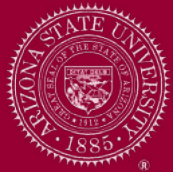




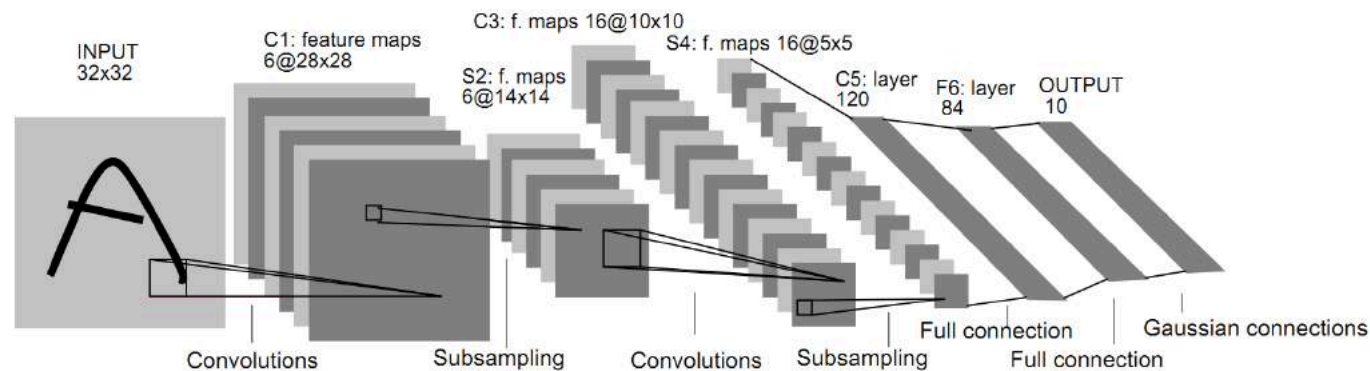
Annotation-efficient Deep Learning for Computer-aided Diagnosis in Medical Imaging

Zongwei Zhou

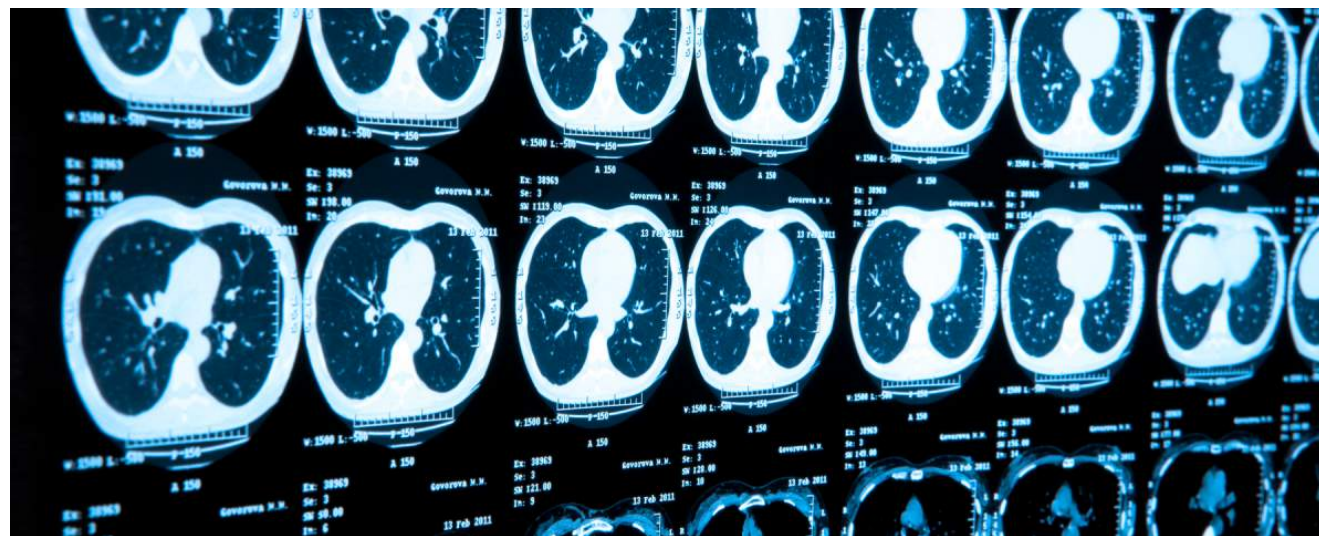
Ph.D. Candidate, Biomedical Informatics
College of Health Solutions, Arizona State University
P: 1-(480)738-2575 | E: zongweiz@asu.edu



Deep Learning propels us into the so-called artificial intelligence (AI) era



Imaging data account for about 90% of all healthcare data



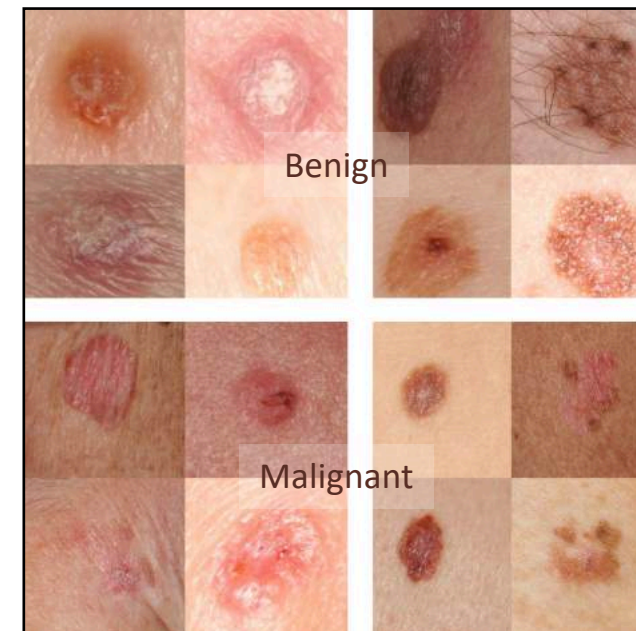
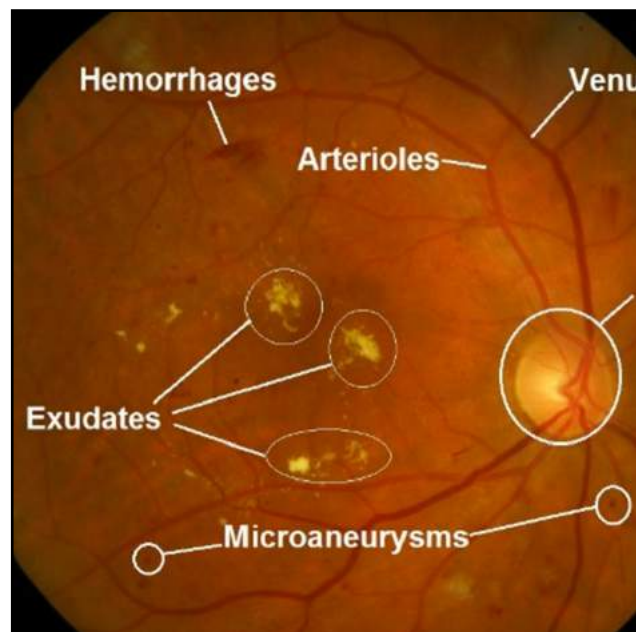
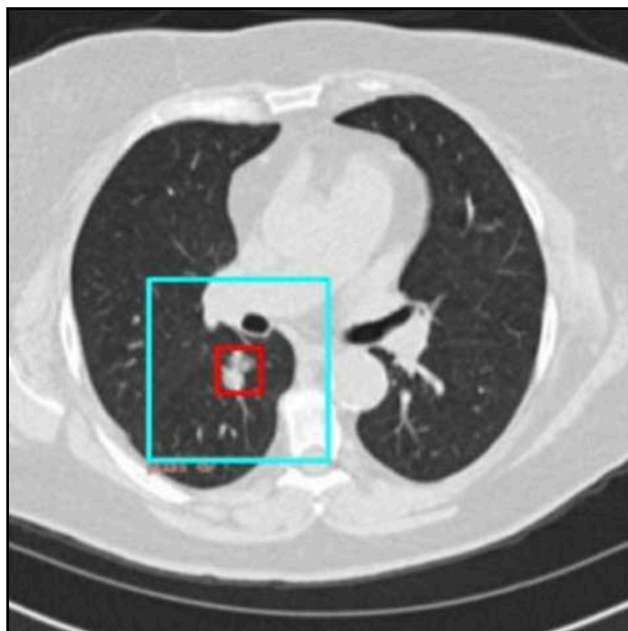
1. LeCun, Yann, Yoshua Bengio, and Geoffrey Hinton. "Deep learning." nature 521.7553 (2015): 436-444
2. "The Digital Universe Driving Data Growth in Healthcare." published by EMC with research and analysis from IDC (12/13)



Deep Learning works well in medical imaging, but it demands massive annotation costs.

To match human diagnostic precision, deep learning algorithms require

- **42,290** radiologist-labeled CT images for lung cancer diagnosis
- **128,175** ophthalmologist-labeled retinal images for diabetic retinopathy detection
- **129,450** dermatologist-labeled images for skin cancer classification



1. Ardila, Diego, et al. "End-to-end lung cancer screening with three-dimensional deep learning on low-dose chest computed tomography." *Nature medicine* 25.6 (2019): 954-961.
2. Gulshan, Varun, et al. "Development and validation of a deep learning algorithm for detection of diabetic retinopathy in retinal fundus photographs." *Jama* 316.22 (2016): 2402-2410.
3. Esteva, Andre, et al. "Dermatologist-level classification of skin cancer with deep neural networks." *nature* 542.7639 (2017): 115-118.



Deep Learning works well in medical imaging, but it demands massive annotation costs.

To match human diagnostic precision, deep learning algorithms require

- **42,290** radiologist-labeled CT images for lung cancer diagnosis
- **128,175** ophthalmologist-labeled retinal images for diabetic retinopathy detection
- **129,450** dermatologist-labeled images for skin cancer classification

How to develop cost-effective deep learning algorithms for those diseases that have no such labeled big data?

Consider the scenarios as follows:

- A flood of patients are pending during an outbreak
- Doctors do not have time to annotate every case
- Not many doctors have expertise for novel diseases

Computer-aided diagnosis of rare diseases or rapid response to global pandemics are severely under-explored owing to the difficulty of collecting a sizeable amount labeled data.

Introduction

Objective

Aim #1

Aim #2

Aim #3

Summary



Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

Introduction

Objective

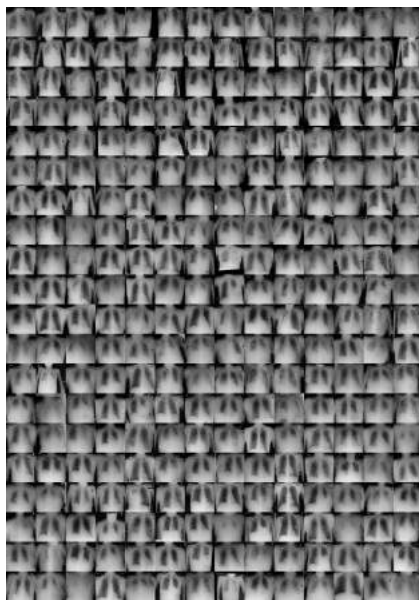
Aim #1

Aim #2

Aim #3

Summary

\$1,000,000 annotation cost 😞

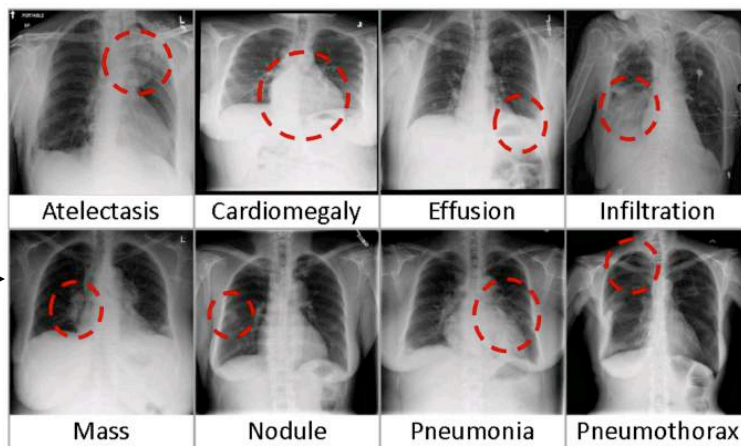


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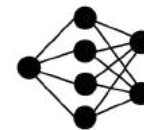


Annotate

\$1,000 annotation cost 😊



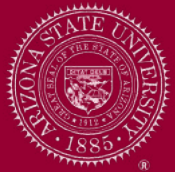
Data & Annotation



Model



Applications



Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

Aim #1: Acquiring necessary annotation efficiently from human experts

Introduction

Objective

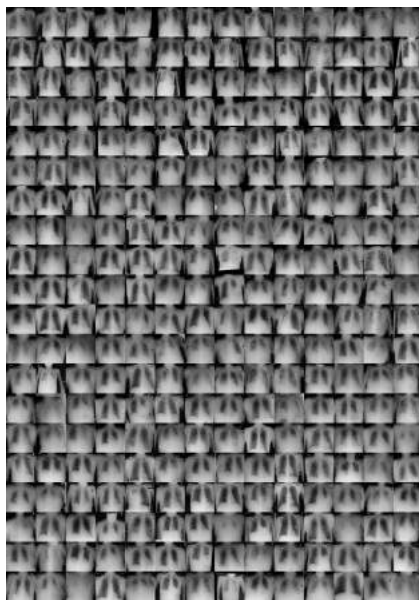
Aim #1

Aim #2

Aim #3

Summary

\$1,000,000 annotation cost 😞

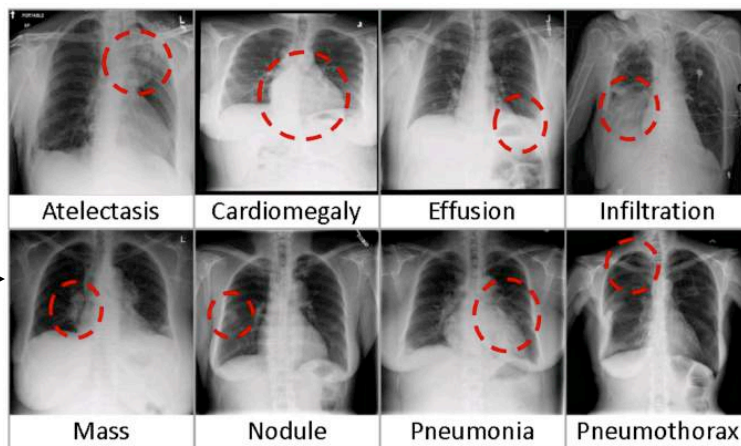


Data



Annotate

\$1,000 annotation cost 😊



Data & Annotation



Model



Applications



Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

Aim #1: Acquiring necessary annotation efficiently from human experts

Aim #2: Utilizing existing annotation effectively from advanced architecture

Introduction

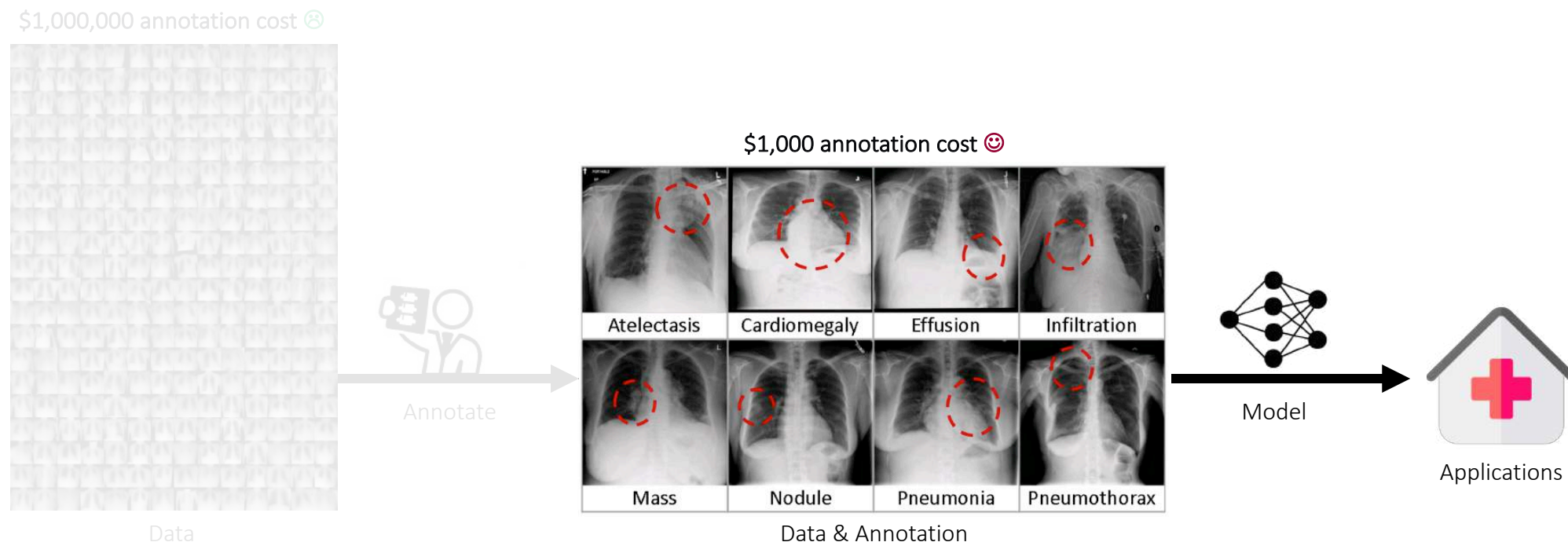
Objective

Aim #1

Aim #2

Aim #3

Summary





Introduction

Objective

Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

Aim #1: Acquiring necessary annotation efficiently from human experts

Aim #2: Utilizing existing annotation effectively from advanced architecture

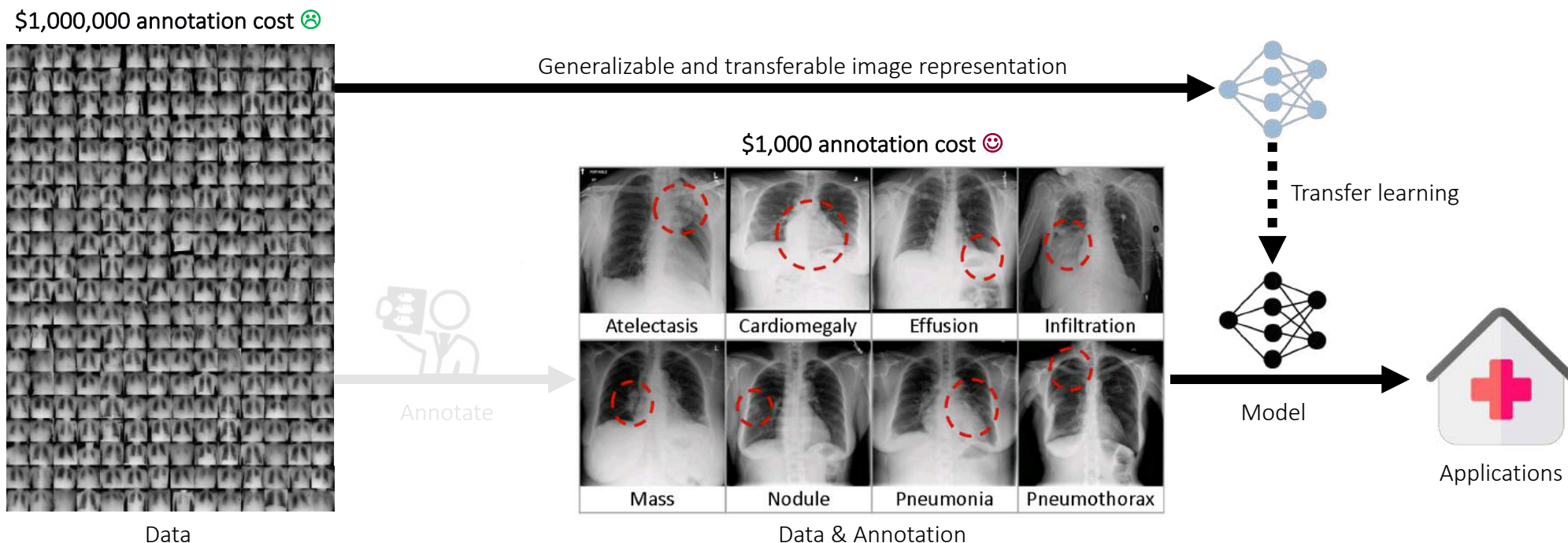
Aim #3: Extracting generic knowledge directly from unannotated images

