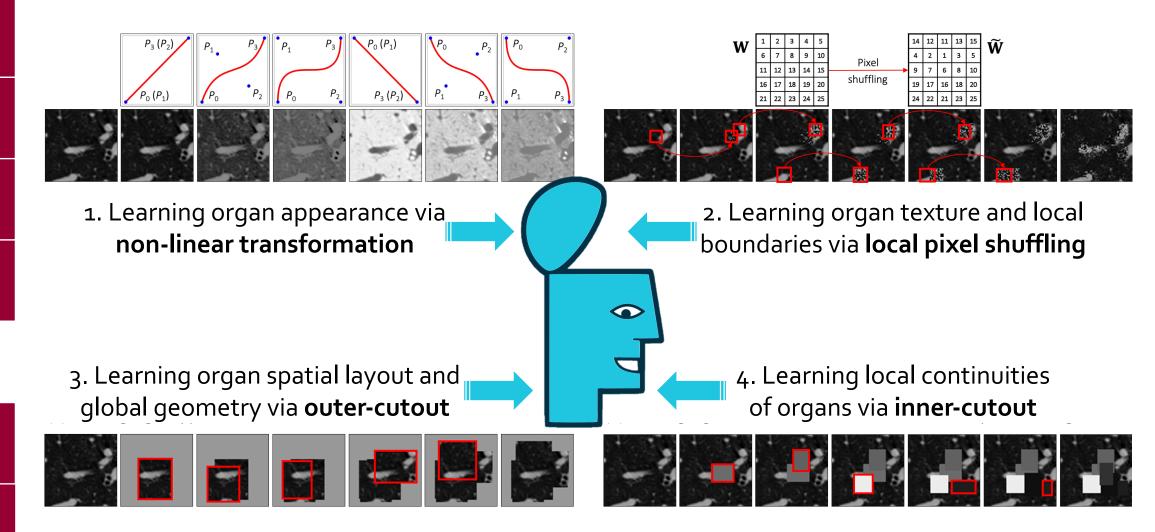
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Contribution: Build generic pre-trained 3D models, named "Models Genesis"

Introduction

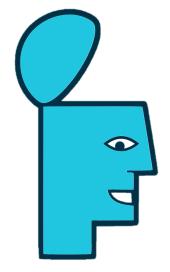
Objective

Aim 1

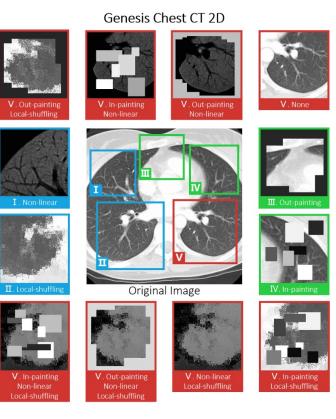
Aim 2

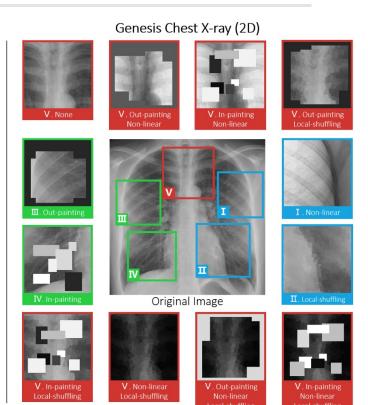
Aim 3

Summary



# Models Genesis





- 1. Zhou, Zongwei, et al. "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.
- 2. Zhou, Zongwei, et al. "Models genesis." Medical image analysis 67 (2021): 101840.