Aim #1

Aim #2

Aim #3

Summary





Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

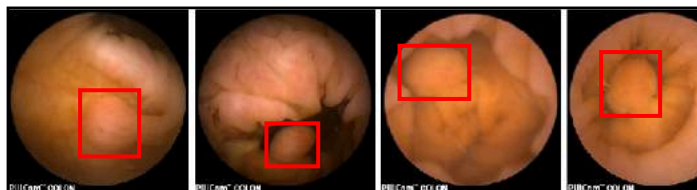
Aim #1: Acquiring necessary annotation efficiently from human experts

Aim #2: Utilizing existing annotation effectively from advanced architecture

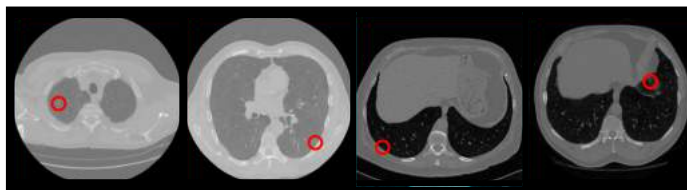
Aim #3: Extracting generic knowledge directly from unannotated images

Objective

Aim #1



Polyp detection

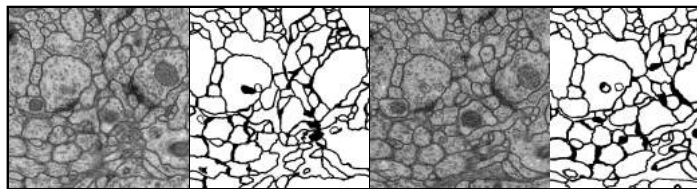


Lung nodule detection

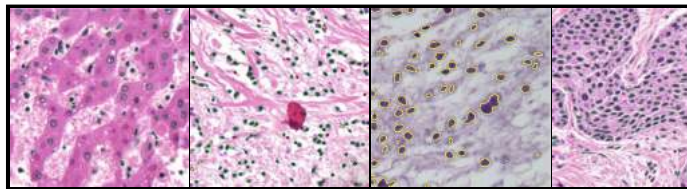


Pulmonary embolism detection

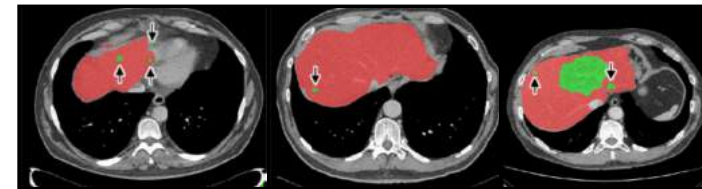
Aim #2



Neuronal structure segmentation

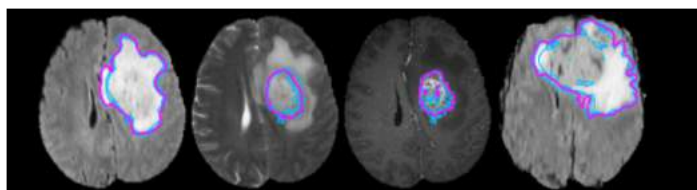


Cell/nuclei segmentation



Liver/lesion segmentation

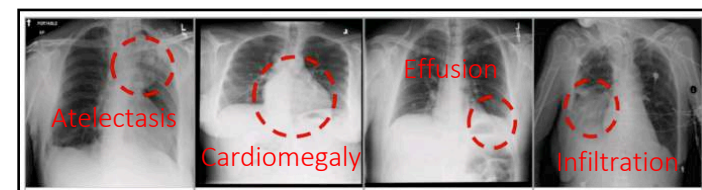
Aim #3



Brain/tumor segmentation

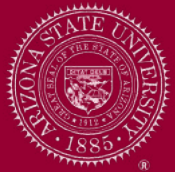


Kidney/lesion segmentation



Pulmonary diseases classification

Summary



Aim #1: Acquiring necessary annotation efficiently from human experts

Problem: Find the most important 1,000 images from 1,000,000 images

Introduction

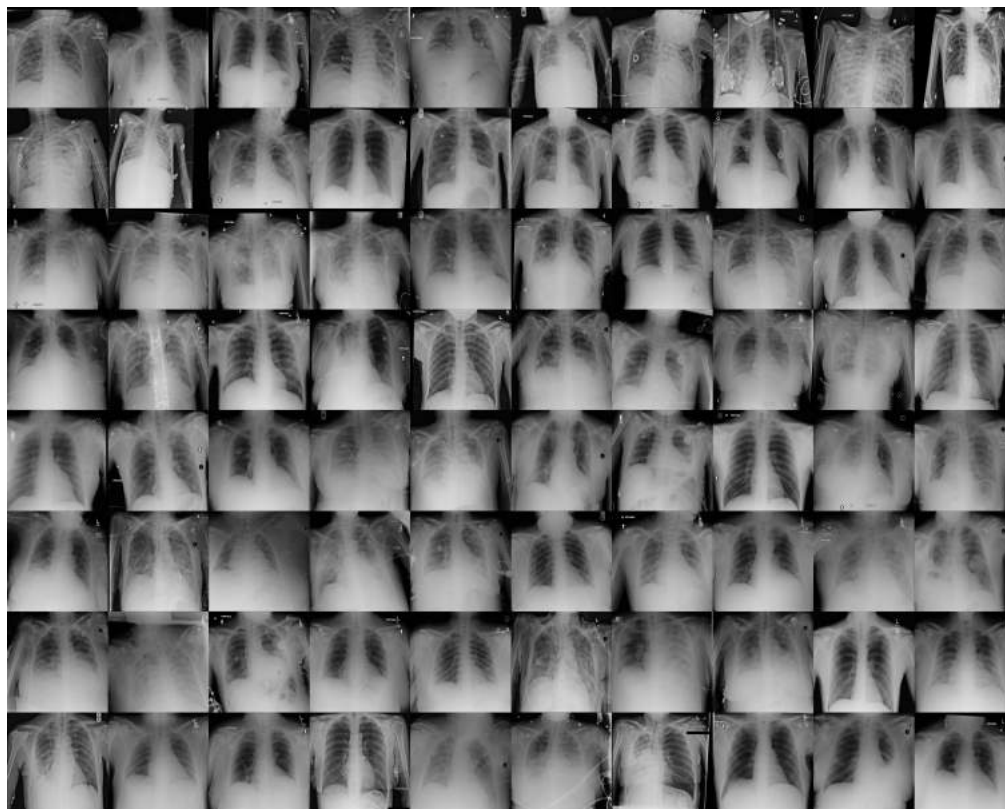
Objective

Aim #1

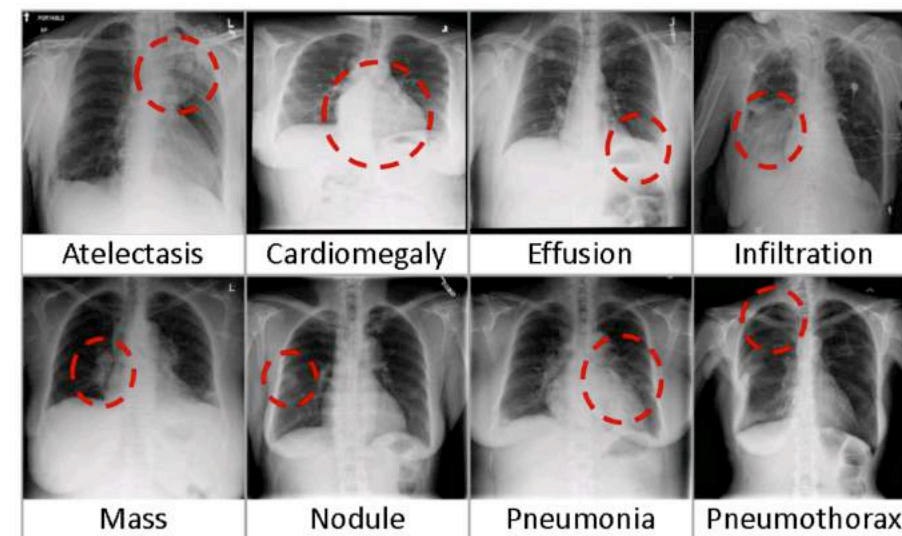
Aim #2

Aim #3

Summary



\$ 1,000,000 annotation cost 😞

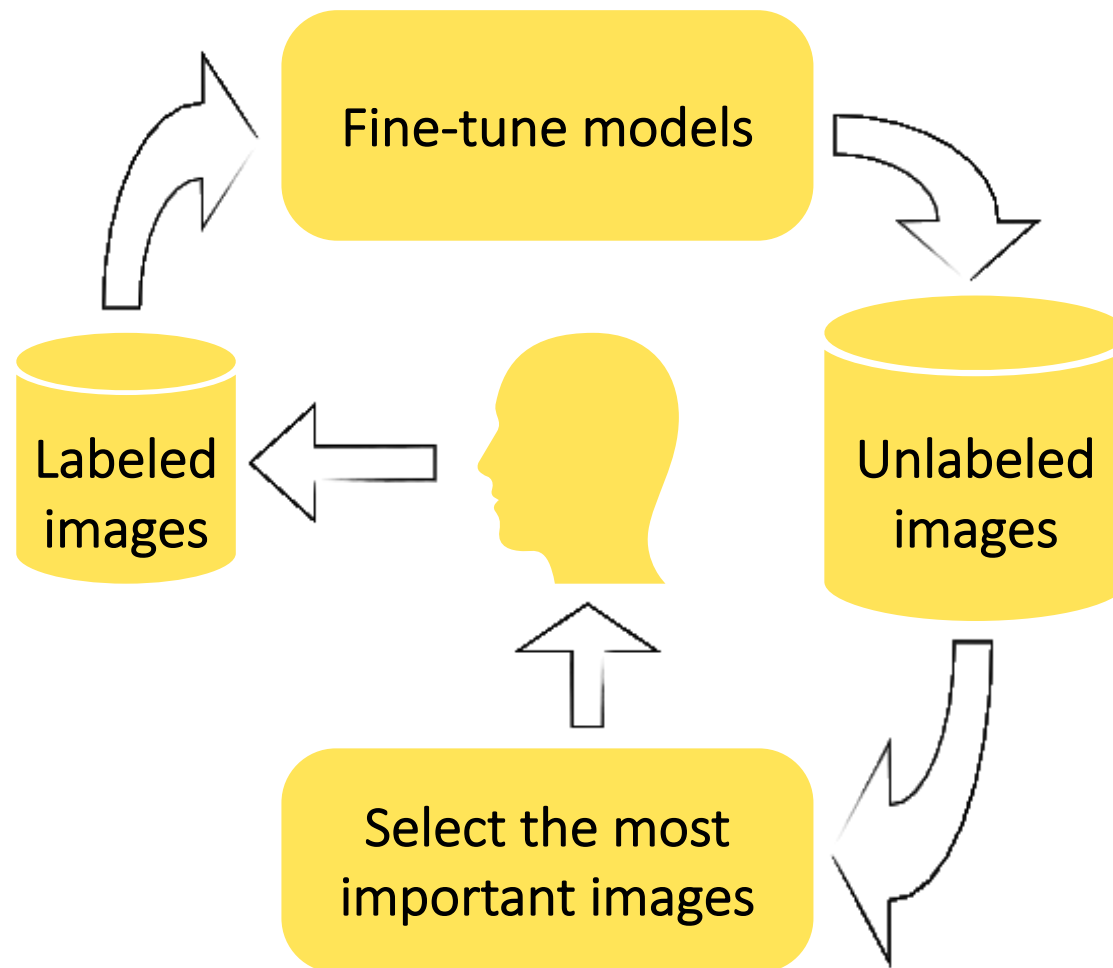


\$ 1,000 annotation budget 😊



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure



Aim #1

Aim #2

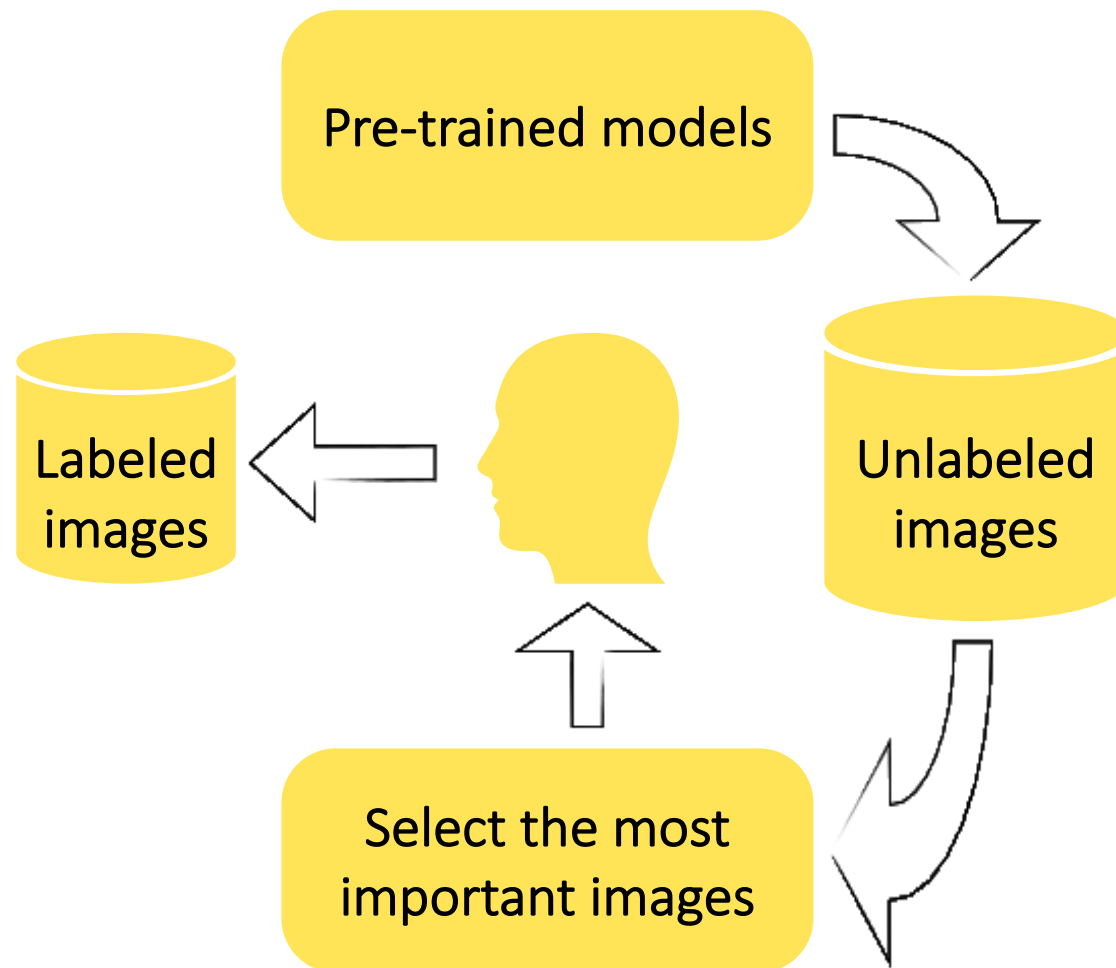
Aim #3

Summary



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure



Introduction

Objective

Aim #1

Aim #2

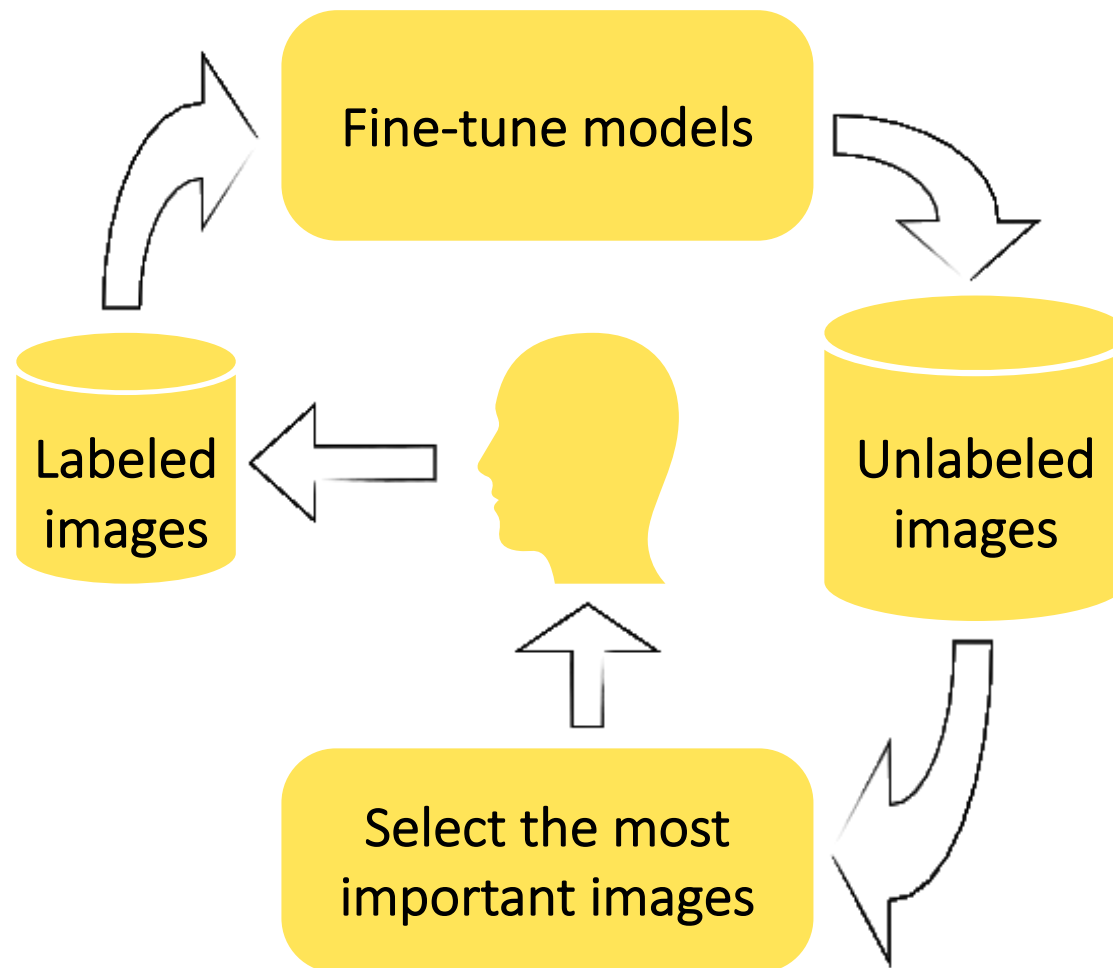
Aim #3

Summary



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure

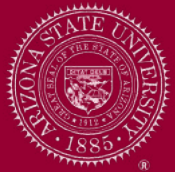


Aim #1

Aim #2

Aim #3

Summary



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure

Introduction

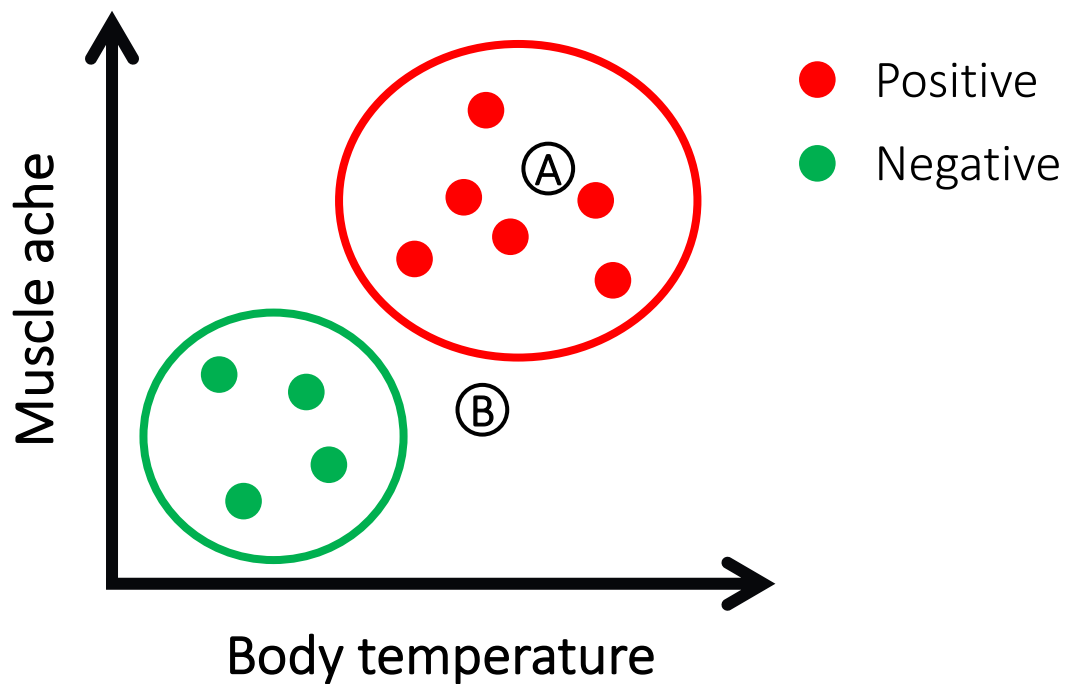
Objective

Aim #1

Aim #2

Aim #3

Summary



Select the most important samples

Given one dollar,
which patient would you
annotate, A or B?



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure

Introduction

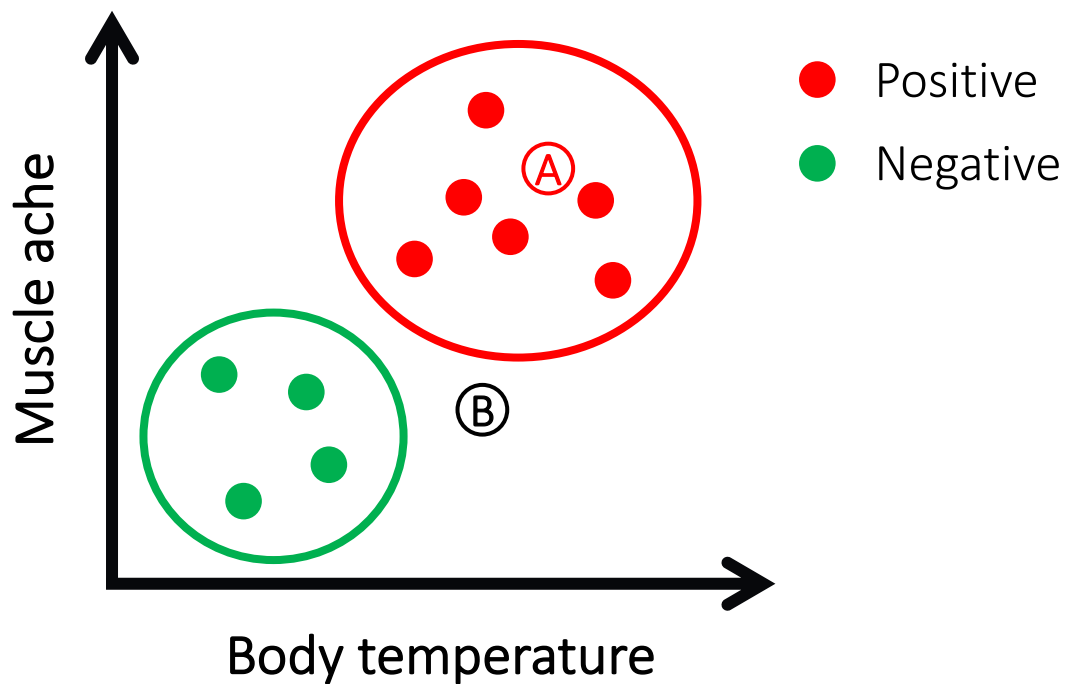
Objective

Aim #1

Aim #2

Aim #3

Summary



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Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure

Introduction

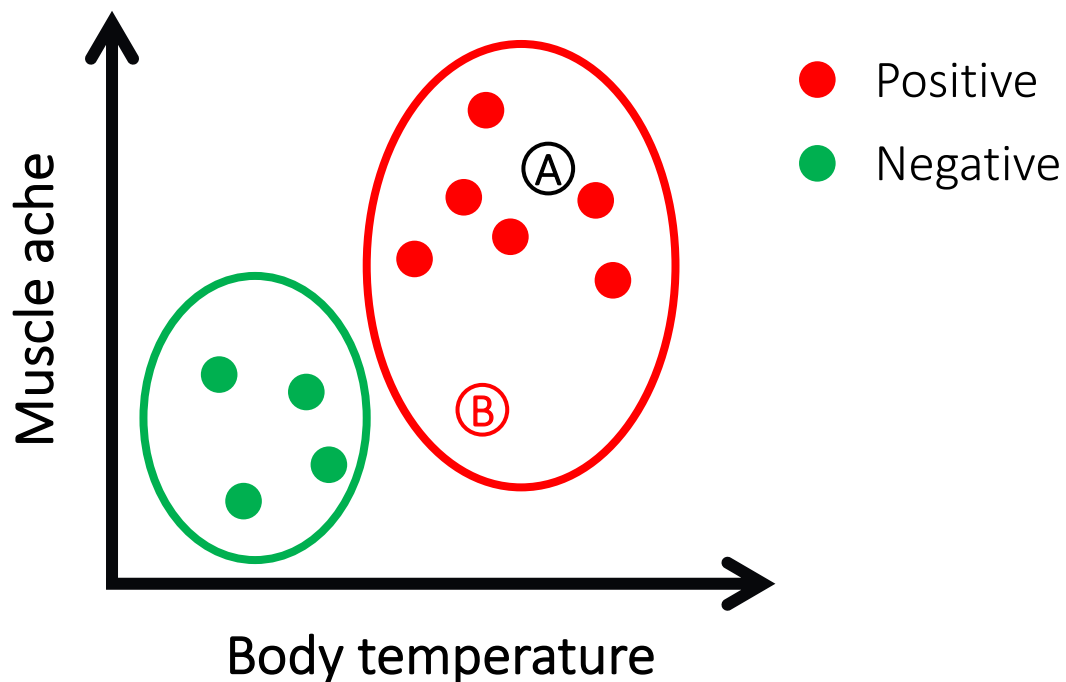
Objective

Aim #1

Aim #2

Aim #3

Summary



Select the most important samples

Given one dollar,
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Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: “Human-in-the-loop” active learning procedure

Introduction

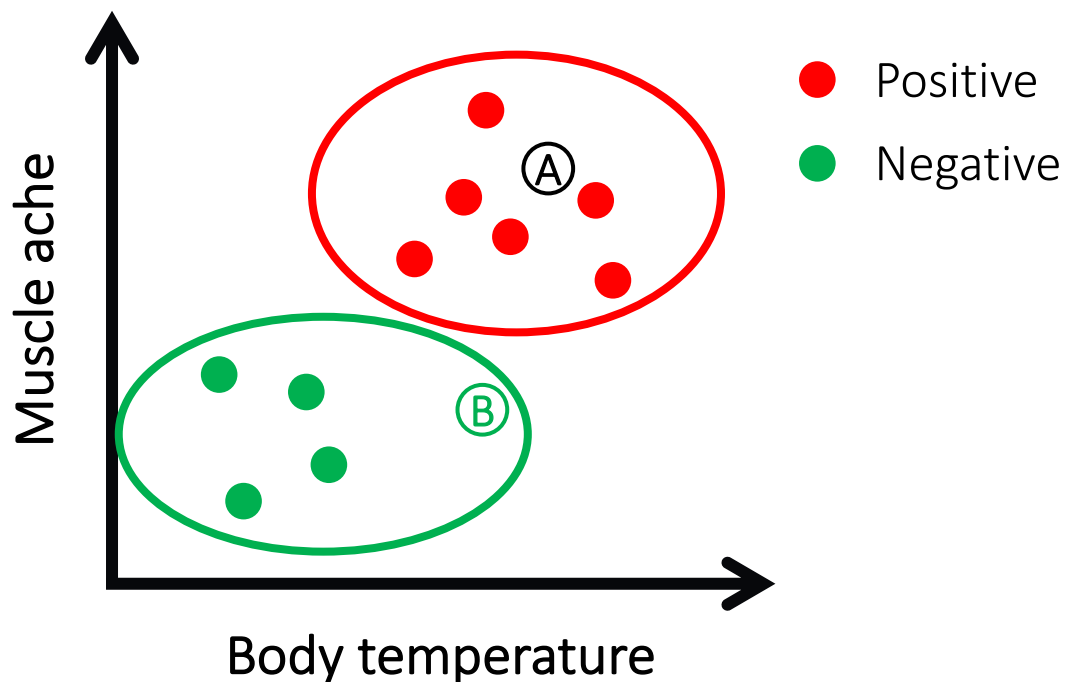
Objective

Aim #1

Aim #2

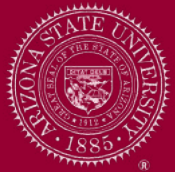
Aim #3

Summary



Select the most important samples

Given one dollar,
which patient would you
annotate, A or B?



Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: Active, Continual Fine-Tuning

Introduction

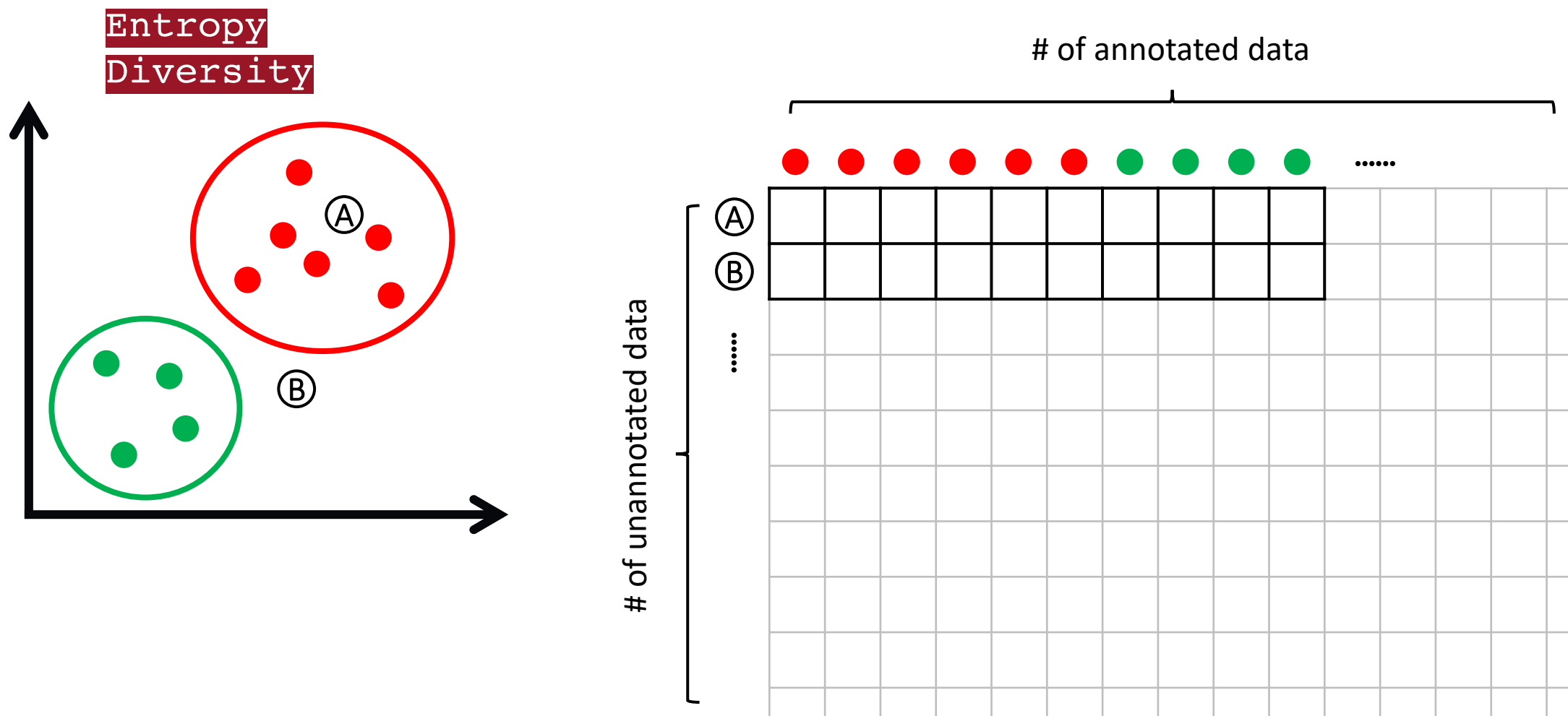
Objective

Aim #1

Aim #2

Aim #3

Summary





Aim #1: Acquiring necessary annotation efficiently from human experts

Approach: Active, Continual Fine-Tuning

Introduction

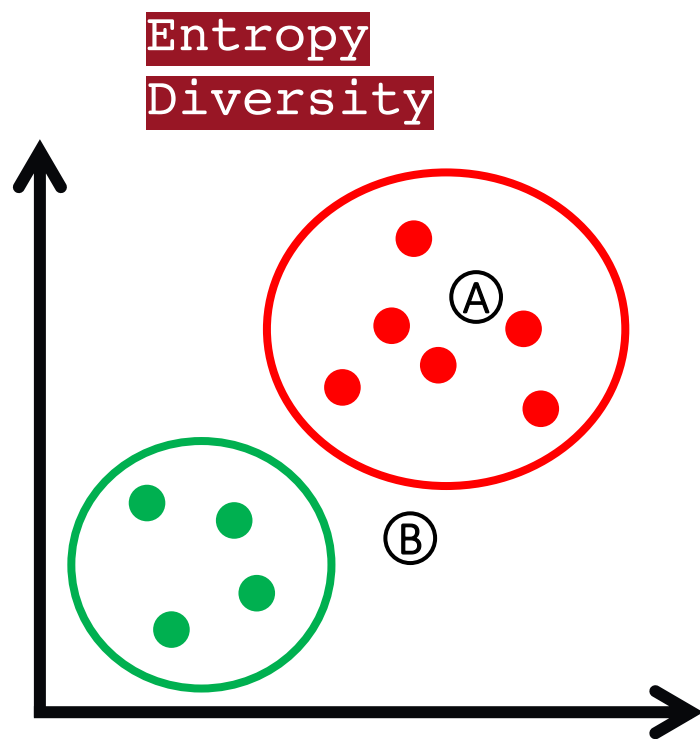
Objective

Aim #1

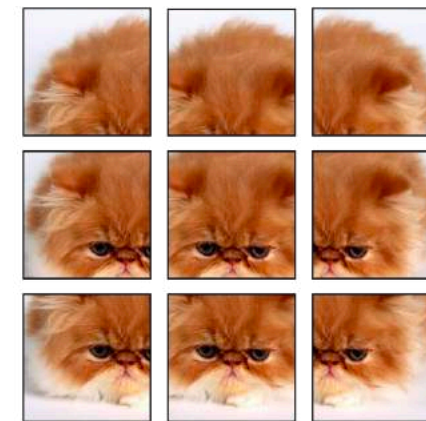
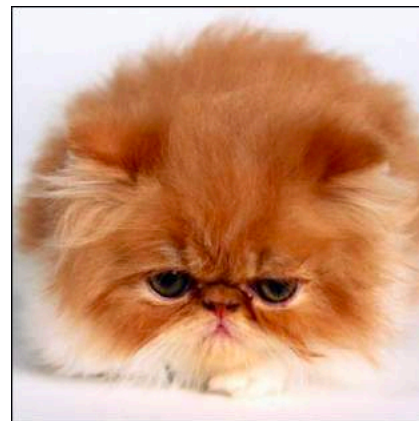
Aim #2

Aim #3

Summary



Ⓑ $\xrightarrow{\text{Data augmentation}}$ Ⓑ₁ Ⓑ₂ Ⓑ₃ Ⓑ₄ ... Ⓑ_m



To boost the performance of CNNs, multiple patches are usually generated for each image via **data augmentation**; these patches generated from the same image share the **same label**, and are naturally expected to have **similar predictions** by the current CNN.