**Contribution:** Models Genesis exceed publicly available pre-trained 3D models

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Summary

Pre-training	Approach	Target tasks				
110-uanning		NCC <sup>1</sup> (%)	$NCS^2$ (%)	ECC <sup>3</sup> (%)	LCS <sup>4</sup> (%)	BMS <sup>5</sup> (%)
	Random with Uniform Init	94.74±1.97	75.48±0.43	80.36±3.58	78.68±4.23	60.79±1.60
No	Random with Xavier Init (Glorot and Bengio, 2010)	$94.25 \pm 5.07$	$74.05 \pm 1.97$	$79.99 \pm 8.06$	$77.82 \pm 3.87$	$58.52 \pm 2.61$
	Random with MSRA Init (He et al., 2015)	$96.03 \pm 1.82$	$76.44 \pm 0.45$	$78.24 \pm 3.60$	$79.76 \pm 5.43$	$63.00 \pm 1.73$
	I3D (Carreira and Zisserman, 2017)	98.26±0.27	71.58±0.55	80.55±1.11	70.65±4.26	67.83±0.75
(Fully) supervised	NiftyNet (Gibson et al., 2018b)	94.14±4.57	$52.98 \pm 2.05$	$77.33 \pm 8.05$	$83.23 \pm 1.05$	$60.78 \pm 1.60$
	MedicalNet (Chen et al., 2019b)	$95.80 \pm 0.49$	$75.68 \pm 0.32$	86.43±1.44	$85.52 \!\pm\! 0.58^{\dagger}$	$66.09 \pm 1.35$
	De-noising (Vincent et al., 2010)	95.92±1.83	73.99±0.62	85.14±3.02	84.36±0.96	57.83±1.57
	In-painting (Pathak et al., 2016)	$91.46 \pm 2.97$	$76.02 \pm 0.55$	79.79±3.55	81.36±4.83	$61.38 \pm 3.84$
	Jigsaw (Noroozi and Favaro, 2016)	$95.47 \pm 1.24$	$70.90 \pm 1.55$	$81.79 \pm 1.04$	$82.04 \pm 1.26$	$63.33 \pm 1.11$
Self-supervised	DeepCluster (Caron et al., 2018)	$97.22 \pm 0.55$	$74.95 \pm 0.46$	$84.82 \pm 0.62$	$82.66 \pm 1.00$	$65.96 \pm 0.85$
	Patch shuffling (Chen et al., 2019a)	$91.93 \pm 2.32$	$75.74 \pm 0.51$	$82.15 \pm 3.30$	$82.82 \pm 2.35$	$52.95 \pm 6.92$
	Rubiks Cube (Zhuang et al., 2019)	96.24±1.27	$72.87 \pm 0.16$	$80.49 \pm 4.64$	$75.59 \pm 0.20$	$62.75\pm1.93$
	Genesis Chest CT (ours)	98.34±0.44	$77.62 \pm 0.64$	$87.20 \pm 2.87$	85.10±2.15	67.96±1.29

<sup>&</sup>lt;sup>1</sup>NCC Lung nodule false positive reduction in CT images

<sup>2</sup>NCS Lung nodule segmentation in CT images

<sup>3</sup>ECC Pulmonary embolism false positive reduction in CT images

<sup>4</sup>LCS Liver segmentation in CT images

<sup>5</sup>BMS Brain tumor segmentation in MR images



arget models

Genesis Chest C

<sup>1.</sup> Zhou, Zongwei, *et αl.* "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.

<sup>2.</sup> Zhou, Zongwei, et al. "Models genesis." Medical image analysis 67 (2021): 101840.



Contribution: Models Genesis reduce annotation efforts by at least 30%

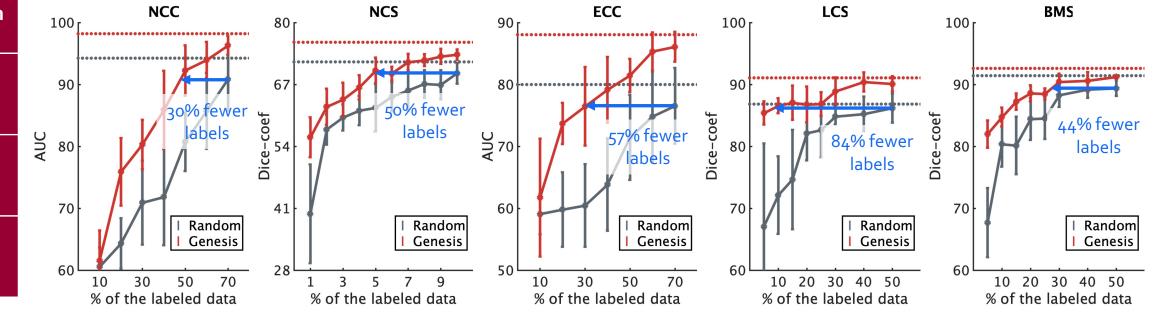
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- <sup>1</sup>NCC Lung nodule false positive reduction in CT images