Aim #1: Acquiring necessary annotation efficiently from human experts

Hypothesis: Wisely selecting important samples can reduce annotation cost

Introduction

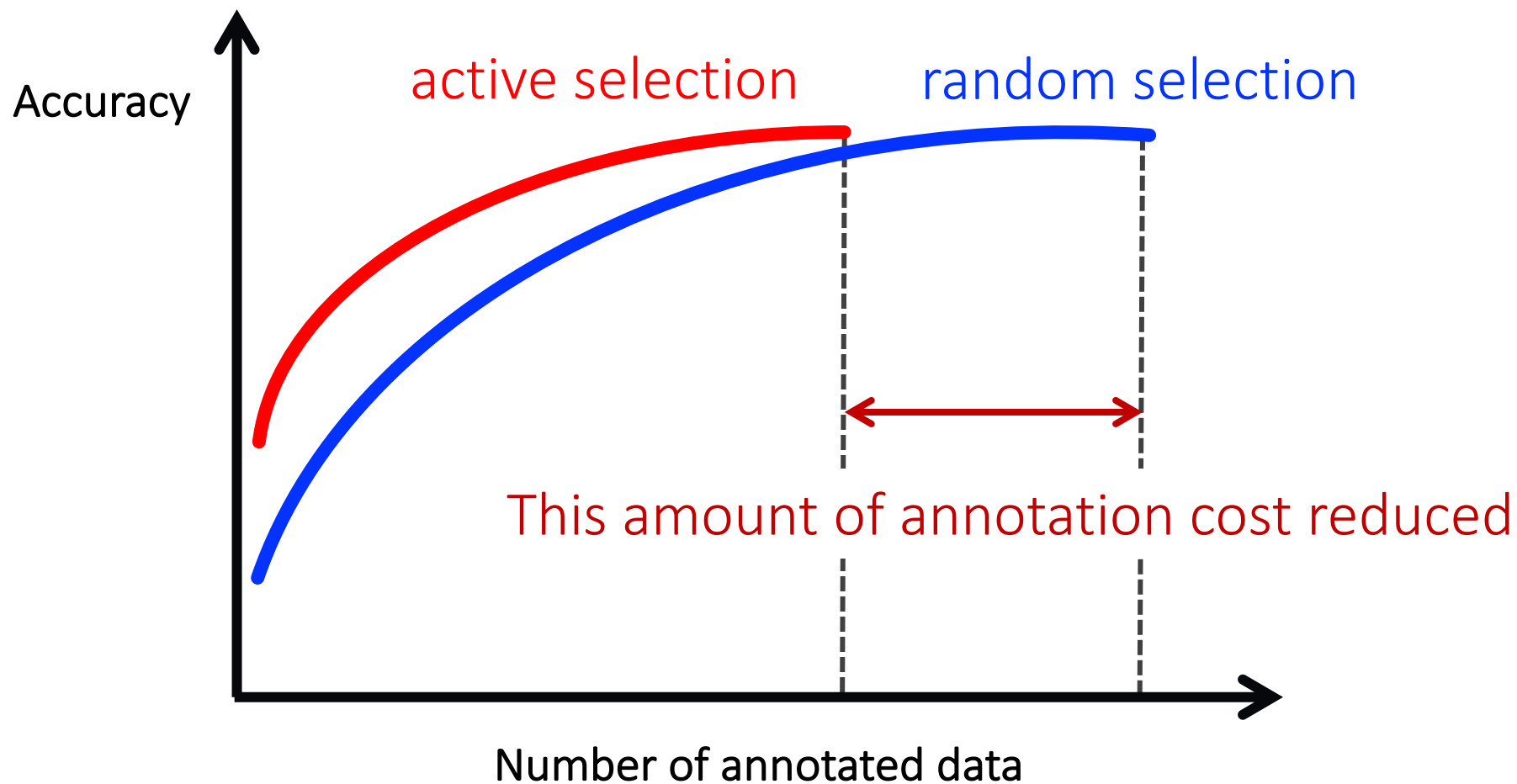
Objective

Aim #1

Aim #2

Aim #3

Summary





Aim #1: Acquiring necessary annotation efficiently from human experts

Contribution: Reduce annotation cost by >60% compared to random selection

Introduction

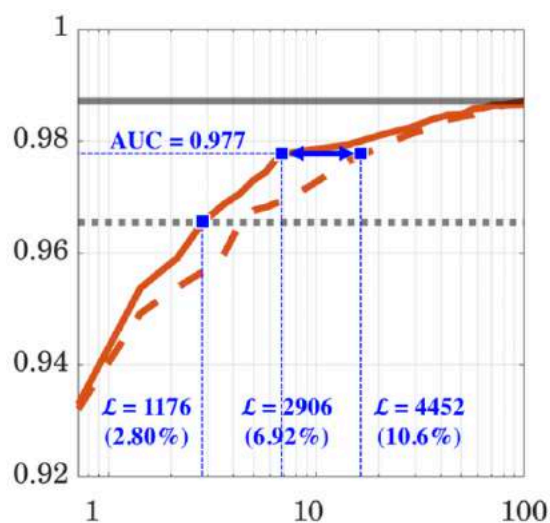
Objective

Aim #1

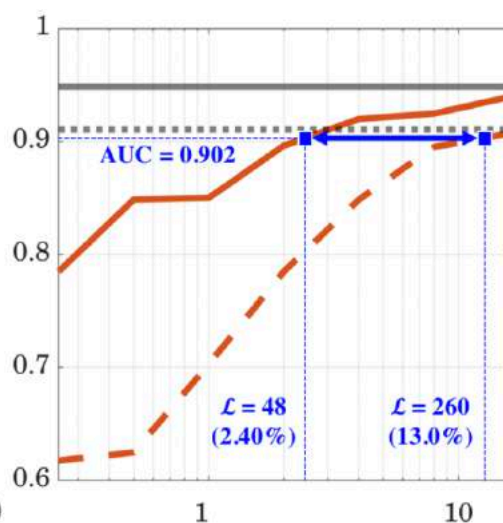
Aim #2

Aim #3

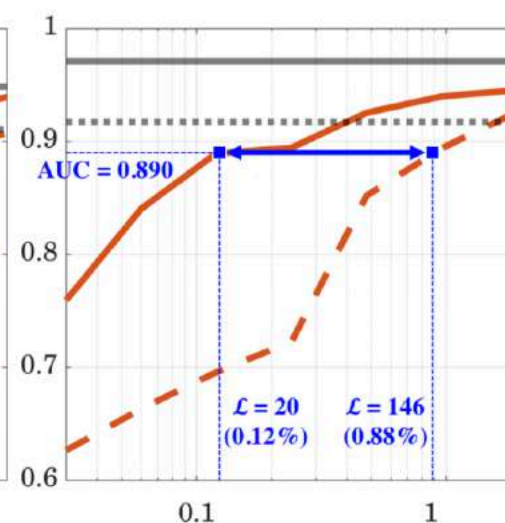
Summary



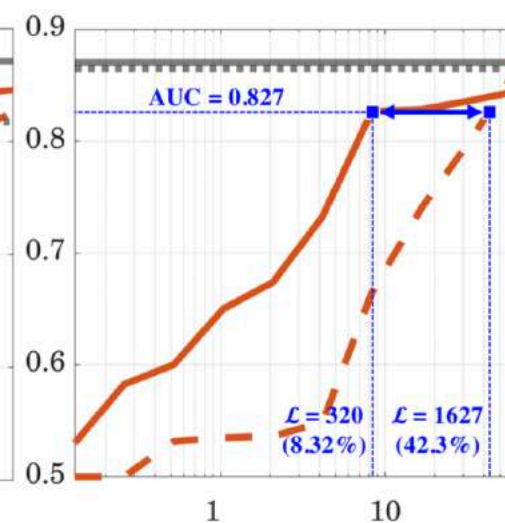
Places: Scene
Classification



Colonoscopy Frame
Classification

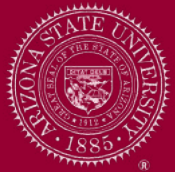


Polyp Detection



Pulmonary Embolism
Detection

1. Zhou, Zongwei, et al. "Integrating active learning and transfer learning for carotid intima-media thickness video interpretation." Journal of digital imaging 32.2 (2019): 290-299.
2. Zhou, Zongwei, et al. "Active, Continual Fine Tuning of Convolutional Neural Networks for Reducing Annotation Efforts." arXiv preprint arXiv:1802.00912 (2018).
3. Zhou, Zongwei, et al. "Fine-tuning convolutional neural networks for biomedical image analysis: actively and incrementally." Proceedings of the IEEE conference on computer vision and pattern recognition. 2017.



Aim #1: Acquiring necessary annotation efficiently from human experts

Proposal: Iteratively suggest important samples at the patient-level

Introduction

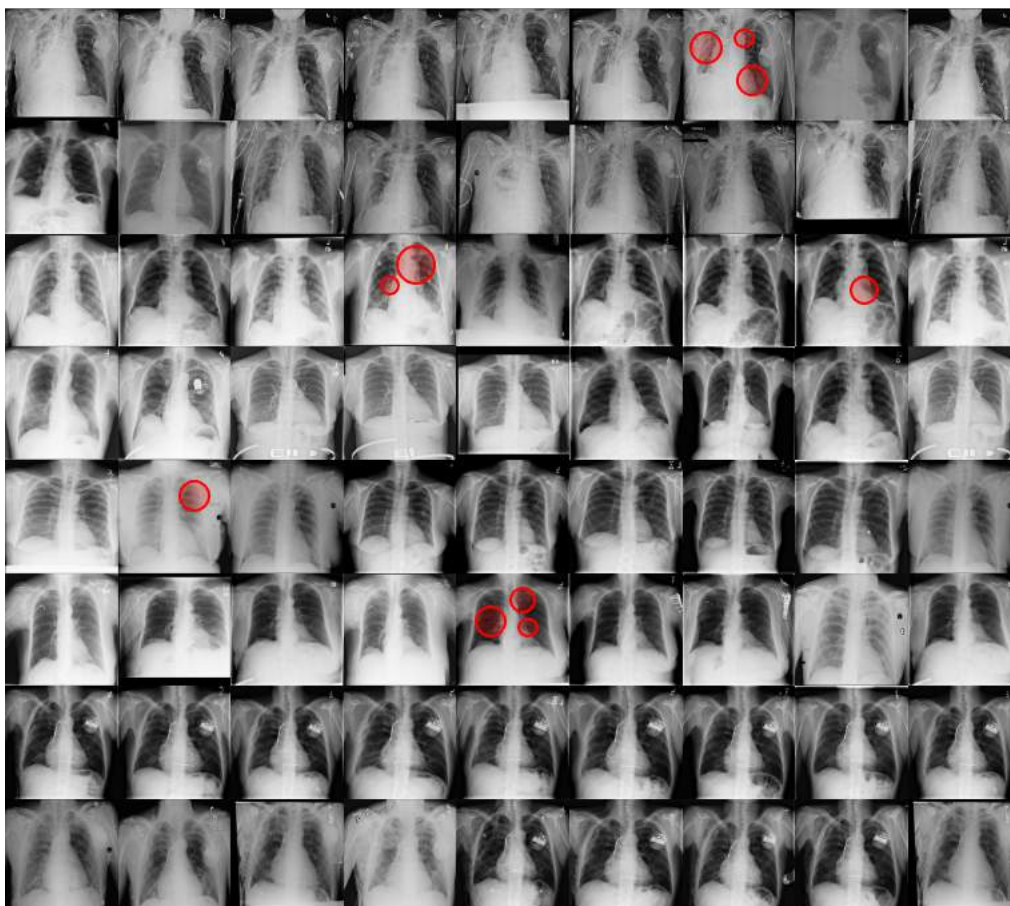
Objective

Aim #1

Aim #2

Aim #3

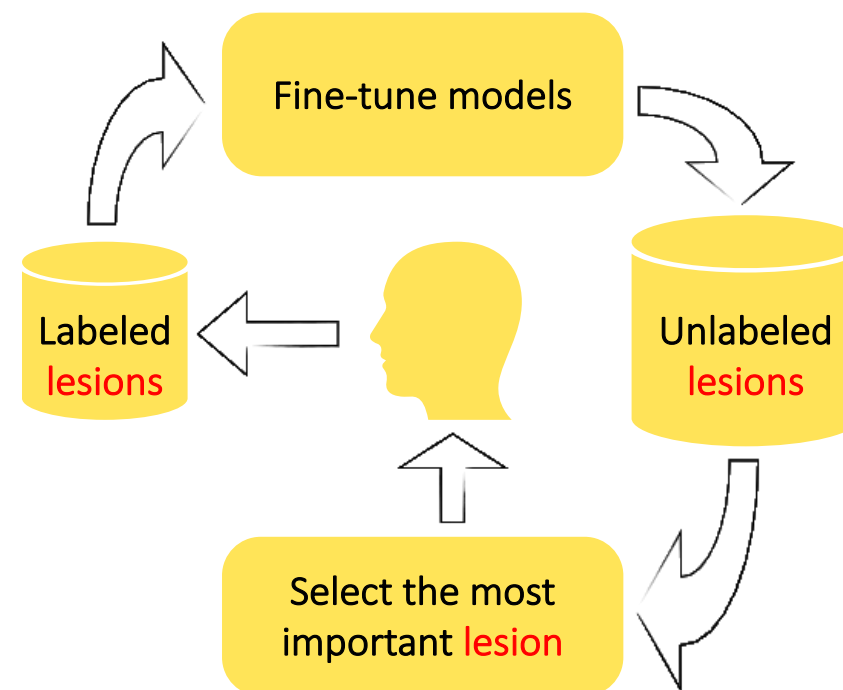
Summary



Lesion-level annotation

Drawbacks:

- Experts must annotate the same patient multiple times





Aim #1: Acquiring necessary annotation efficiently from human experts

Proposal: Iteratively suggest important samples at the patient-level

Introduction

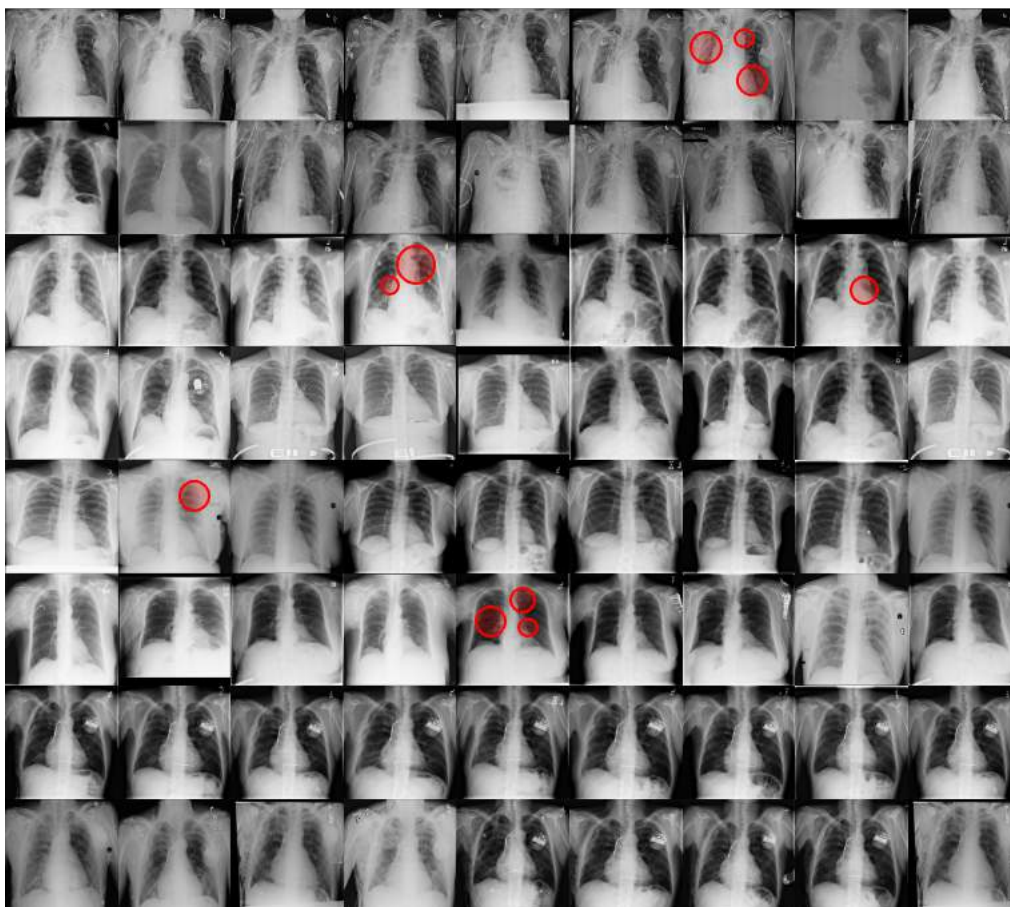
Objective

Aim #1

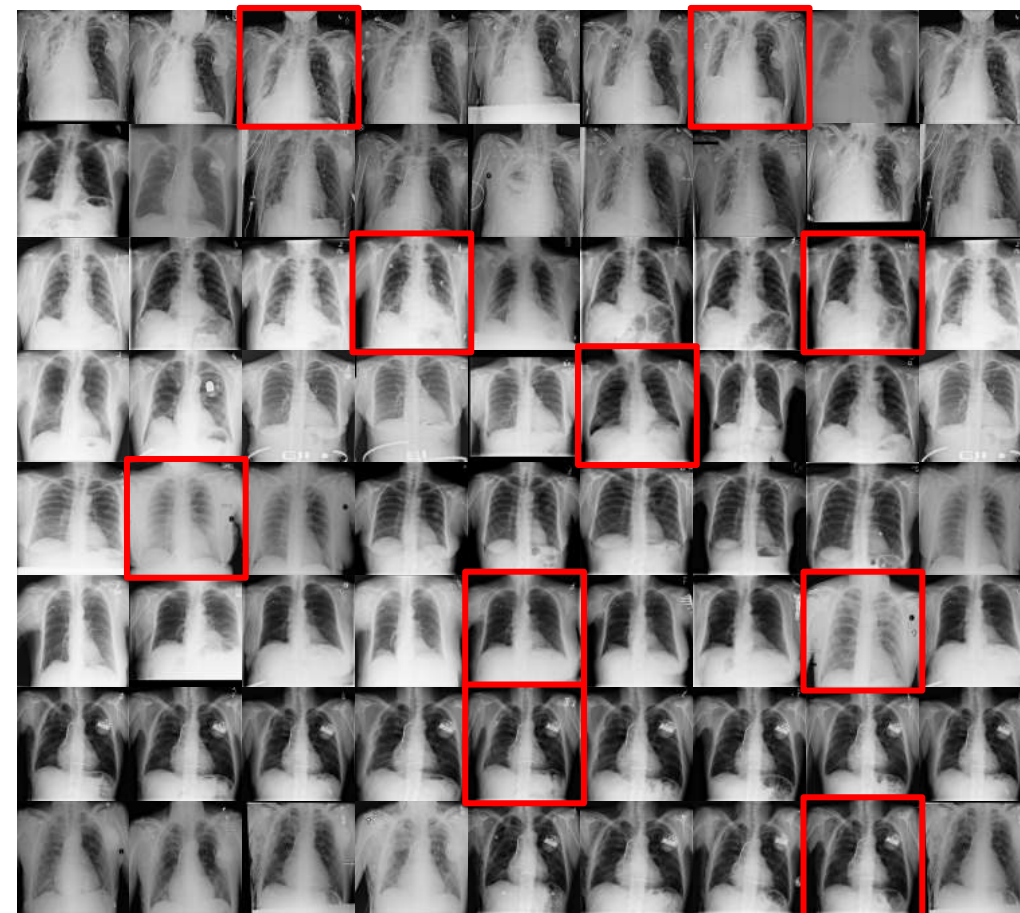
Aim #2

Aim #3

Summary



Lesion-level annotation



Patient-level annotation

Not All Data Is Created Equal

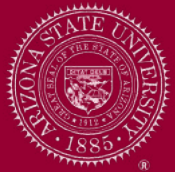
Featured Publications for Aim #1:

1. Z. Zhou, J. Shin, L. Zhang, S. Gurudu, M. Gotway, J. Liang, 2017. Fine-tuning Convolutional Neural Networks for Biomedical Image Analysis: Actively and Incrementally. [CVPR'17, one of only five papers in biomedical imaging accepted by CVPR'17.](#)
2. Z. Zhou, J. Shin, R. Feng, R. Hurst, C. Kendall, J. Liang, 2019. Integrating Active Learning and Transfer Learning for Carotid Intima-Media Thickness Video Interpretation. [Journal of Digital Imaging.](#)
3. Z. Zhou, J. Shin, S. Gurudu, M. Gotway, J. Liang, 2020. Active, Continual Fine Tuning of Convolutional Neural Networks for Reducing Annotation Efforts. [Submitted to Medical Image Analysis.](#)

Not All Data Is Created Equal

Clinical Impacts of Aim #1:

1. The continual learning capability of deep models encourages data, label, and model reuse.
2. An efficient “human-in-the-loop” procedure assists radiologists in quickly dismissing patients with negative results, therefore dramatically reducing the burden of annotation.
3. An instant on-line feedback process makes it possible for CAD systems to be self-learning and self-improving via continual fine-tuning.