  <sup>2</sup>NCS Lung nodule segmentation in CT images

  <sup>3</sup>ECC Pulmonary embolism false positive reduction in CT images
- 4LCS Liver segmentation in CT images
- <sup>5</sup>BMS Brain tumor segmentation in MR images



- 1. Zhou, Zongwei, et al. "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.
- 2. Zhou, Zongwei, et al. "Models genesis." Medical image analysis 67 (2021): 101840.



**Discussion:** Extend to modality-oriented and organ-oriented models

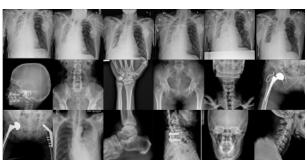
Introduction

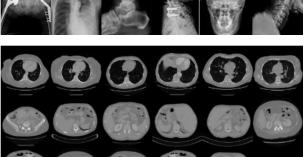
Objective

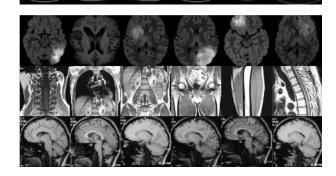
Aim 1

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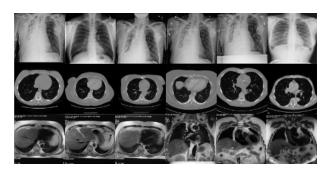


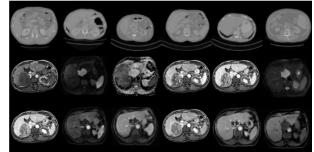


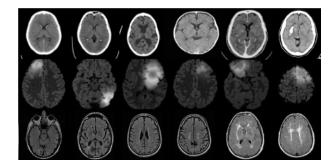


















# Effective image features across diseases, organs, and modalities.

### Publications for Aim 3:

- Z. Zhou, V. Sodha, M. M. Rahman Siddiquee, R. Feng, N. Tajbakhsh, M. Gotway, J. Liang, 2019.
   Models Genesis: Generic Autodidactic Models for 3D Medical Image Analysis. MICCAI'19, Young Scientist Award.
- Z. Zhou, V. Sodha, J. Pang, M. Gotway, J. Liang, 2020. Models Genesis. Medical Image Analysis, MedIA Best Paper Award.

# Effective image features across diseases, organs, and modalities.

### Clinical Impacts of Aim 3:

- Instead of building a model from scratch (demanding numerous data and label acquisition), a smaller dataset can be used to efficiently fine-tune the existing model.
- Generic pre-trained models can serve as a primary source of transfer learning for many medical imaging applications, leading to accelerated training and improved performance.

68.98% → 73.85% (Scratch → MG)
Prostate segmentation (MRI)

[Taleb et al., arXiv:1912.05396, 2019]

83.14%  $\rightarrow$  88.30% (Scratch  $\rightarrow$  MG) Lymph node classification (histology)

 $72.30\% \rightarrow 85.81\%$  (Scratch  $\rightarrow$  MG) Brain hemorrhage classification (CT) [Zhu et al., arXiv:2012.07477, 2020]

[Xu et al., BIBM, 2020]

# Effective image features across diseases, organs, and modalities.

## **Research Impacts of Aim 3:** https://github.com/MrGiovanni/ModelsGenesis

We have made Models Genesis open science to stimulate collaborations among the research community and to help translate the technology to clinical practice.

67.04% → 74.53% (Scratch → MG) Blood cavity segmentation (MRI)

[Zhang et al., arXiv:2010.06107, 2020]

 $67.84\% \rightarrow 69.27\% (Scratch \rightarrow MG)$ 13 organ segmentation (CT) [Xie et al., arXiv:2011.12640, 2020]

89.98% → 95.01% (Scratch  $\rightarrow$  MG) Liver segmentation (CT&MRI)

[Taleb et al., arXiv:1912.05396, 2019]

77.50% → 92.50% (Scratch → MG) COVID-19 classification (CT) [Sun et al., arXiv:2012.06457, 2020]

Liver tumor segmentation (CT)

Alzheimer's disease classification (MRI) [Zhang et al., arXiv:2010.06107, 2020]

74.00% → 79.33% (Scratch → MG)

[Bajpai et al., Master Thesis, 2021]

75.97% → 77.50% (Scratch  $\rightarrow$  MG)



Objective

Aim 1

Aim 2

Aim 3

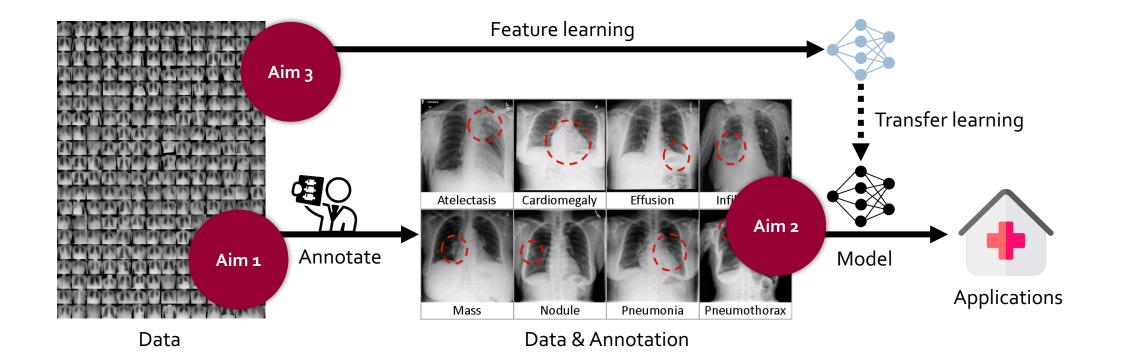
**Summary** 

Goal: Minimize manual annotation efforts for rapid, precise computer-aided diagnosis systems

Aim 1: Acquiring necessary annotation efficiently from human experts

Aim 2: Utilizing existing annotation effectively from advanced architecture

Aim 3: Extracting generic knowledge directly from unannotated images





Objective

Aim 1

Aim 2

Aim 3

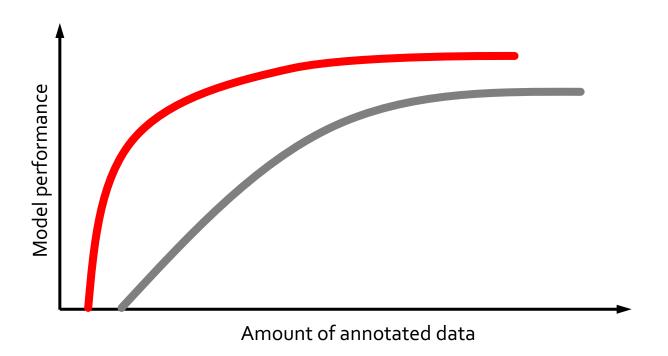
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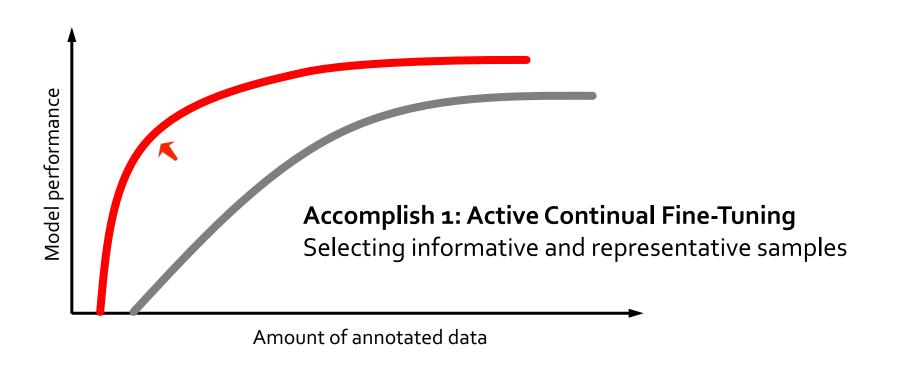
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Objective