Aim #2: Utilizing existing annotation effectively from advanced architecture

Problem: Enhance the architecture for modeling 1,000 annotated images

Introduction

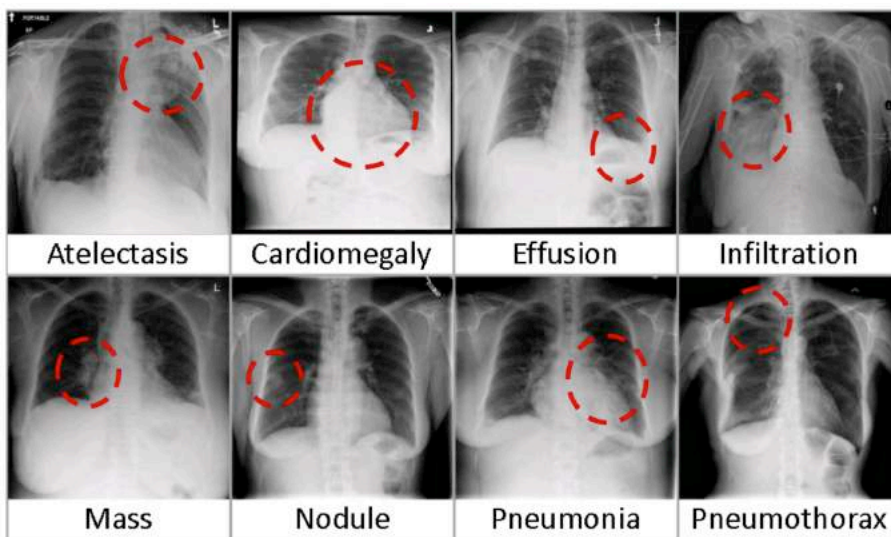
Objective

Aim #1

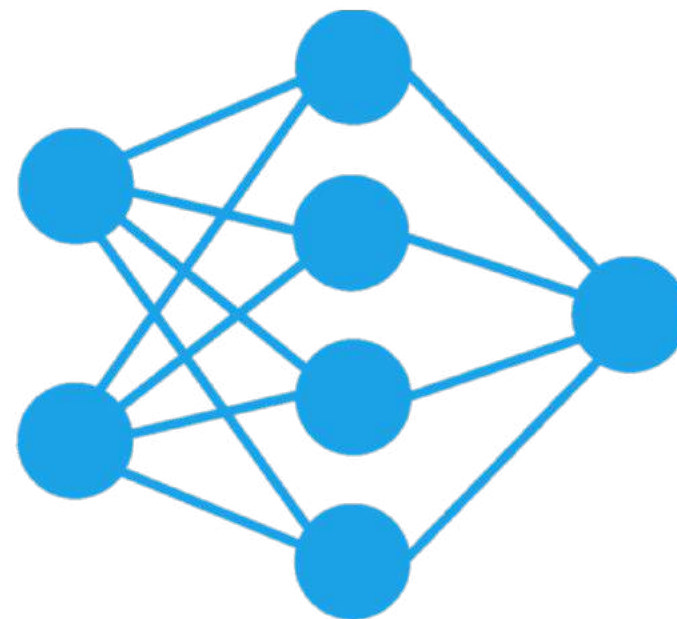
Aim #2

Aim #3

Summary



\$ 1,000 annotation budget 😊





Aim #2: Utilizing existing annotation effectively from advanced architecture

Segmentation: Partition an image into multiple segments to ease the analysis

Introduction

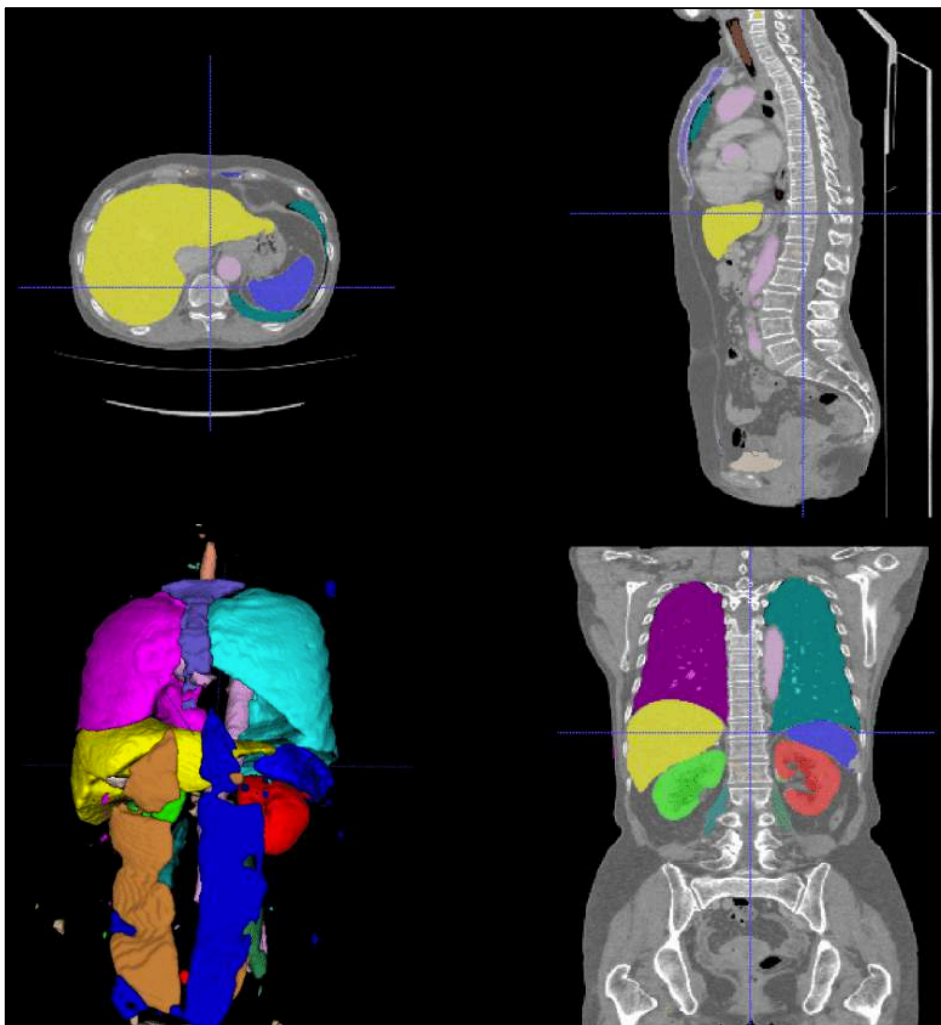
Objective

Aim #1

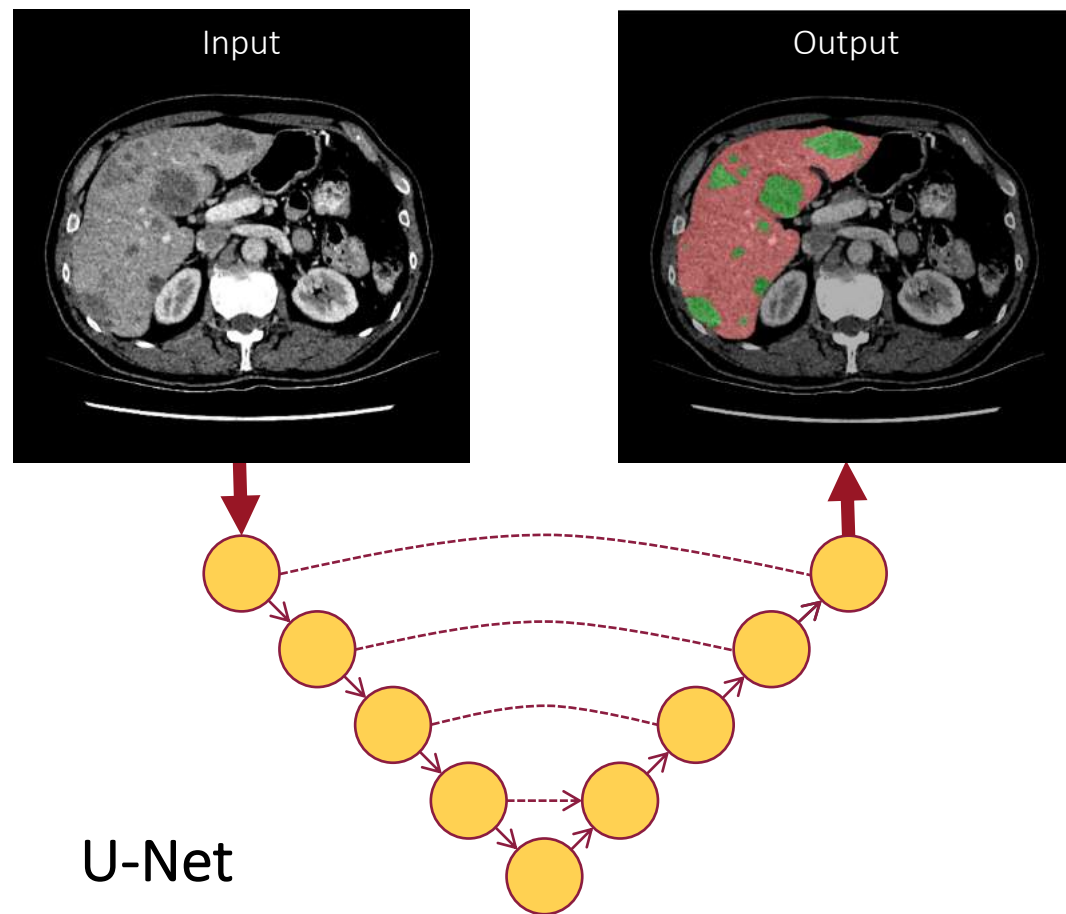
Aim #2

Aim #3

Summary



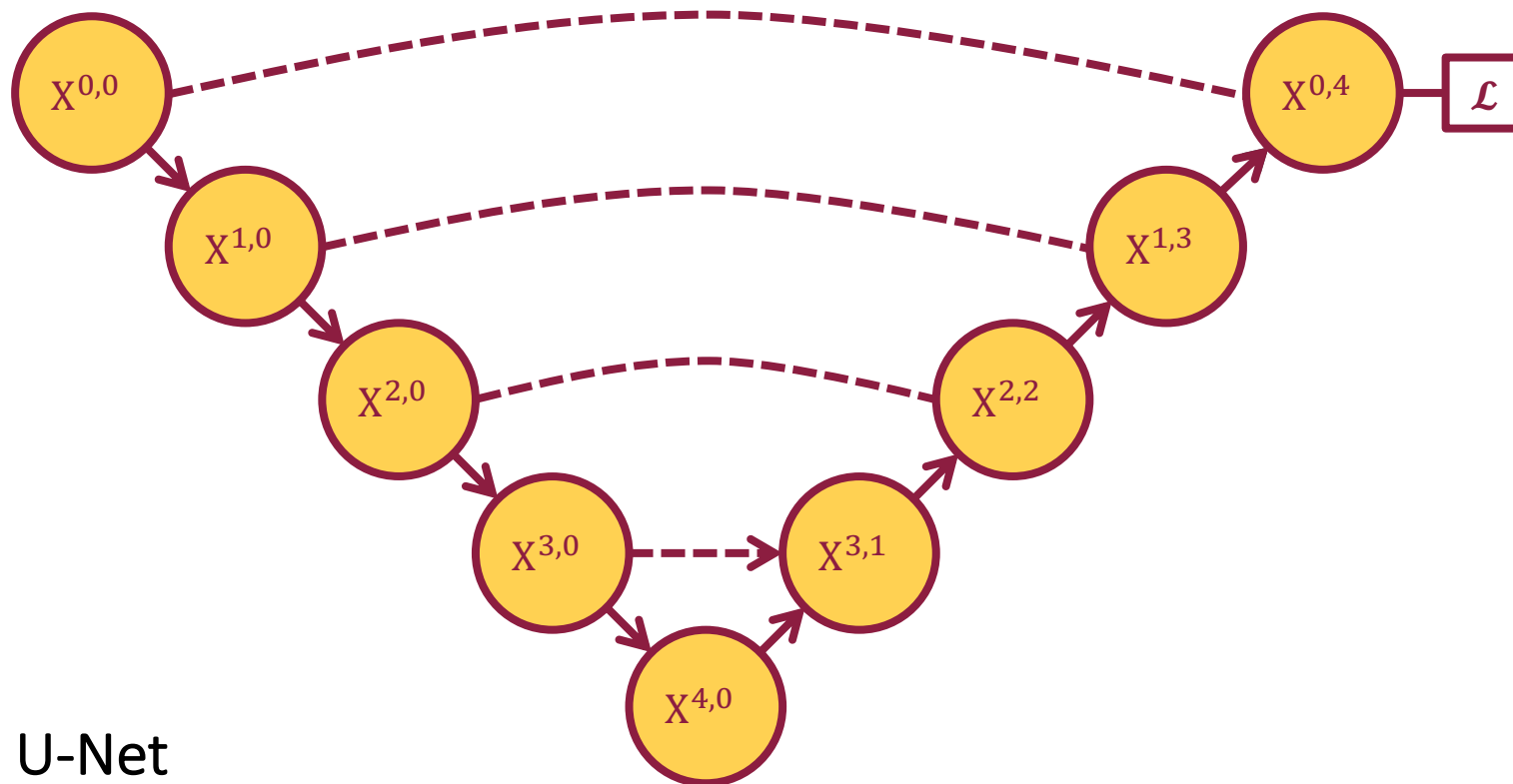
e.g., liver & lesion segmentation





Aim #2: Utilizing existing annotation effectively from advanced architecture

Hypothesis: Multi-scale feature aggregation leads to powerful models

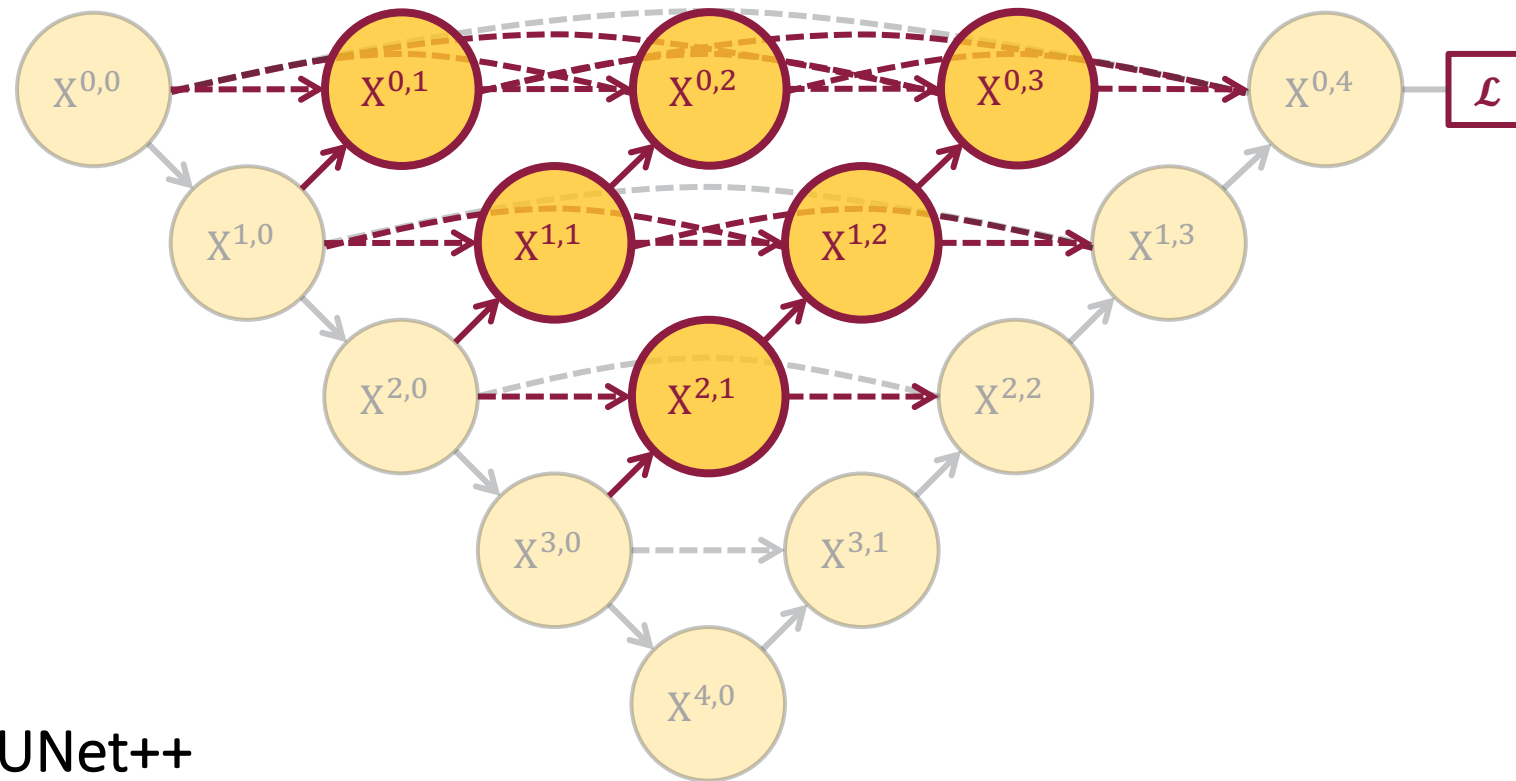


1. Ronneberger, Olaf, Philipp Fischer, and Thomas Brox. "U-net: Convolutional networks for biomedical image segmentation." International Conference on Medical image computing and computer-assisted intervention. Springer, Cham, 2015.



Aim #2: Utilizing existing annotation effectively from advanced architecture

Approach: Redesigned skip connections aggregate multi-scale features



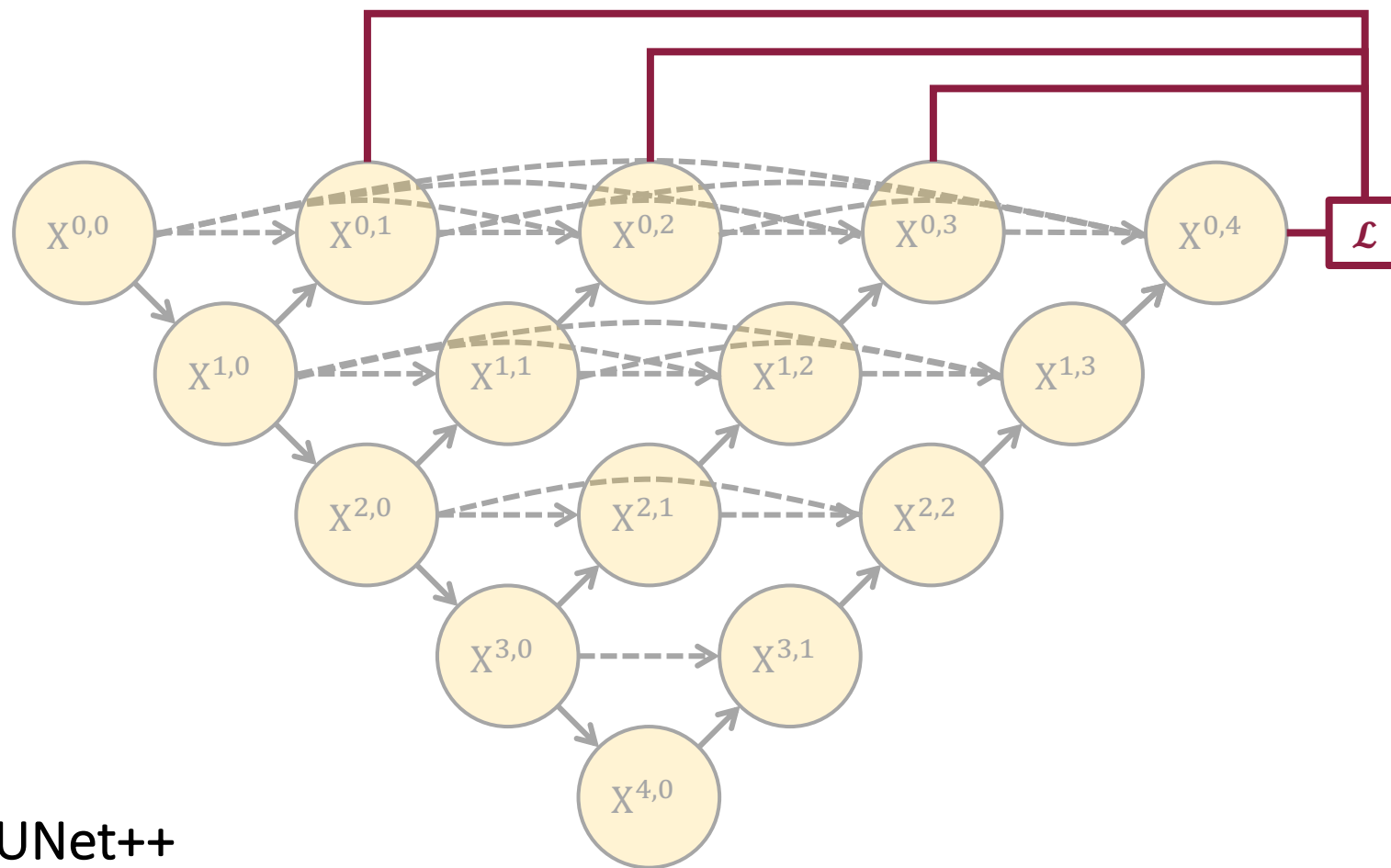
UNet++

1. Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support: Springer, Cham, 2018. 3-11.