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Aim 2

Aim 3

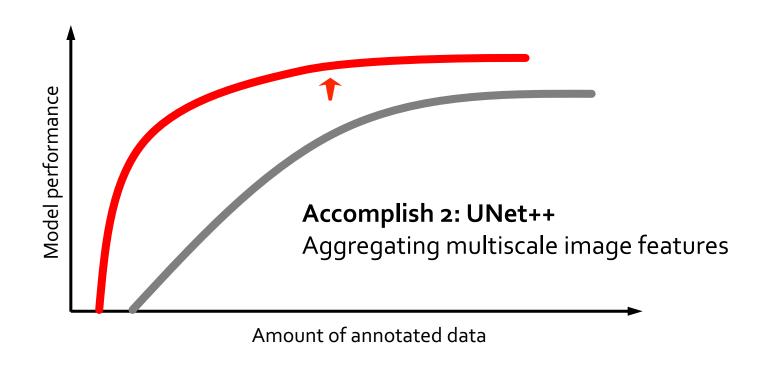
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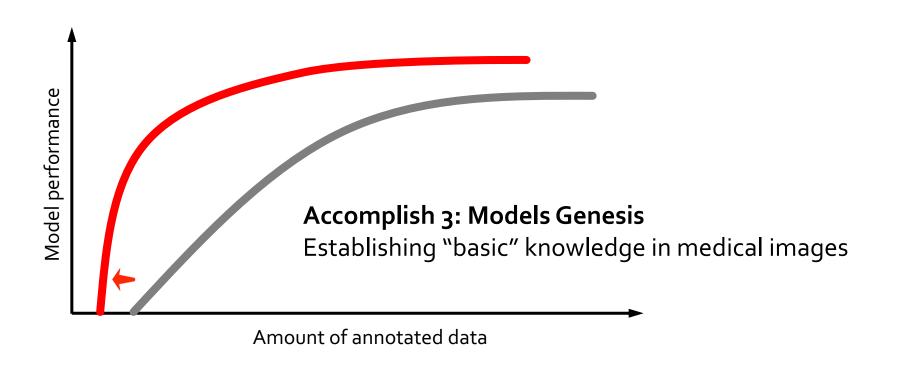
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Aim 2

Aim 3

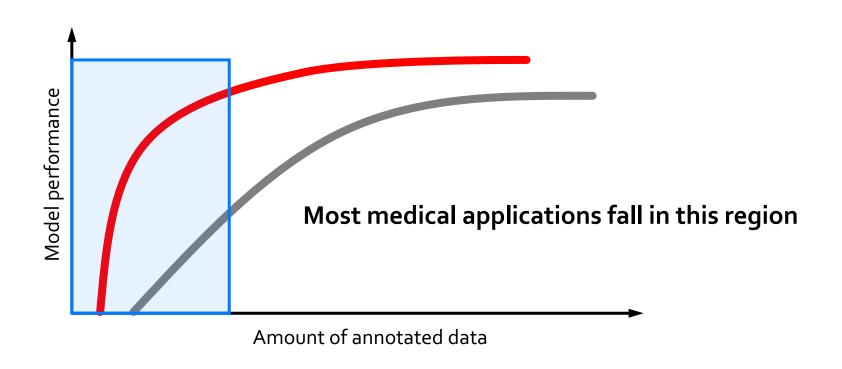
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**Conclusion:** With a small part of the dataset annotated, we can deliver deep models that approximate or even outperform those that require annotating the entire dataset. **Yes, we can!** 

**Annotation-efficiency:** Applications of pulmonary embolism detection (*rank* #3) and liver tumor segmentation (*rank* #1, Shivam 2021)



Objective

Aim 1

Aim 2

Aim 3

**Summary** 

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**Annotation-efficiency:** Applications of pulmonary embolism detection (*rank* #3) and liver tumor segmentation (*rank* #1, Shivam 2021)

Interpreting medical images: A book chapter overviewing AI in medical image interpretation



### **THANK YOU**

- Jianming Liang, Ph.D.
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# Towards Annotation-Efficient Deep Learning for Computer-Aided Diagnosis

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