Aim #2: Utilizing existing annotation effectively from advanced architecture

Approach: Deep supervision enables a higher segmentation accuracy



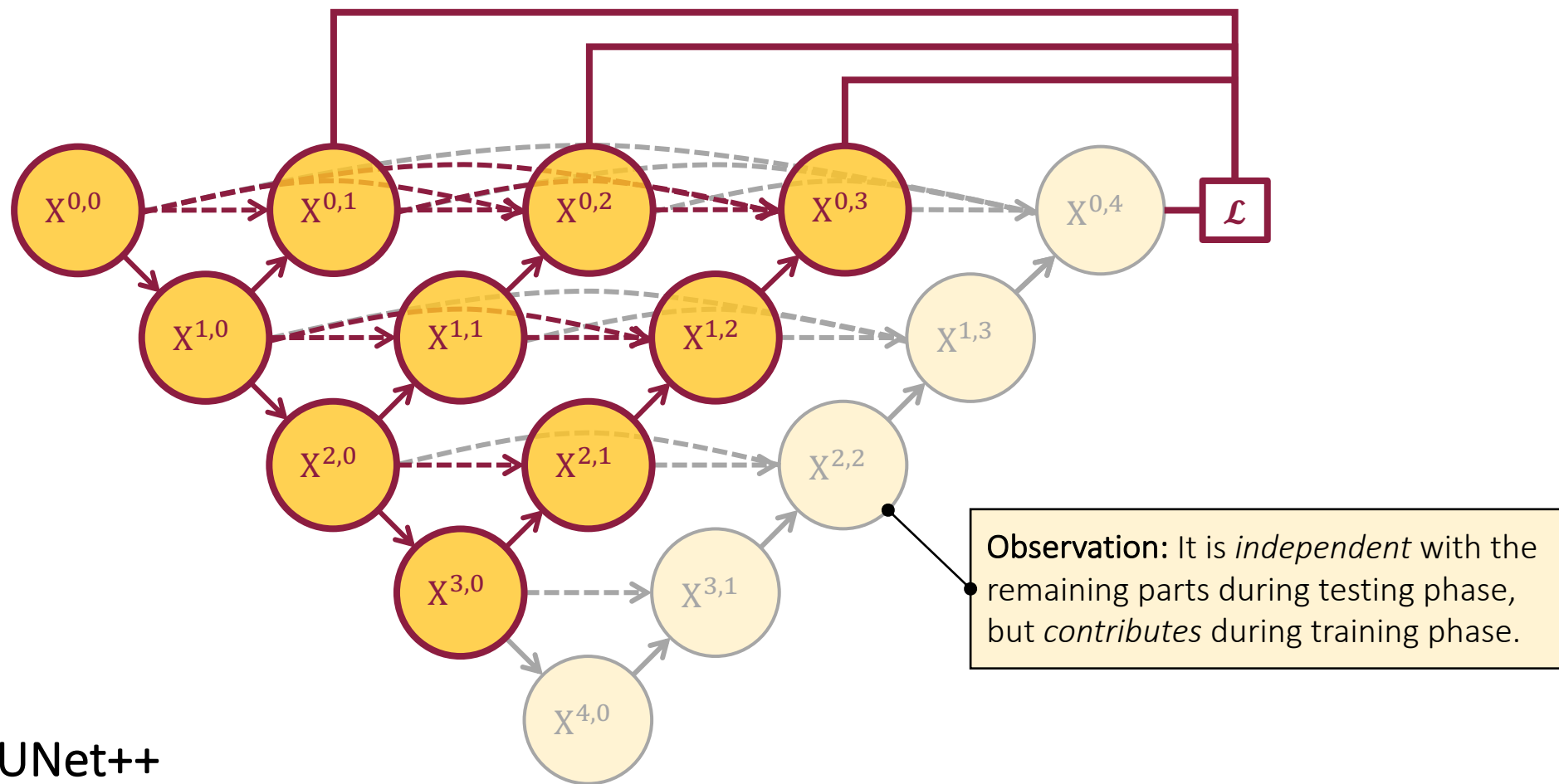
UNet++

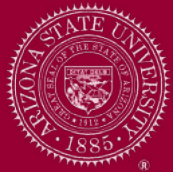
1. Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support: Springer, Cham, 2018. 3-11.



Aim #2: Utilizing existing annotation effectively from advanced architecture

Approach: Deep supervision enables a higher segmentation accuracy





Aim #2: Utilizing existing annotation effectively from advanced architecture

Contribution: UNet++ significantly improves disease/organ segmentation

Introduction

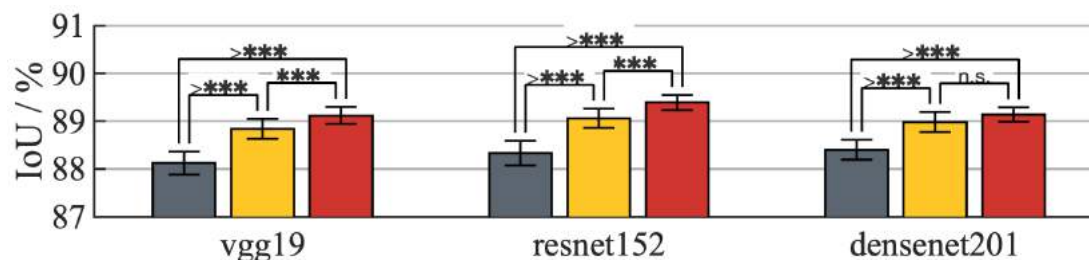
Objective

Aim #1

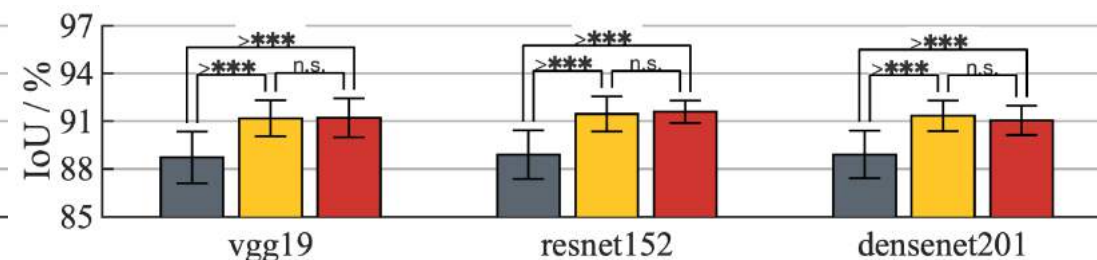
Aim #2

Aim #3

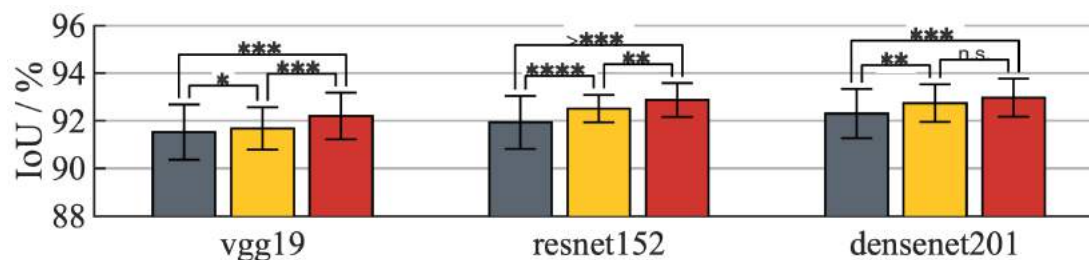
Summary



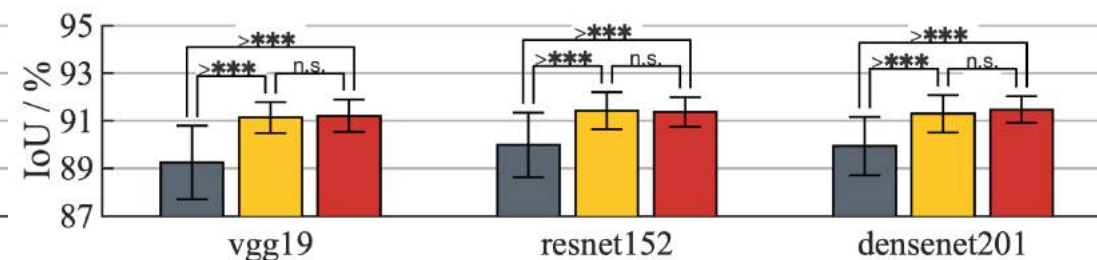
(a) Neuronal structure segmentation



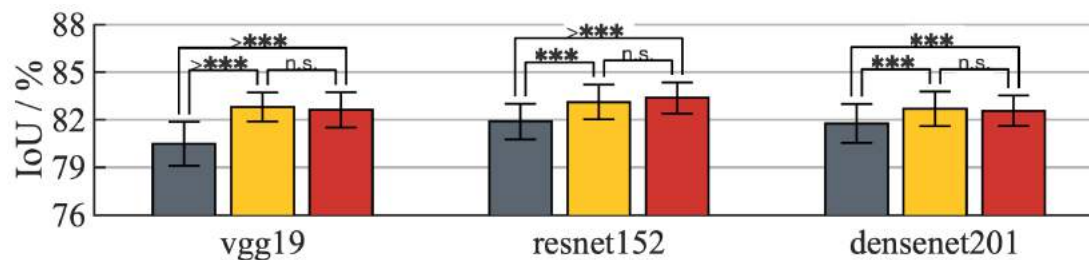
(b) Cell segmentation



(c) Nuclei segmentation



(d) Brain tumor segmentation



(e) Liver segmentation



1. Zhou, Zongwei, et al. "Unet++: A nested u-net architecture for medical image segmentation." Deep Learning in Medical Image Analysis and Multimodal Learning for Clinical Decision Support. Springer, Cham, 2018. 3-11.
2. Zhou, Zongwei, et al. "Unet++: Redesigning skip connections to exploit multiscale features in image segmentation." IEEE transactions on medical imaging 39.6 (2019): 1856-1867.



Aim #2: Utilizing existing annotation effectively from advanced architecture

Proposal: Optimize active learning by leveraging unique architectural design

Introduction

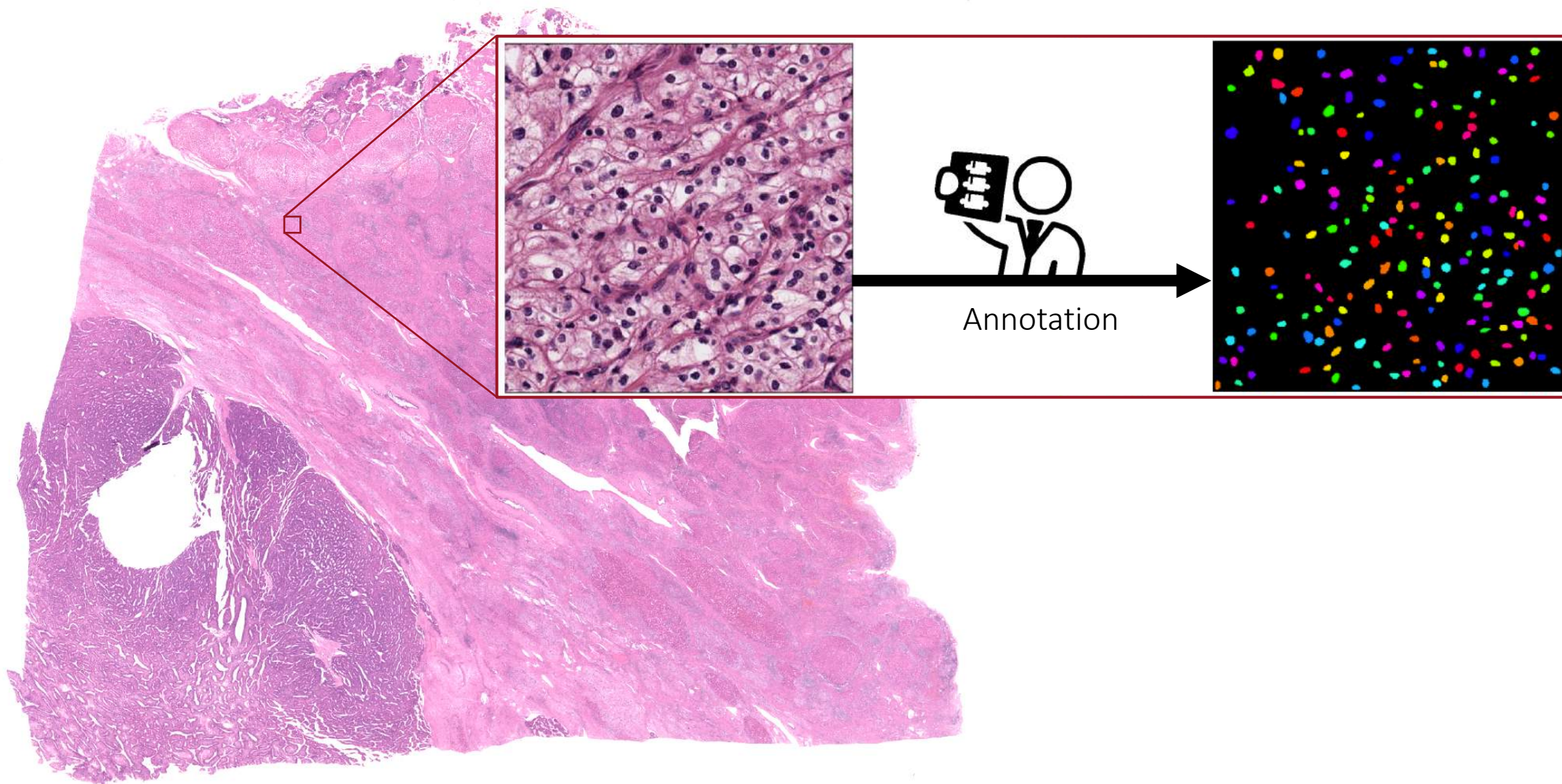
Objective

Aim #1

Aim #2

Aim #3

Summary





Aim #2: Utilizing existing annotation effectively from advanced architecture

Proposal: Optimize active learning by leveraging unique architectural design

Introduction

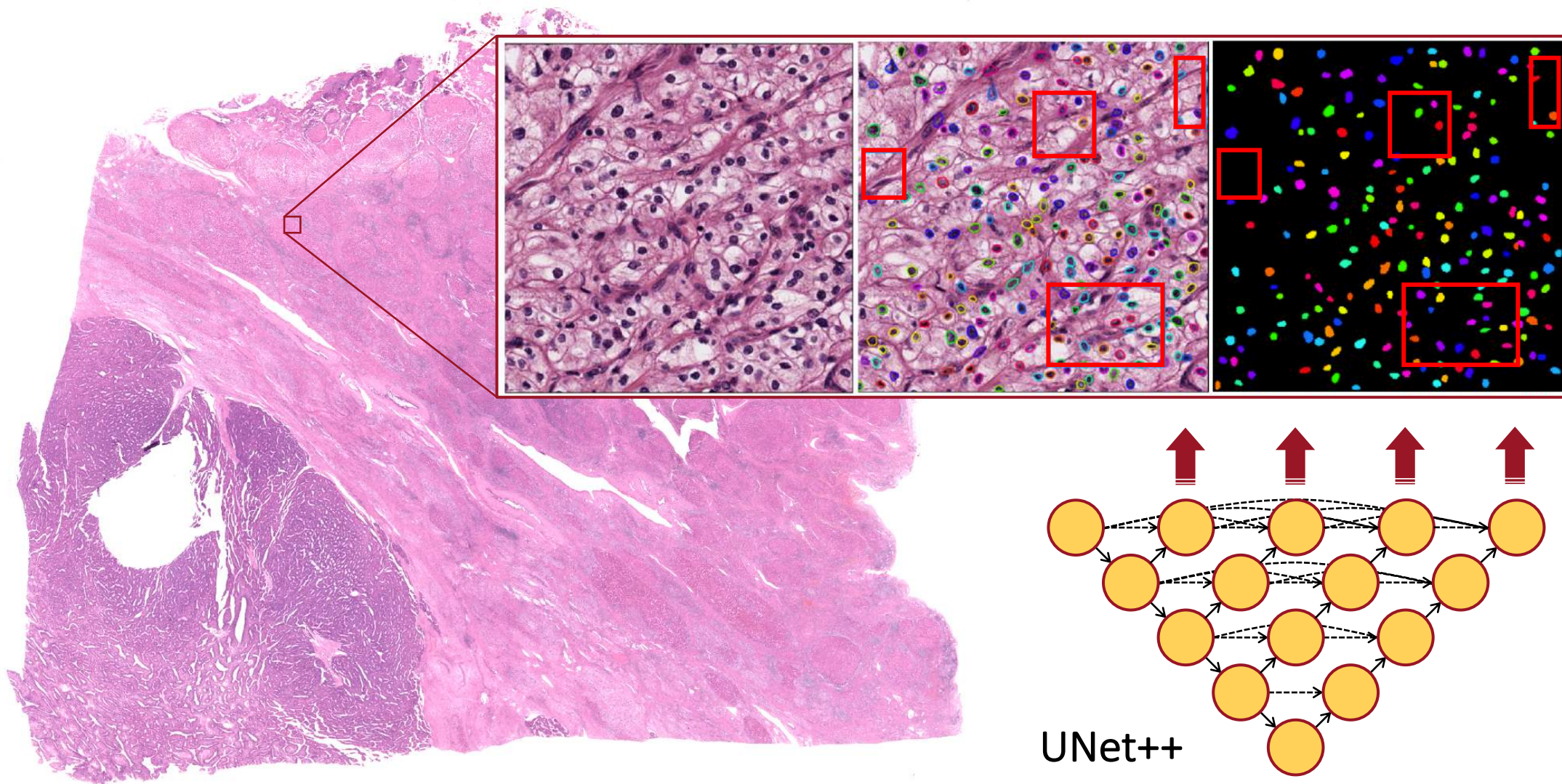
Objective

Aim #1

Aim #2

Aim #3

Summary



Intertwine the visual representation

Featured Publications for Aim #2:

1. Z. Zhou, M. M. Rahman Siddiquee, N. Tajbakhsh, J. Liang, 2019. UNet++: Redesigning Skip Connections to Exploit Multi-Resolution Features in Image Segmentation. [IEEE Transactions on Medical Imaging, IEEE TMI most popular articles.](#)
2. Z. Zhou, M. M. Rahman Siddiquee, N. Tajbakhsh, J. Liang, 2018. UNet++: A Nested U-Net Architecture for Medical Image Segmentation. [DLMIA'18.](#)

Intertwine the visual representation

Clinical Impacts of Aim #2:

1. Image segmentation can help compute clinically more accurate and desirable imaging bio-markers or precision measurement.
2. Model pruning has the potential to exert important impact on deploying computer-aided diagnosis (CAD) to mobile devices and ordinary desktop/laptop PCs in clinical practice.



Aim #3: Extracting generic knowledge directly from unannotated images

Problem: Utilize 1,000,000 images without systematic annotation

Introduction

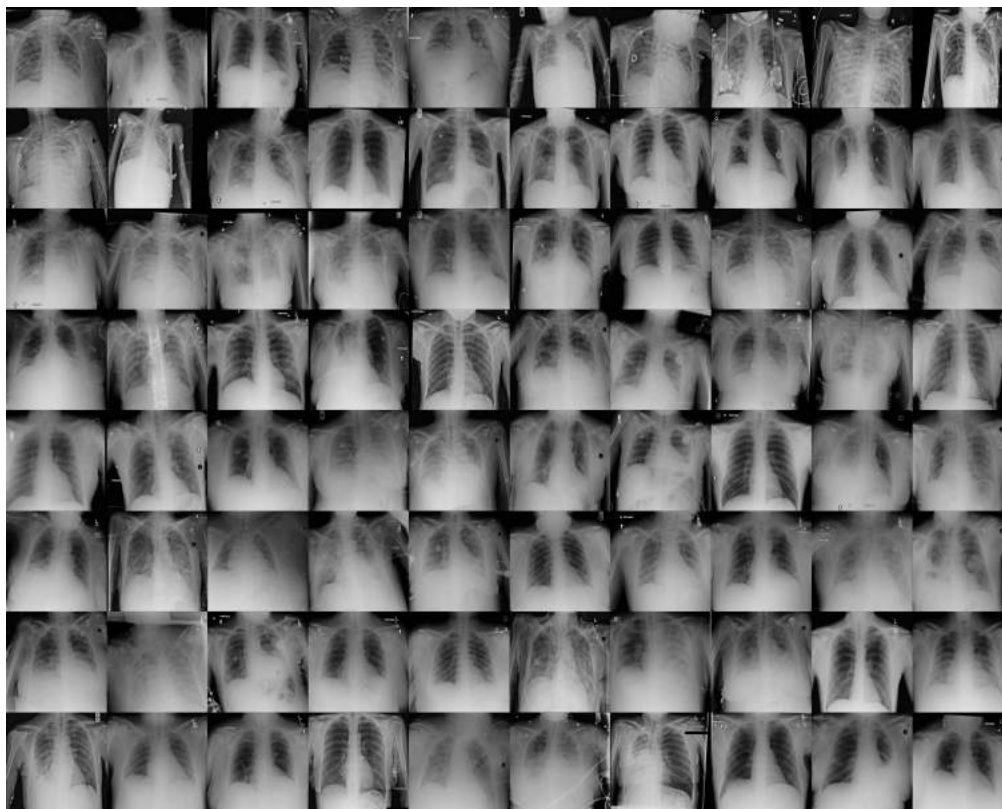
Objective

Aim #1

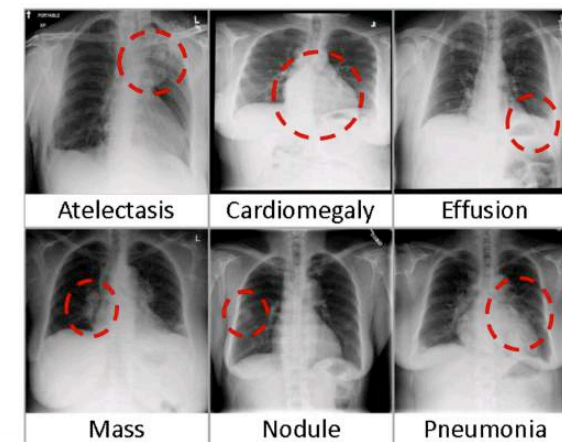
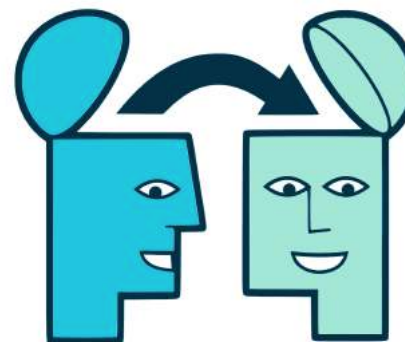
Aim #2

Aim #3

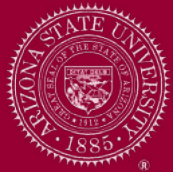
Summary



\$ 1,000,000 annotation cost 😞



\$ 1,000 annotation budget 😊



Aim #3: Extracting generic knowledge directly from unannotated images

Hypothesis: Generic models can be built upon consistent, recurrent anatomy

Introduction

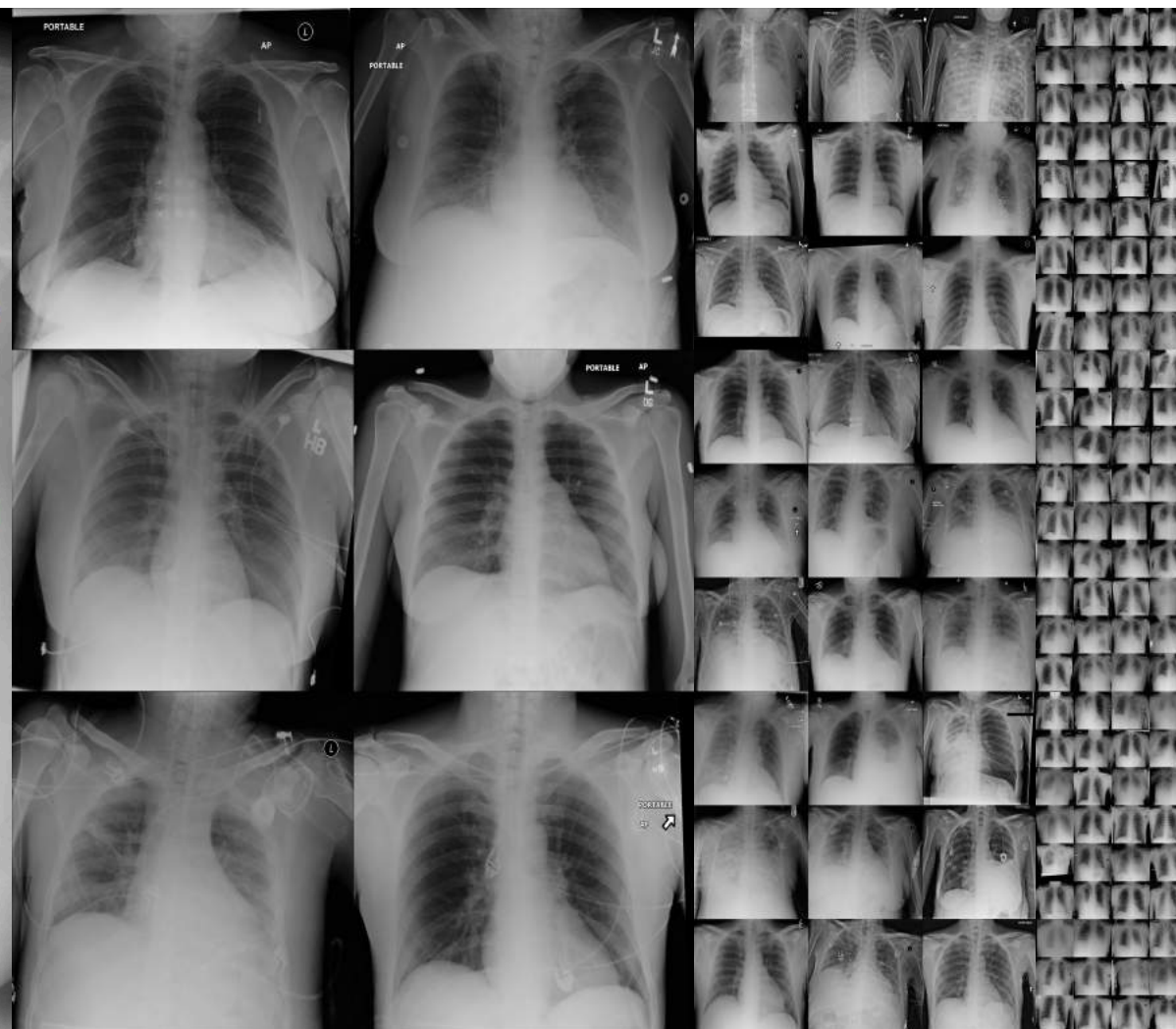
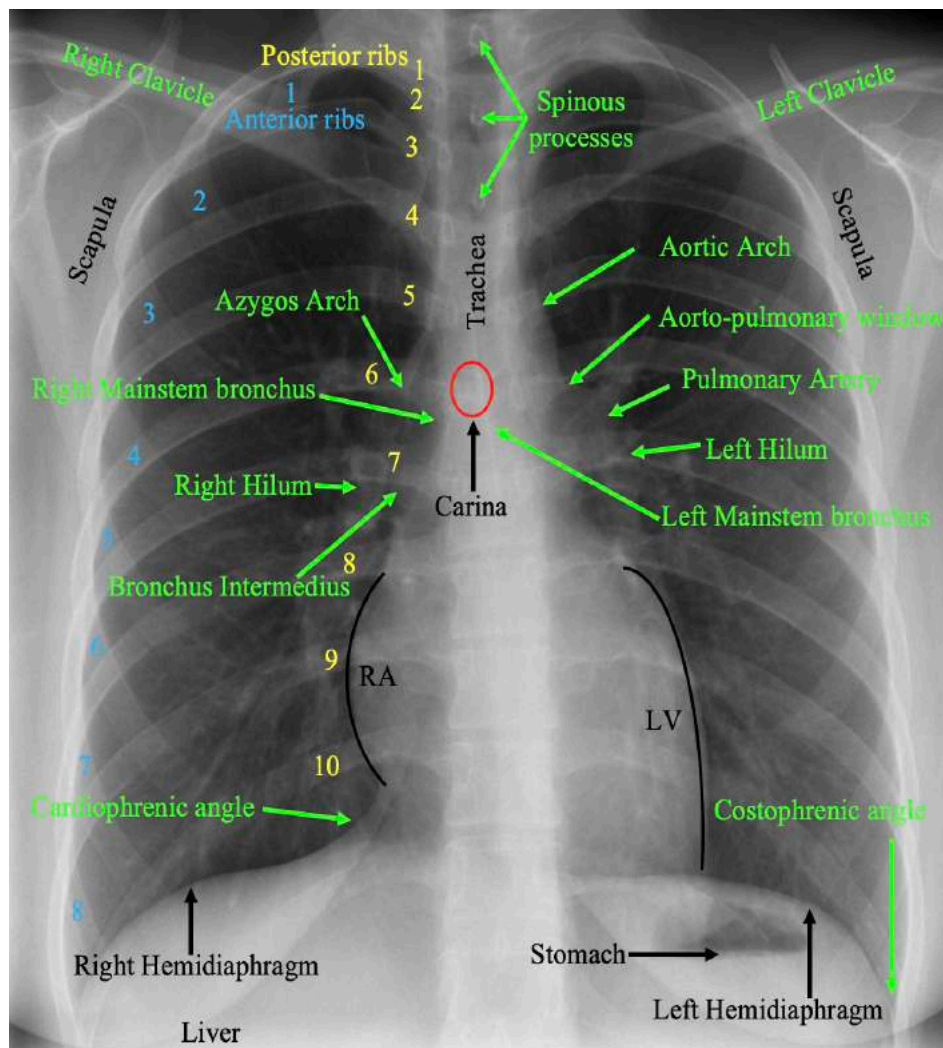
Objective

Aim #1

Aim #2

Aim #3

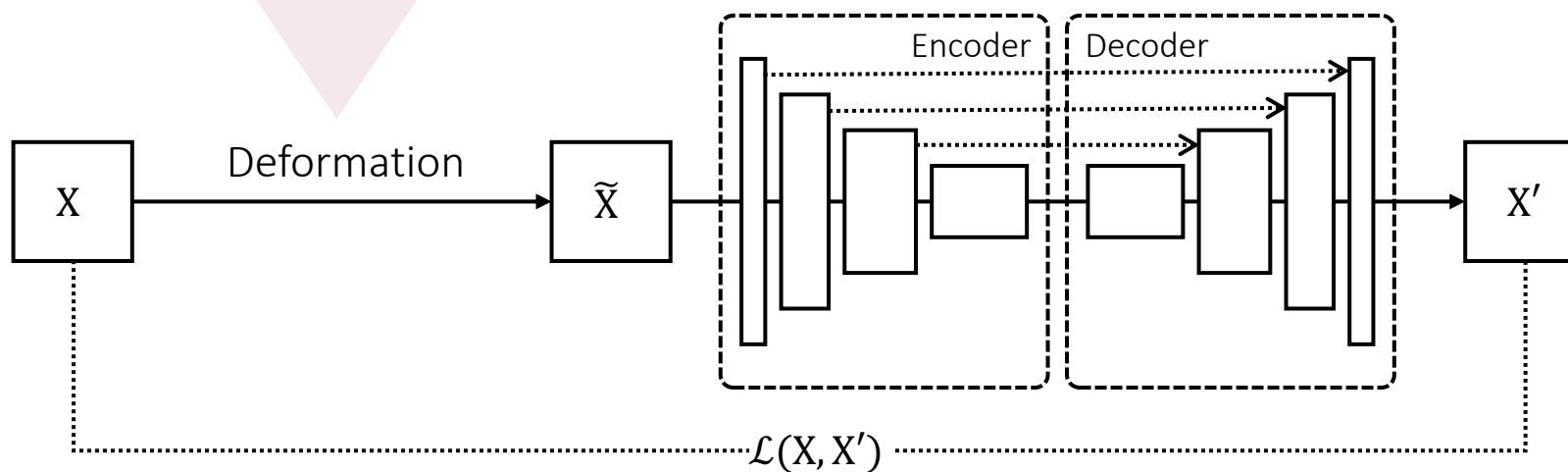
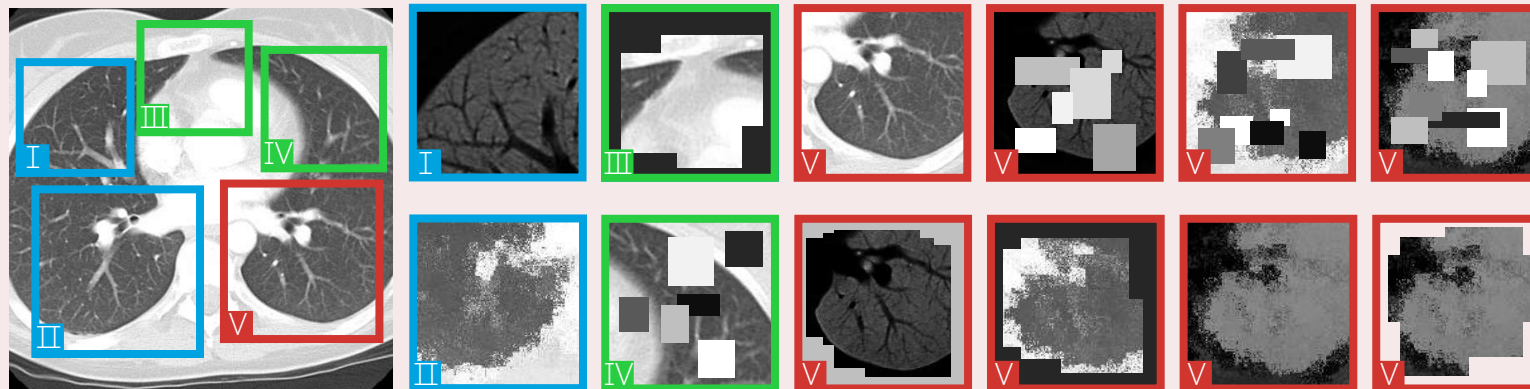
Summary





Aim #3: Extracting generic knowledge directly from unannotated images

Approach: Image restoration task helps model learn image representation



Introduction

Objective

Aim #1

Aim #2

Aim #3

Summary



Aim #3: Extracting generic knowledge directly from unannotated images

Approach: Learning from multiple perspectives leads to robust models

Introduction

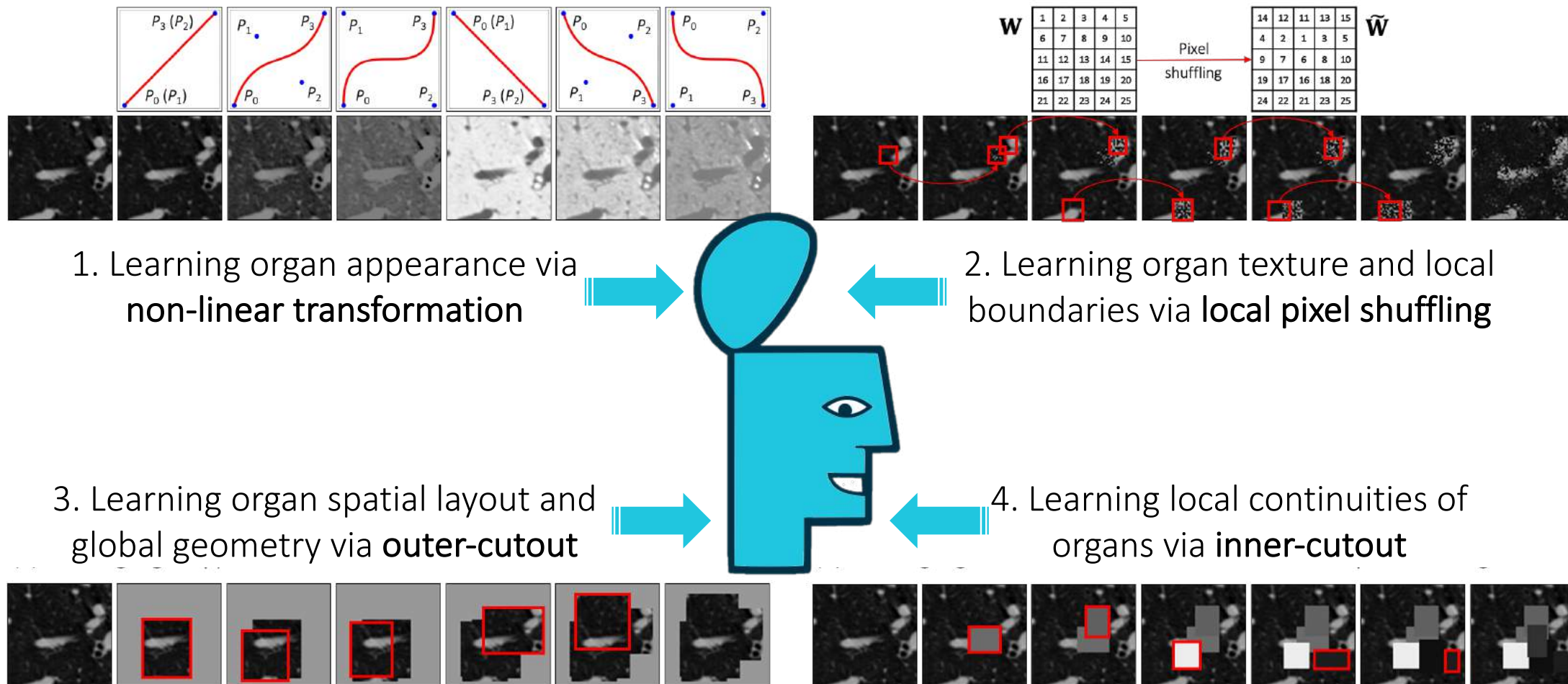
Objective

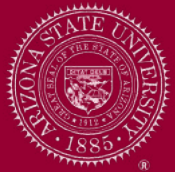
Aim #1

Aim #2

Aim #3

Summary





Aim #3: Extracting generic knowledge directly from unannotated images

Contribution: Build generic pre-trained 3D models, named “Models Genesis”

Introduction

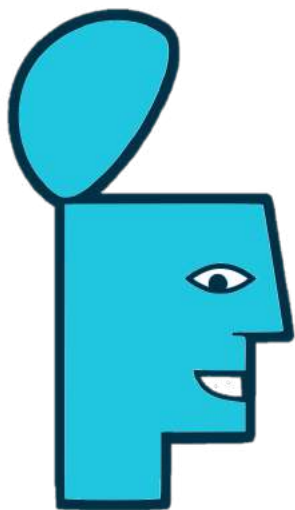
Objective

Aim #1

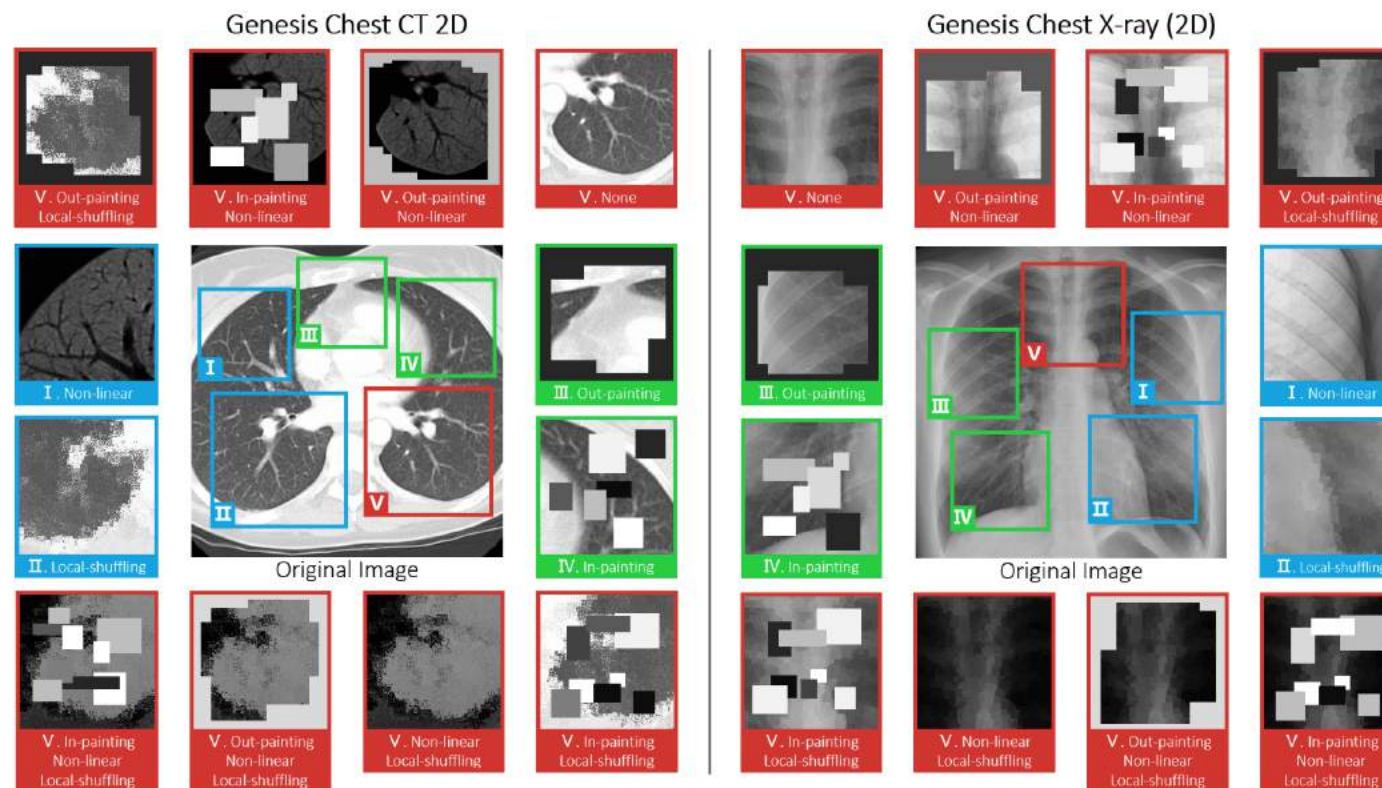
Aim #2

Aim #3

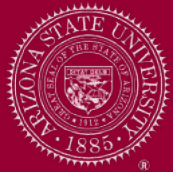
Summary



Models Genesis



1. Zhou, Zongwei, et al. "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.
2. Zhou, Zongwei, et al. "Models Genesis." arXiv preprint arXiv:2004.07882 (2020).



Aim #3: Extracting generic knowledge directly from unannotated images

Contribution: Models Genesis exceed publicly available pre-trained 3D models

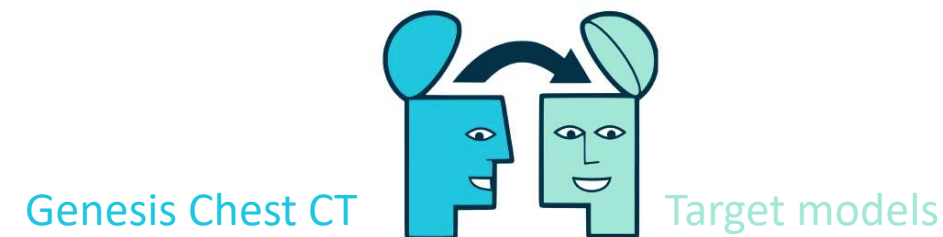
Introduction

Pre-training	Approach	Target tasks				
		NCC ¹ (%)	NCS ² (%)	ECC ³ (%)	LCS ⁴ (%)	BMS ⁵ (%)
No	Random with Uniform Init	94.74±1.97	75.48±0.43	80.36±3.58	78.68±4.23	60.79±1.60
	Random with Xavier Init (Glorot and Bengio, 2010)	94.25±5.07	74.05±1.97	79.99±8.06	77.82±3.87	58.52±2.61
	Random with MSRA Init (He et al., 2015)	96.03±1.82	76.44±0.45	78.24±3.60	79.76±5.43	63.00±1.73
(Fully) supervised	I3D (Carreira and Zisserman, 2017)	98.26±0.27	71.58±0.55	80.55±1.11	70.65±4.26	67.83±0.75
	NiftyNet (Gibson et al., 2018b)	94.14±4.57	52.98±2.05	77.33±8.05	83.23±1.05	60.78±1.60
	MedicalNet (Chen et al., 2019b)	95.80±0.49	75.68±0.32	86.43±1.44	85.52±0.58 [†]	66.09±1.35
Self-supervised	De-noising (Vincent et al., 2010)	95.92±1.83	73.99±0.62	85.14±3.02	84.36±0.96	57.83±1.57
	In-painting (Pathak et al., 2016)	91.46±2.97	76.02±0.55	79.79±3.55	81.36±4.83	61.38±3.84
	Jigsaw (Noroozi and Favaro, 2016)	95.47±1.24	70.90±1.55	81.79±1.04	82.04±1.26	63.33±1.11
	DeepCluster (Caron et al., 2018)	97.22±0.55	74.95±0.46	84.82±0.62	82.66±1.00	65.96±0.85
	Patch shuffling (Chen et al., 2019a)	91.93±2.32	75.74±0.51	82.15±3.30	82.82±2.35	52.95±6.92
	Rubiks Cube (Zhuang et al., 2019)	96.24±1.27	72.87±0.16	80.49±4.64	75.59±0.20	62.75±1.93
	Genesis Chest CT (ours)	98.34±0.44	77.62±0.64	87.20±2.87	85.10±2.15	67.96±1.29

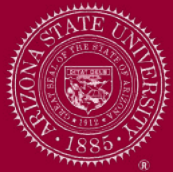
Aim #3

- ¹NCC Lung nodule false positive reduction in CT images
²NCS Lung nodule segmentation in CT images
³ECC Pulmonary embolism false positive reduction in CT images
⁴LCS Liver segmentation in CT images
⁵BMS Brain tumor segmentation in MR images

Summary



1. Zhou, Zongwei, et al. "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.
2. Zhou, Zongwei, et al. "Models Genesis." arXiv preprint arXiv:2004.07882 (2020).



Aim #3: Extracting generic knowledge directly from unannotated images

Contribution: Models Genesis reduce annotation efforts by at least 30%

Introduction

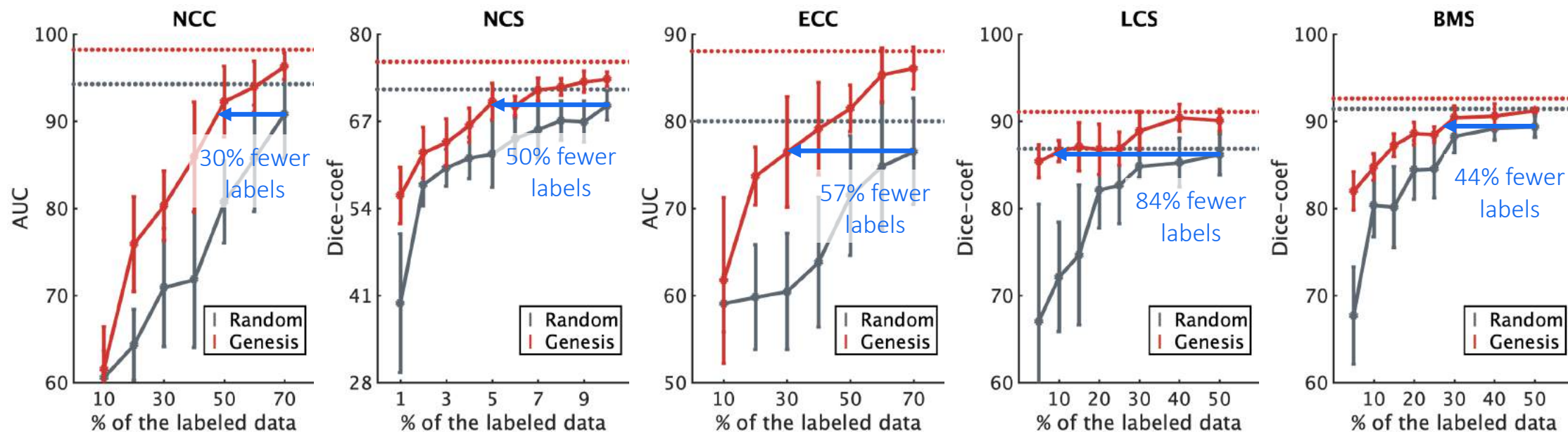
Objective

Aim #1

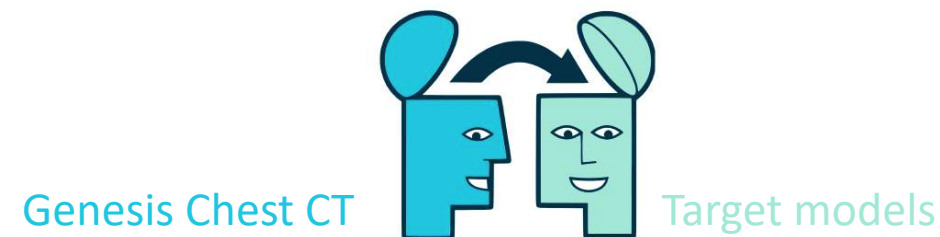
Aim #2

Aim #3

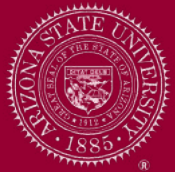
Summary



- ¹NCC Lung nodule false positive reduction in CT images
- ²NCS Lung nodule segmentation in CT images
- ³ECC Pulmonary embolism false positive reduction in CT images
- ⁴LCS Liver segmentation in CT images
- ⁵BMS Brain tumor segmentation in MR images



- Zhou, Zongwei, et al. "Models genesis: Generic autodidactic models for 3d medical image analysis." International Conference on Medical Image Computing and Computer-Assisted Intervention. Springer, Cham, 2019.
- Zhou, Zongwei, et al. "Models Genesis." arXiv preprint arXiv:2004.07882 (2020).



Aim #3: Extracting generic knowledge directly from unannotated images

Proposal: Extend to modality-oriented and organ-oriented models

Introduction

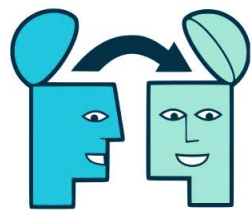
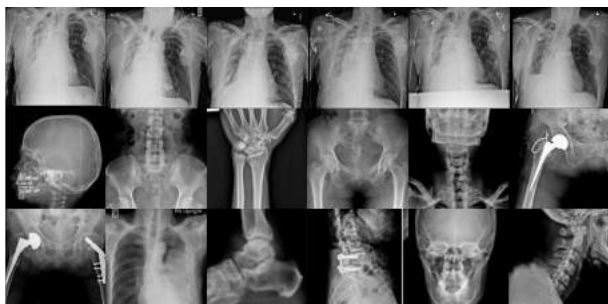
Objective

Aim #1

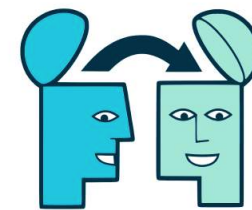
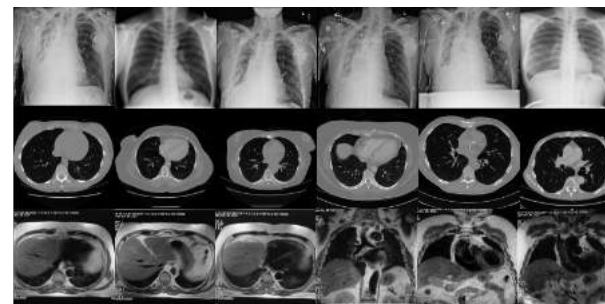
Aim #2

Aim #3

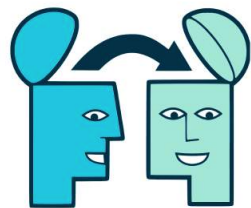
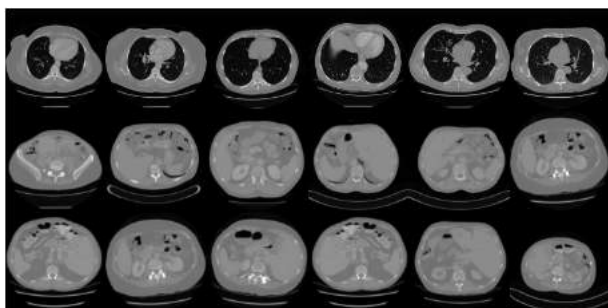
Summary



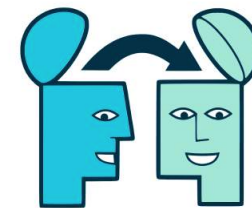
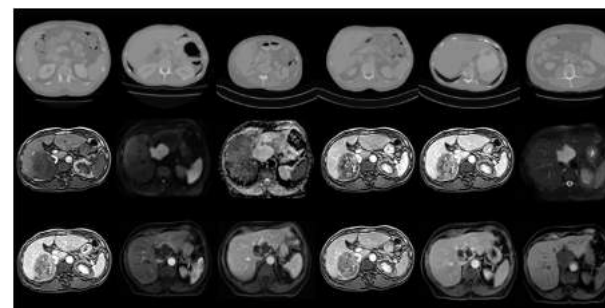
Genesis X-ray



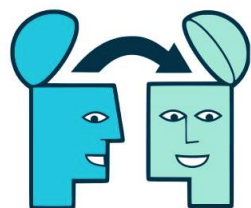
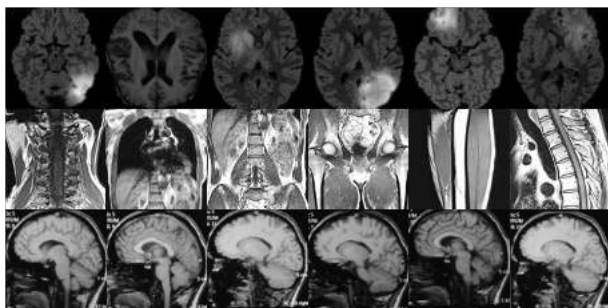
Genesis Lung



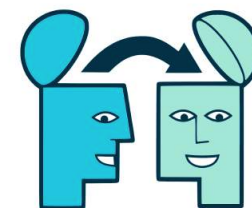
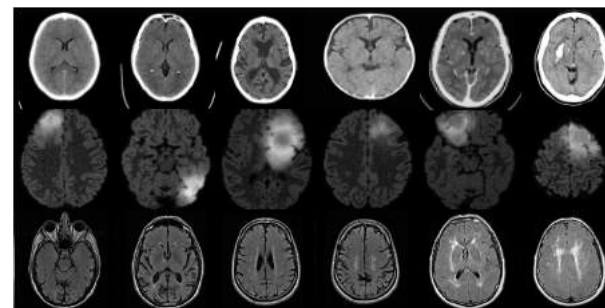
Genesis CT



Genesis Liver



Genesis MRI



Genesis Brain

Holy Grail: effective across diseases, organs, and modalities.

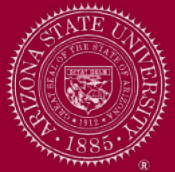
Featured Publications for Aim #3:

1. Z. Zhou, V. Sodha, M. M. Rahman Siddiquee, R. Feng, N. Tajbakhsh, M. Gotway, J. Liang, 2019. Models Genesis: Generic Autodidactic Models for 3D Medical Image Analysis. [MICCAI'19, Young Scientist Award, Best Presentation Award Finalist.](#)
2. Z. Zhou, V. Sodha, J. Pang, M. Gotway, J. Liang, 2020. Models Genesis. [Medical Image Analysis, MedIA Best Paper Award.](#)

Holy Grail: effective across diseases, organs, and modalities.

Clinical Impacts of Aim #3:

1. Transfer learning can greatly reduce the cost and effort required to build a dataset and retrain the model. Instead of building a model from scratch (demanding numerous data acquisition and annotation), a smaller dataset can be used to efficiently fine-tune the existing model.
2. Generic pre-trained models can serve as a primary source of transfer learning for many medical imaging applications, leading to accelerated training and improved performance.



Introduction

Objective

Aim #1

Aim #2

Aim #3

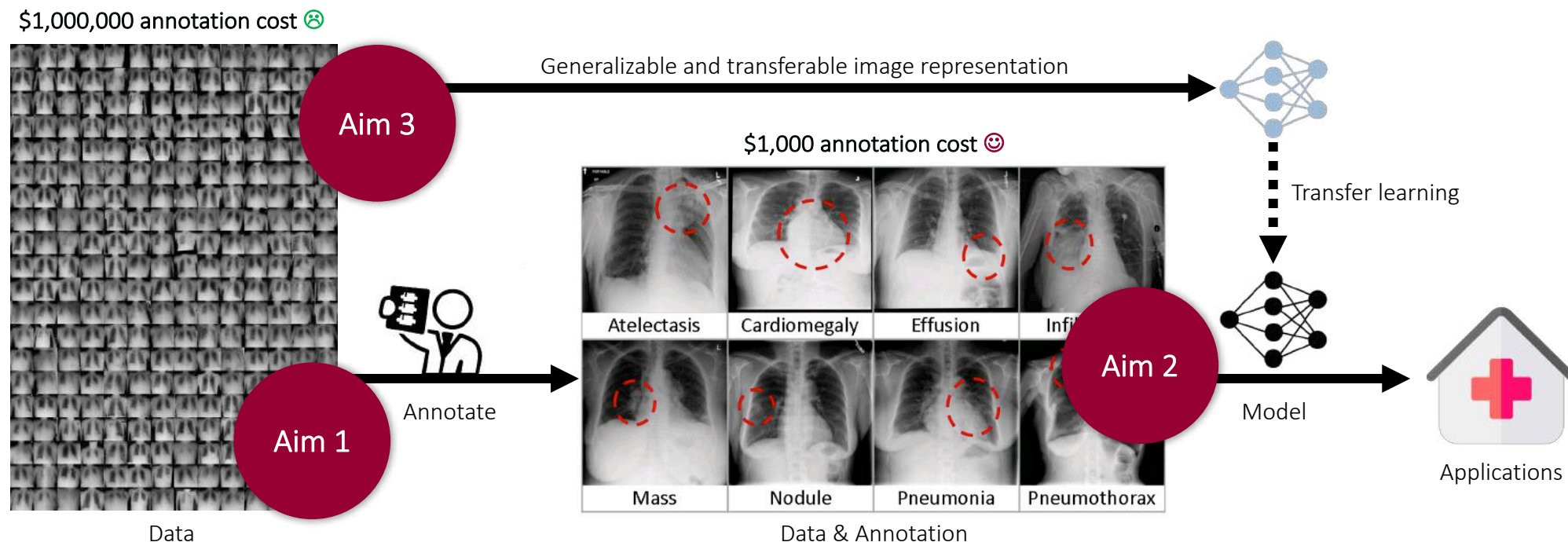
Summary

Research goal: Exploit novel methods to minimize the manual labeling efforts for a rapid, precise computer-aided diagnosis system

Aim #1: Acquiring necessary annotation efficiently from human experts

Aim #2: Utilizing existing annotation effectively from advanced architecture

Aim #3: Extracting generic knowledge directly from unannotated images





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- Jianming Liang, Ph.D.
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- Michael B. Gotway, M.D.

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- Mayo Innovation Grant



Annotation-efficient Deep Learning for Computer-aided Diagnosis in Medical Imaging

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